

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND NINETY-FIFTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, July 11, 2003

The Board of Trustees met at its regular monthly meeting on Friday, July 11, 2003, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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The Chairman, Mr. Sofia, called the meeting of the Board of Trustees to order on July 11, 2003, at 10:30 a.m. He requested the Secretary to call the roll.

Present: Zuheir Sofia, Chairman, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Walden W. O'Dell, Paula A. Habib, and Emily M. Quick.

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CHAIRMAN'S REPORT

Mr. Sofia:

We have a full agenda this morning and afterwards we will be having a wonderful lunch for our provost, so we're going to move fairly fast here today. I'll keep the Chairman's Report very short, however there are matters that deserve some commentary and I'm sure our president will comment on those further.

The decisions of the Supreme Court regarding Affirmative Action, the passage of the biennial budget by the state, and a number of important personnel matters and other issues are in front of the University Board, the president and administration. We will also be acting on them and going forward.

Across the country, states are struggling with difficult fiscal circumstances, and universities in every part of the country are having to make smart and difficult choices. Ohio State is no exception to those circumstances. As I stated at our May Board meeting, we continue to build a contingency plan to deal with this tough situation.

We shall be discussing recommendations about tuition later this morning, and I want to assure all parties involved – but especially our students – that we are mindful of the additional burdens that they are being asked to carry, and we are especially mindful of the ever-increasing need for financial aid. We still think Ohio State is a bargain, relative to any other university not only in Ohio, but other public universities across the land.

We are also committed to sustaining our faculty and staff to the best of our ability by ensuring adequate compensation for those who conduct research, teach, and serve our students, the community, and state in so many ways. Once again, I want you to know that as a Board we recognize simple principles: students are our primary customers, world-class faculty are our invaluable resource, and able administrators and staff are our critical partners. As we do this, I want to assure you that we are committed to examining all of our practices to ascertain that we are being the best possible stewards of our precious resources.

There are no easy answers to the issues before us, but I know that we will all – the Board, the president, administration, faculty, students, and staff – work together to forge an even stronger, more vibrant university. We are as committed as ever to becoming one of the nation's premier teaching, learning, and research institutions.

Finally on behalf of all members of the Board of Trustees and everyone who is with us today, we extend our heartfelt thanks to our colleague Provost Ed Ray for his 33 years of service to The Ohio State University. While we certainly acknowledge Dr. Ray is an excellent professor and outstanding researcher, as a Board we came to know Ed as an able administrator. Those of us who have had the opportunity of working with Ed through the years know well that he is an intelligent and strategic thinker who makes principled decisions – and follows through once those decisions are made.

CHAIRMAN'S REPORT (contd)

Mr. Sofia: (contd)

Ed has an incredible memory about any given detail. I imagine that many people in this room have been quite surprised when Ed quoted – usually, verbatim – something that they said years earlier. So be careful today.

Among his many contributions throughout his 33-year tenure at Ohio State, Ed helped frame Ohio State's mission and vision statement, the Academic Plan, and the Diversity Action Plan. As an economist, he has both the depth of understanding and the vision that were needed to help lead Ohio State across the rocky terrain of an often difficult budgetary landscape.

Ed approaches every challenge with enthusiasm and hard work. Ed, for your steadfast dedication to excellence at The Ohio State University, we thank you and extend every good wish for success and happiness as you embark on your new journey as the president of Oregon State University.

Please join me in thanking Dr. Ray.

I would also like to take this opportunity to welcome our Interim Provost Barbara Snyder. She is an able and experienced administrator with exceptional interpersonal skills and is dedicated and loyal to The Ohio State University. Indeed, very few people can match her energy and enthusiasm. Again, Barbara, welcome.

That concludes the Chairman's Report. I'd like to call now on President Holbrook for her report.

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PRESIDENT'S REPORT

President Karen A. Holbrook:

Thank you, Mr. Chairman. I'm going to start in the same way to echo what Chairman Sofia has said and also thank Ed Ray and acknowledge his 33 years as a chairperson of Economics, as an outstanding professor, as an associate provost, as a senior vice provost, and as an executive vice president and provost of this great University.

Zuheir has said all of the wonderful things about Ed that we all know are true but I, having been here not that long, can only add how much I have thoroughly enjoyed knowing him and working with him over my tenure at this University. Ed has just been marvelous. I think the Oregon State University is getting an outstanding leader. They are extremely lucky to have recruited you and I think the community is very fortunate to be welcoming both you and Beth to join them soon.

We're going to have many chances to say goodbye to Ed and to again acknowledge all of his major contributions, but I too want to add on to what the chairman said and assure you that all of your friends on the cabinet feel exactly the same way. We're very sorry that you're moving on but delighted – it was a matter of time, and this is a great move. So, we're pleased, too.

I also want to acknowledge the service of two members of the University Senate. Dr. Stan Ahalt, a faculty member in the Department of Electrical Engineering, has served the Senate Steering Committee very ably over this past year. He also has been named, as you will hear today or you may have noted in the Personnel

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

Actions, the new executive director of the Ohio Supercomputer Center. And I think that's an outstanding appointment as well.

I also want to recognize Dr. Gene Mumy, a faculty member in the Department of Economics, who has completed his service as chair of the Faculty Council, and to note that he is being replaced by Dr. Grady Chism from the College of Food, Agricultural, and Environmental Sciences. Grady is here today, and if you would stand we can welcome you. Thank you.

We also recognize and welcome today Doug Lance, the new chair of the University Staff Advisory Committee. Doug joins us from the Lima campus and I know he is going to provide excellent service for USAC over the next year. And, Doug, would you please stand so we can thank and recognize you.

And again, I'm going to echo a little bit of what Chairman Sofia has said and tell you how absolutely delighted I am that Barbara Snyder has agreed to become the executive vice president and provost on an interim position beginning the end of July, in a very few days. I don't know anyone on this campus I've worked with who is more dynamic, more connected, more aware of what's going on, and has served so ably as Barbara. You notice that she's just moving from one chair to the next.

She is a law professor who became an associate provost, and who was an associate dean in law. She's currently the interim vice president for University Relations and we say, jokingly, we'll probably find something she can finally get right and stay in, eventually. But the point is, Barbara gets everything right that she does. I think having her move into this role is going to make certain that there's great continuity, and I'm just delighted that she's going to be joining us.

At the same time, as Barbara moves out of one position we have that one to fill and I am equally pleased that Elizabeth Conlisk is going to be our acting vice president for University Relations until Dr. William Murphy joins us from the University of Illinois. I know that Elizabeth will serve in that role very ably and I look forward to that appointment as well.

So we look forward to new changes but good things on the horizon.

I'm going to do one thing that's a little bit different than what I usually do. As you know, I typically pick a topic to mention. Today I want to interject something that has come across my e-mail this morning very early from Interim Vice President Rosol on research awards that I thought were very worthwhile mentioning.

The Ohio State University is the lead university in a research consortia that has just received \$8 million in funding for five grants from the Hayes Investment Fund and I thought that was significant enough to bring it up as a special topic. James Lee, in Chemical Engineering, is being funded in the Consortium for Affordable Manufacturing of Polymers at the Nanoscale Level in collaboration with the University of Akron and the University of Dayton. Paul Berger is being funded for the Ohio Nanoscale Patterning Consortium, again in collaboration with other universities, specifically Ohio University, Wright Patterson Air Force Base, NASA, and Battelle. Hamish Fraser, in Materials Science, has received funding for the Center for the Accelerated Maturation of Materials in collaboration with the University of Cincinnati, Wright Patterson Air Force Base, Wright State, GE, Honda, Timet, and Timken. Prabir Dutta, in Chemistry, has received funding for Hydrogen Production and Storage Consortium with Kent State, the University of Akron, the University of Cincinnati, and Nextec. And Art Epstein, in Physics and

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

Chemistry, has received support for the Ohio Organic Semiconductor Consortium with Kent State, Case Western, and BTG.

This is extremely exciting that we have gotten all of these extraordinary awards and I think what's really also exciting is that these are collaborative projects, not just one institution alone. It shows that we do work very well across the state, both with our colleagues in academia and with our colleagues in industry. So, I'm very pleased to let you know about those recent awards.

I want to very briefly talk about a topic that I think is of enormous importance to the nation, to higher education and, specifically, to The Ohio State University. As you know, the U.S. Supreme Court recently issued the opinions in the two affirmative action cases involving the University of Michigan. Although the court came to opposite conclusions in the two cases – affirming the admissions process used by Michigan for its law school and rejecting the admissions process used for undergraduate admissions – the two decisions together are a resounding endorsement of principles that are at the very core of university life and academic freedom and that have guided Ohio State in its pursuit of diversity and excellence.

The majority opinions in both cases conclude that the 1978 Bakke opinion of Justice Powell set the correct constitutional standard. Diversity within a student body is a compelling governmental interest sufficient to justify an appropriately calibrated consideration of race. To quote Lani Guinier, law professor at Harvard and co-author of the book, *The Miner's Canary: Enlisting Race, Resisting Power, Transforming Democracy*; her quote comes from a recent article in *The Chronicle of Higher Education*. She stated that, "The court repudiated the argument that racial classifications are always odious; the court rejected the claim that race no longer matters."

Importantly, the court recognized that colleges and universities are entitled to make their own mission-sensitive judgments about the composition of their student bodies and to consider a multitude of objective and subjective factors in evaluating individual applicants.

What does this mean for Ohio State? First and foremost, it means that we can and will continue to pursue diversity goals with passion, energy, and commitment. It means we're entitled to continue to consider race and ethnicity in selecting among the most qualified applicants. And that in doing so, we will continue in our successful preparation of students and leaders, who will have obtained their education in classrooms, seminars, laboratories, and through student activity centers where a range of experiences, opinions, value systems, and ideas are exchanged, questioned, challenged, and respected.

The court's rulings also mean that we – and virtually all colleges and universities – will have to review our past practices and develop admissions programs using criteria that are narrowly focused to meet the constitutionally permissible objective of educating a diverse community of students. The point is that only when each applicant has his or her file read and assessed individually is the applicant eligible to have race considered by the University in making a determination about admission or, as the court framed it, and I quote, "...individualized consideration in the context of a race-conscious admissions program."

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

The Michigan law school admissions program was upheld because it involved individualized full-file review of all applicants. That is, and I quote again, "...hand picked rather than machine sorted..." The Michigan undergraduate admissions program failed because it assigned additional points to students from underrepresented racial and ethnic groups in a manner the court found to be overly decisive and "tantamount to a quota." Our challenge is to modify our very successful admissions processes to assure that all elements of diversity that we deem critical to our educational mission are given consideration in the evaluation of all applicants.

As with many important challenges, the devil is still in the details and with over 25,000 applicants each year for only 6,200 places in the entering class, there is much thoughtful work to be done in the days ahead. I believe we should all be energized by the clarity the Supreme Court has provided in a matter of such critical importance, and be committed to the utmost in teamwork as we clarify our intentions and adapt our processes within the letter as well as the spirit of the court's guidance.

Justice Sandra Day O'Connor, who visited our campus and shared her time so generously with our students earlier this year, wrote the majority decision in the Michigan law school case. In closing, I'll share just one of her observations with you:

"In order to cultivate a set of leaders with legitimacy in the eyes of the citizenry, it is necessary that the path to leadership be visibly open to talented and qualified individuals of every race and ethnicity. All members of our heterogeneous society must have confidence in the openness and integrity of the educational institutions that provide this training."

Thank you very much.

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UPDATE ON ARTS AND SCIENCES

Mr. Sofia:

At Ohio State, we are blessed with a very strong Arts and Sciences core. As you know, many of our highest ranked programs, Selective Investment faculty awards, and a large percentage of our honors students are in the Arts and Sciences.

The recent White Paper initiative forming the Federation of the Colleges of the Arts and Sciences, under the leadership of Dean Michael Hogan, is a great step in our march to be among the nation's top ten public universities. Dean Hogan is with us this morning to give us an update on the Arts and Sciences.

Dean Michael J. Hogan:

Thank you, Mr. Chairman. Good morning to you, members of the Board, President Holbrook, and others. As you know, I'm appearing here today as the executive dean of the Colleges of the Arts and Sciences. I've held this position for exactly 11 days. So it may seem a little premature to be giving a progress report, but it just so happens that I do have some progress to report.

UPDATE ON ARTS AND SCIENCES (contd)

Dean Hogan: (contd)

As you know, and as Chairman Sofia has said before, at most major universities the academic core of the institution is organized in a single arts and sciences college and there is good reason for this. After all, despite the differences among the disciplines represented, the faculty across the arts and sciences do share a common research and teaching mission.

Recognizing these facts, Ohio State itself had a single Arts and Sciences College for almost all of its history until 1968 when it was broken into five separate and autonomous colleges for reasons no one apparently can remember. So my job as executive dean is to help put Humpty Dumpty back together again; not into a single college, but into a new Federation that recognizes, once more, the joint and shared teaching and research mission that unites the faculty and students in this Arts and Sciences complex.

As you know, it is also hoped that the new Federation will help us to eliminate some administrative redundancies, promote interdisciplinary collaboration and cross-college cooperation, and give the Arts and Sciences more visibility and presence on campus than had previously been the case. These were some of the goals announced in the White Paper issued by President Holbrook and Provost Ray, and approved by you at your last Board meeting.

Since that time, my colleagues and I have been doing some very basic work to get the new Federation off the ground. To begin with, I'm pleased to report that we've created the rudiments of a new office. In addition to myself, the Office of Executive Dean includes two part-time associate deans. Professor Ed Adelson, from the School of Music, is beginning as associate dean for Instruction and Curriculum and is on an 80 percent appointment in the Arts and Sciences. And Professor Robert Perry, from the Physics Department, is starting as associate executive dean for Research and Faculty Affairs and is on a 50 percent appointment. So the three of us, which is really 2.3 FTE, constitute the entire executive staff of the new office, which is also being served by a fiscal officer and two assistant deans, all three of them A&P rather than faculty appointments.

Because the goal is to build the new office without adding to the overall administrative bureaucracy in the Arts and Sciences, virtually the whole staff has been assembled by moving them and their base salaries from one or more of the existing colleges. I've moved over from the College of Humanities, as have the two assistant deans and the fiscal officer. Robert Perry came with his salary from the College of Mathematical and Physical Sciences and Ed Adelson came with his salary from the College of the Arts. So it is not likely that the executive staff of the new office will ever be very large. But to the extent that it grows at all, it will continue to grow in the same fashion. That is to say by bringing administrative personnel from the current colleges, together with their salaries, over to the Office of the Executive Dean, so that the growth in that office does not result in an overall growth in the administrative size of the Arts and Sciences as a whole. In fact, the White Paper requires a general reduction in the size of college administration to something more like our benchmark institutions.

At Ohio State, academic administration in the Arts and Sciences exceeds the benchmark average not just because we have five separate colleges, but also because we have five separate colleges in five different locations. So in effect, the geography of academic administration as well as the structure of academic administration has encouraged or even required a certain degree of redundancy that you don't necessarily find elsewhere. This is the problem that must be addressed so that these resources can be freed up and reinvested in the

UPDATE ON ARTS AND SCIENCES (contd)

Dean Hogan: (contd)

academic enterprise, faculty salaries, research support, new teaching initiatives, and so on. I'm glad to say we've made a little bit of progress in this direction in the short time that we've been on the job.

First and most important, several of the colleges have already agreed to share common quarters. Over the next 18 months, the College of Humanities and the Office of Executive Dean will share space in University Hall. In addition, Dean Karen Bell, dean of the College of the Arts, and Rick Freeman, the new dean of Mathematical and Physical Sciences, have both agreed to relocate their college offices to University Hall, and the provost has committed to an appropriate renovation of that space. In other words, 18 months from now, when the renovation is finished, the Office of Executive Dean, the College of the Arts, the College of Humanities, and the College of Mathematical and Physical Sciences will all be sharing space and resources in University Hall. This will facilitate the kind of collaboration envisioned in the White Paper and will also help us to eliminate some other redundancies I spoke of earlier and produce some savings, largely by enabling us to begin centralizing certain common services.

Let me give you just a couple of quick examples. As you know, the five colleges have already consolidated their development operations. They've created a single Arts and Sciences development operation and a single team that's co-located and reports to the executive dean of the Arts and Sciences and to the vice president for Development. This, in effect, becomes the model for the much larger consolidation of central services envisioned in the White Paper.

First, the five colleges have already agreed in principle to consolidate their communications operations. Over the next few months, we'll begin to develop a plan for turning this agreement into a practical reality. The thinking at this point looks toward consolidating this aspect of our work around the communications operations that already exist in the College of the Arts, which has the largest and best such operation among all of the Arts and Sciences colleges.

Second, Rick Freeman, the new dean of Mathematical and Physical Sciences, has agreed to lead a collective effort to consolidate the human resources and fiscal services or operations in the five colleges, thereby creating a single operation that can serve the needs of the Arts and Sciences as a whole. In this case, too, my hope is to have a concrete plan that can be approved sometime in the next academic year.

Something similar should be possible when it comes to computing and instructional technology. This is another area that I want to address this year. I've asked Joan Herbers, dean of Biological Sciences, to lead a team of people – mostly our IT and computer experts from all five colleges – to develop a plan that will bring new synergies across the Arts and Sciences, which should also eliminate some redundancies and produce some substantial savings.

As this suggests, along the way I'm asking each of the college deans to take on a particular task to assume a kind of "cross-college" responsibility that will help make them citizens of the new Federation, as well as deans of their respective colleges. In the end, the result should be a new community of colleges, better services for our faculty and staff, and some savings in costs.

When all is said and done, no one really wants a new organization for its own sake, but because of the value that it will add to our basic academic mission, teaching, and research mission. With that in mind, I expect to assemble a

UPDATE ON ARTS AND SCIENCES (contd)

Dean Hogan: (contd)

committee of very distinguished faculty from across the Arts and Sciences to draft a strategic plan for the Arts and Sciences as a whole.

Over the last several months, I've had the Arts and Sciences curriculum committee working on an inventory of interdisciplinary programs at Ohio State and at benchmark institutions. I expect to complete that inventory very quickly and ask our strategic planners to identify new directions for the Arts and Sciences as a whole.

Assembling these interdisciplinary programs and building new ones will be the first claim on the common funds available to the executive dean. And, in fact, we met yesterday. The Arts and Sciences deans have already begun to talk about a cluster hiring initiative in the area of ethnic studies that will advance two of the goals laid out in the White Paper -- to advance the cause of diversity and interdisciplinary studies. I'm glad to say that initiative has the support of the Provost's Office as well.

To sum up and give you an idea of a timetable for progress, I hope to have completed the plans for the consolidation of central services in the early Winter Quarter. By that I mean consolidation of common communications operations in the Arts and Sciences, a centralized HR and fiscal operation, and a coordinated operation in computing and instructional technology, all to go along with our consolidated development plan already in place. These plans should include a schedule for implementation over the months that follow.

I also hope to have a new and improved process for managing curricular change in the Arts and Sciences and for promoting interdisciplinary work, a new pattern of administration for the Federation as a whole, and a very good start on a strategic plan that will guide all of our common efforts in the months and years ahead.

This is an ambitious agenda with a fast timetable. Our motto will be, "Make No Small Plans." Nevertheless, as you know, the Trustees have supported the Federation from the beginning, as have the president and the provost. You're expecting results, I expect you to hold me accountable for reasonable progress and good results. In fact, I hope you'll invite me back from time to time, so that I can give you regular updates on our progress and on all of the goals that I've announced today.

Thank you, again, for this opportunity to spend some time with you this morning. I'd be glad to try to respond to any questions or comments that you might have. Thank you, Mr. Chairman.

Mr. Sofia:

Questions? Jo Ann --

Mrs. Davidson:

This is more of a statement than a question. I see that you've met the 11-day accountability test very well. But on a serious note, we are very pleased that you are willing to take on this new challenge and responsibility. I think you're doing some of the things that we believe need to be done, when they're appropriate to be done, and that is the consolidation of some of the administrative functions. So I just wanted to acknowledge that.

UPDATE ON ARTS AND SCIENCES (contd)

Dean Hogan:

Thank you, Madam Speaker.

Mr. Slane:

Dean Hogan, with all of these difficult financial pressures that have been placed upon us, this is so important what you're doing. We need to do our part in trying to operate more efficiently and we really salute you for everything you've done. I know how difficult this must have been.

Dean Hogan:

Thank you, sir.

Mr. McFerson:

Dean Hogan, remind us all again of the scope of what we're talking about here – the number of students, the number of classes that are being taught, the number of faculty, and so forth.

Dean Hogan:

It's big. The Arts and Sciences consist of five colleges, 41 departments, numerous centers and interdisciplinary programs, about 1,004 faculty members, which is substantial, and, I think, probably a consolidated, combined budget of close to a quarter of a billion dollars.

It produces 50 percent of all the Ph.D.s produced at the University and about the same number of M.A.s. It produces 50 percent of the undergraduates who receive degrees at the University, year in and year out, and is responsible for about 70 percent of the honors instruction and the honors students in the University. So it really is the academic core of the institution.

Ms. Hendricks:

I just have one question regarding student counseling or the advising function. Is that going to be consolidated as well or is that going to be maintained in the various colleges?

Dean Hogan:

Well, it is consolidated in USAS which is supervised by Vice Provost Martha Garland. The White Paper calls for even closer collaboration now between the Office of the Executive Dean and Martha's operation, particularly when it comes to the academic side of advising students. Luckily, Martha Garland was on the search committee that hired me at Ohio State many years ago, so we have a long and close working relationship, and I think that will work out just fine.

Mr. Sofia:

Dean Hogan, the last time we had a briefing on the Arts and Sciences we noticed that in the development area, especially in the number of endowed chairs, that the Arts and Sciences have the fewest and some departments only have one or two endowed chairs, or maybe none. With the consolidation of development, will the effort to get in and try to get donors for those chairs be accelerating?

UPDATE ON ARTS AND SCIENCES (contd)

Dean Hogan:

Absolutely. We consolidated the Arts and Sciences development operation about a year ago and I think that's working very well. I'm glad to say that the Provost's Office and University Development have invested more resources, we have a somewhat larger staff, and we have all of the staff together in a single place so that we do get some economies on staffing and in other ways. They've developed a comradery and team spirit, and we have less turnover in that operation than used to be the case.

I must say that we have a lot of work to be done. It is one area that has been unevenly developed, if not inadequately developed, across the Arts and Sciences. We have 110,000 living alums of the Arts and Sciences, with close to 60,000 alums residing in Franklin County. Most of them we don't know who they are nor do we know of their resources. We haven't maintained close contact with them over the years. So we could have a development operation that is three times the size that we have now. And that would be about right if you look at Michigan or other institutions that have much larger operations.

We're not ready to be that big yet, because we'd have to have something for those development officers to do. There is no sense in wasting the resources hiring development officers when we don't have the basic information yet on our client base, but we are in the process of doing that now. We've contributed some of our common funds to help the University Development operation do a screening of all of that and John Meyers has been very helpful in that regard. Once we've done an electronic screening, we'll have a much better database that will enable us to begin focusing and targeting our development operations on the graduates of the institution who have resources and loyalty and want to help us out.

Mr. Sofia:

Thank you. Any other questions? Doug --

Mr. Borrer:

I just wanted to say as an alumnus of your college, I think it's really great what you're doing. You've got to keep focused. I know change is very difficult sometimes, and I want you to know that the Board of Trustees is very strongly behind what you're doing. Thank you, Dean Hogan.

Dean Hogan:

Thank you.

Mr. Sofia:

Thank you. Again, you heard from the Trustees and we're all supportive of Dr. Holbrook's initiative. I know she'll see to it, with Dean Hogan at the helm, that it will be successful. Thank you, Michael.

Dean Hogan:

Thank you.

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STUDENT RECOGNITION AWARD

Ms. Habib:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of the student's achievement in his or her area of study, service to the University and/or area or community, and/or research achievements that have been a credit to the college and to the University.

This month's recipient is Matt Miesner, who was nominated by Dean Glen Hoffsis in the College of Veterinary Medicine. Dr. Miesner is currently pursuing his master's in veterinary medicine at The Ohio State University. He received his B.S. degree from New Mexico State University and his D.V.M. degree from Washington State University. Prior to receiving his D.V.M. degree, Matt worked as a veterinary assistant, a cowboy, and in a beef cattle ranch management position. He maintained work, study, and research assistantships relating to livestock and horses.

After receiving his D.V.M. degree, Dr. Miesner entered a private mixed animal practice in south central Washington State. After one year, he obtained a resident position at OSU in the Department of Veterinary Clinical Sciences, Section of Food Animal Medicine and Surgery. Matt has spent the last three years performing clinical and teaching duties, while working toward a master's degree and board certification in large animal internal medicine.

He will be staying at the Veterinary Teaching Hospital as a clinical instructor and finishing his master's research in June 2003. He has received the SCAVMA Outstanding Teacher Award for Resident/Graduate Teaching Associates. Eventually Dr. Miesner plans to return to rural private practice with his specialty training. He hopes to benefit clients, patients, and other veterinarians as an accessible generalist and specialist in the private sector. He is also enthusiastic about instructing veterinary students.

Being a student myself, it is a great honor for me to be able to present a student like Matt to the Board of Trustees. The Board appreciates the chance to meet students, especially ones who exemplify exactly how bright and committed the students at Ohio State really are.

Congratulations, Matt.

Mr. Matt D. Miesner:

I do appreciate this award. I'd like to thank the Board and thank all of those who nominated me for this award.

Where I come from – a really small town in “Nowhere America,” New Mexico – we're brought up as being judged more harshly on how you treat other people and your work ethic than you are on your individual achievements. How you're doing is directly reflected by the respect you get from others. So I really do appreciate this award. Everybody back home will be taking credit for this as well, and they rightly should.

Coming from Washington and being in Ohio for a few years, I've learned a few things. When you say you're from Washington, you follow that with State. I really am entertained by folks' expressions when I say I'm from New Mexico and they can't believe how fluent I am in the English language.

Again, thank you all very much for this award it is an honor.

STUDENT RECOGNITION AWARD (contd)

Mr. Sofia:

Congratulations.

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BUDGET UPDATE

Mr. William J. Shkurti:

Mr. Chairman, let me start first with a personal note. This will be the last time that Ed will accompany me in providing a budget update. Over the years, we've probably had to unfortunately roll out more bad news than good and this year is no exception. But I just want to say for myself, and for the University, how much I've appreciated Ed's candor and his dedication to the long-term academic interests of this institution. The institution will go on as it always does, but Ed will be sorely missed.

Our budget update today deals primarily with tuition. Let me start by saying how much we appreciate the work that Governor Taft and the Legislature did to pass a balanced budget on time, which, if you've watched what has been going on in other states, is something we should not take for granted. It was quite an achievement. In addition, their efforts to minimize reductions to higher education in this difficult financial environment are appreciated and the recognition of the need for differential tuition caps at OSU's Columbus campus, because of our unique mission and financial circumstances, is appreciated as well.

However, the changes made late in the budget by the Conference Committee -- not because they wanted to, but because they had to due to the deteriorating economic environment -- will require a reworking of the University's budget for Fiscal Year 2004, which is already underway, and Fiscal Year 2005.

Among other things, this will mean higher tuition for our students for two reasons. First of all, state support is significantly less than originally proposed by the governor in February and by the Senate in May. In fact, the reduction is \$11 million less than what the governor proposed in February and \$5 million less than what the Senate approved in May. In fact, it is still lower today than it was four years ago.

The bottom line is not hard to determine. If you take the state share of instruction -- this is for the OSU Columbus campus -- and include the Success Challenge -- which I think is fair, because its intent is to support instruction -- the two together at the end of the current biennium, Fiscal Year 2005, will be about \$313 million. That is still less than what it was in Fiscal Year 2000, when it was \$316.8 million, and this is even though our enrollment has increased in that period.

We realize the state has been through difficult economic times in that period, so we are not alone in suffering a loss of state support. But given that the general way we've operated is that state support has provided about half the cost of what it takes to educate a student and the student provides the other half, if this amount is not growing, it puts more pressure on tuition which brings us to the situation we are in today. In fact, the Legislature recognized that the tuition caps that were adopted by the Conference Committee, and eventually in the budget, provide for a greater increase than in the earlier versions of the budget that I referred to.

BUDGET UPDATE (contd)

Mr. Shkurti: (contd)

Consequently, the University will need to make tuition adjustments for Autumn Quarter that differ from those that were initially recommended to this Board and which you approved on May 2, in order to advance the goals of the Academic Plan. For all our undergraduate students on the Columbus campus, this will mean an increase of 12.9 percent or between \$684 and \$756, depending on when the students enrolled in the University. Again, as I mentioned, for the 2003-2004 academic year this will be effective Autumn Quarter. For all undergraduate students at the Regional Campuses, this will mean an increase of 9.9 percent, or about \$456 for an academic year, before applying the Access Challenge credit. This will also take effect Autumn Quarter.

Now, Provost Ray will explain the academic impact of this and how we will use the money that this generates.

Provost Edward J. Ray:

It's important to note that the increases above 9 percent are designated for two specific uses. They're limited to financial aid for low income and low middle-income students and for improved technology for all students.

The preliminary plans that we have for the Columbus campus are to provide 50 percent of the additional increase above 9 percent for student financial aid and 15 percent for technology purposes, including more technology in the classroom, online registration, and improved support services for students. We'll provide details of those recommendations to the Board at its September 5, 2003 meeting, including the distribution of those funds on the Regional Campuses.

Both new and continuing students should also expect, as a result of the circumstances in which we find ourselves, that tuition increases will be used to the full extent permitted by the tuition caps in Fiscal Year 2005, in order to make up, in part at least, for the continued lack of growth in state support. In addition, a mandatory recreation fee will be implemented in support of the new recreation center when it opens in Fiscal Year 2005.

It's important to note in these circumstances that the University will continue to increase University-funded financial aid in order to offset the impact of these tuition increases on lower and lower middle-income students. This University has made a commitment that we want to provide access to extraordinary educational opportunities for students who are qualified to take advantage of them, regardless of their economic circumstances, and we continue to adhere to that commitment.

The University will continue to strive to increase operating efficiencies and generate cost savings to improve services to our students. You heard a very impressive 11-day-into-it report from Executive Dean Hogan about cost efficiencies that he and his colleagues hope to accomplish in the Arts and Sciences. We do understand that the balancing of our needs has to come not simply in terms of finding alternative revenues when the state can't do as much perhaps as it would like to help us. We're responsible for managing our existing resources as effectively as possible, as well, and will continue to look hard for ways in which we can better manage the resources that we have available to us. The Federation of the Colleges of the Arts and Sciences is a good example of a continuing effort in that regard.

BUDGET UPDATE (contd)

Provost Ray: (contd)

As I mentioned earlier, the University will present more detailed budget recommendations in all aspects of the budget for approval at the September 5 Board of Trustees meeting.

Finally, The Ohio State University, despite the tuition increases that we're talking about today, still will represent an extraordinary investment of high value to our students and to the citizens of Ohio. Even under these recommendations, tuition for new students at the OSU Columbus campus will be seventh among Ohio's 13 public universities.

Let me stop there. Bill and I would be happy to respond to any questions or comments from the Board.

Mr. Sofia:

Dimon --

Mr. McFerson:

Just a few short years ago, we crossed that 50 percent threshold; we're now below 50 percent of the operating income coming from the state. What is that number today, that trend line? Where was it 10 years ago and where is it today?

Mr. Shkurti:

Mr. Chairman and Mr. McFerson, 20 years ago the state provided about 60 percent of what it cost to educate a student and the student provided about 30 percent, the rest being made up by other kinds of income. About 10 years ago, that dropped closer to 55 percent that the state provided and the rest by the students. Two years ago -- Fiscal Year 2001 budget -- for the first time ever, tuition income exceeded state support here on the Columbus campus. At the time that ratio was that the tuition provided 45 percent of the operating budget for the general fund, which is where instructional activities are funded, and the state provided 43 percent. The difference was things like interest earnings and so forth.

Last year the share provided by the students jumped to 50 percent, because if you will recall there were state cuts. Although the budget is still being finalized, and will be presented in September, it looks like the students' shares will go up to at least 55 percent this fiscal year. It will probably grow again in Fiscal Year 2005, because state support is flat.

I remember when I worked in the state budget office in the early 1980s we had Medicaid cost increases. As a joke, we used to straight-line the increases out and predict that by the year 2023, the entire state budget would be devoted to Medicaid. Fortunately that hasn't happened, although the costs have increased. But if you project out this level of increase of tuition and no increase in state support, within 10 years we will virtually be a private institution. I doubt that will happen. It certainly indicates though that if something isn't done to arrest the current trend, the University will become more like a private institution and less like a public one.

Mr. Sofia:

Dan and then Wally --

BUDGET UPDATE (contd)

Mr. Slane:

Actually, Bill, when you look at it in proportion to our total budget we're getting roughly 15 percent.

Mr. Shkurti:

Mr. Slane, that is correct. The figures I used were the instructional budget, which is the general fund; it doesn't include places like Athletics and the Medical Center. But if you look at the University all as one, of which those are definitely part of, it used to be that state support was maybe 20 or 25 percent of the total, and now it's dropped below 20 percent and it will probably reach 15 percent next year.

Mr. O'Dell:

Bill, given that the tuition caps are in place and you're doing the maximum, it must mean that tuition is lower than you'd like it to be or need it to be to fulfill the Academic Plan and the mission to be in the top ten of public institutions. Where do you see the tuition going or where would you put it if the caps were not effected?

Mr. Shkurti:

Mr. O'Dell, I think there are two ways to answer that question. Although we are recommending an increase in tuition, we wouldn't like it to be here. We would rather have more state support, but, unfortunately, we don't have a choice in these circumstances.

Having said that, the place I would start is if you take a look at the combination of tuition and state support that Ohio State gets and you compare us to: other universities in Ohio, which are really not comparable in some ways; other Big Ten institutions, which are more comparable; and with our benchmark institutions, which are even more comparable in terms of their mission and so forth -- and these are all public institutions -- one of the glaring differences you see is that the resources that come from the combination of tuition and state support, on average, consistently come out about \$1,000 less per student at Ohio State's Columbus campus than it does to the other places I've talked about.

We recognize that even if the caps were off that we would not be here advocating a \$1,000 increase on top of normal increases for our students. But to get where we need to go over time, we will have to -- between tuition, state resources, and other resources we can find -- generate \$1,000 per student more than what the inflationary increase is to get where we need to be in terms of the services and academic programs our students expect.

Provost Ray:

If I could just pick up on Bill's point. If you go back to the Academic Plan we adopted in 2000, we talked then about what we needed to do at least over the next five years.

Part of the calculation of what could be done was that we would continue to do tuition increases on the order of 9 percent a year on the presumption that among the other things that would be happening would be that state share of instruction would continue to grow at 3-3.5 percent as it has historically. We're already looking at going backwards, zero growth over the last five years, and are thinking about the 3.5 percent that hasn't occurred in the state's share of instruction.

BUDGET UPDATE (contd)

Provost Ray: (contd)

One could think about putting that on top of anticipated tuition increases. I know the president, Bill, and others are looking at every source of revenue we have. Thinking about not doing a simple trade between state share of instruction and tuition, but how can we be even more effective in externally funded research, in our development efforts, and in all of the levers that we have to use to grow the revenue side. We need to be even more diligent than we understood that we had to be then about what we can do to be efficient and cost effective.

This notion of the Federation was not on the drawing boards in the Autumn 2002, but as we've moved into thinking about are we serious about this Academic Plan and our aspirations for this institution, we've come to better understand some of the harsh realities in the world in which we have to operate financially. We've continued to dig deeper to think about on the revenue side and on the management and cost side. How do we continue to make progress in realizing the goals of that plan even if some piece of it isn't what we would have hoped it would have been – the state share of instruction?

Mr. Sofia:

Thank you. Bill, help me out here in actual dollars -- we're talking about \$700 plus increase in tuition. Relative to other public universities in Ohio, do we rank higher? Even with this dollar increase, where will that place us?

Provost Ray:

We would go from eighth to seventh.

Mr. Sofia:

I'm just trying to emphasize the point, again, that we really haven't made much progress. Not that that's the goal; we're trying to meet the goals of the Academic Plan. As far as really being a good educator and a bargain, Ohio State is still a great value. I know it's not easy to do, but it seems still a great value for the dollar increase we're proposing, relative to not just here, but outside Ohio.

Provost Ray:

Mr. Chairman, the way we'd say it is if all the other state universities face the same circumstances, in terms of state support, that we do and one thinks about the quality, and the kinds of programs, the faculty, and so forth that we have at Ohio State relative to other state institutions -- and it's not to be unkind to them -- the fact that we provide that quality and those kinds of opportunities, and that we're priced seventh in the state facing the same state support circumstances that they do, suggests, as we said at the very beginning, that this really is an extraordinary value we are providing to our students and to the people of Ohio.

Mr. Sofia:

Tami --

Ms. Longaberger:

As a Board working with the president, we try to measure ourselves against those institutions that we want to be like and advance toward, and that is what the Academic Plan is all about. One of the things you told me years ago is that you not only talk about the issues here in the state, but as a percentage or

BUDGET UPDATE (contd)

Ms. Longaberger: (contd)

ranking of state support for higher education as a whole when we compare ourselves to our benchmark public institutions across the country, as I recall, Ohio ranked something like 44th out of 50 states years ago. I wonder if you have any sense of where Ohio ranks in its support to higher education compared to other states generally?

Mr. Shkurti:

Ms. Longaberger, depending upon who you ask, you sometimes get a different answer to that question, but, consistently, Ohio ranks in the bottom ten of the 50 states.

Ms. Longaberger:

For total support for higher education?

Mr. Shkurti:

For total support, per capita, for higher education. So it's anywhere between 41st and 49th.

Ms. Longaberger:

So we're already starting in a tough position.

Mr. Shkurti:

Yes, we're starting from behind. You may recall we have had discussions and the Board of Regents has had those discussions with the Legislature. If you take a look at Ohio's per capita personal income compared to the rest of the country, it's been going down since 1950 at least. Our investment in higher education and the overall educational attainment of our population has gone down as well. In fact, we're not generating the high-paying jobs other states are and so we're in a vicious cycle. That is why the governor has made such an emphasis on the Third Frontier plan, but for that to be successful, it needs a strong system of public higher education to support it.

Mr. Sofia:

Dimon --

Mr. McFerson:

In this day and age, when inflation is very low and the average rate of pay increases across the state and so forth are from zero to 3 or 4 percent, 12.9 percent sounds just horrible. But is it fair to say that for every student that we admit to this wonderful University there will be scholarship funds or opportunities for that student to be educated, notwithstanding this 12.9 percent increase? Because it sounds like we're putting more and more dollars into scholarship opportunities.

Provost Ray:

We've actually had a policy for many years -- and certainly this legislation will permit us to continue it -- to do our very best to make certain that students who in

BUDGET UPDATE (contd)

Provost Ray: (contd)

every other respect could be here, should be here, and would be successful here but for their economic circumstances, that we provide the economic resources to make certain that they're able to come here.

I think, under these circumstances, with this legislation we will continue to be able to put enough resources into student financial aid to make sure that the most economically disadvantaged students will not be shut out. On average, we typically put 20 percent or 20 cents on the dollar, of new tuition dollars, into student financial aid. That's not something many universities do, but it's something we've made a commitment to try to do.

Mr. Shkurti:

Mr. McFerson, I have had the opportunity to talk to my counterparts both here in Ohio, through IUC, and also through the Big Ten business officers. And we were talking about this issue at our last round of meetings. Although more and more schools are doing what we're doing – which is adding to student financial aid when they increase tuition – we've been doing that for 10 years. We were one of the first and we're the most aggressive.

This is not making more loans available, these are grants that discount tuition based on the student and the family's ability to pay. So far in this decade as our tuition has moved up, we've been able to make an entering freshman class that's better prepared and more diverse economically as well as racially and otherwise. As Ed mentioned, we hope to continue that commitment.

Mr. McFerson:

I think that's a wonderful story that needs to be told at the same time this increase will be publicized maybe in negative ways. We've got a wonderful story to tell with regard to our students.

Mr. Sofia:

Bill, this may be a challenging question for you. I realize we need to do this to provide quality education to make up the difference from the shortfall of state funding and so forth. But in your opinion, what is the downside risk of doing what we have done?

Mr. Shkurti:

Well, Mr. Chairman, I think there are three risks. One is that students will look at the headlines which will say "X Percent Increase" and think that they can't afford to come here because they don't understand what we've done with financial aid. So we want to make sure we communicate that, and I think our students who are here understand it. But the students who we would recruit need to understand it as well, so that we don't suffer a drop in enrollments overall or in the economic diversity and racial diversity of our class. So that's risk number one, but it's a risk we can manage.

The second risk is by doing this in the fall, it is going to put a lot of stress on the student financial aid staff. They know this is coming. They said that they will do whatever it takes to make this work in terms of reworking financial aid packages, so that the students who are currently on financial aid will have their financial aid automatically increased. So that will be good and we'll be doing it, but I suspect

BUDGET UPDATE (contd)

Mr. Shkurti: (contd)

those offices are going to be getting a lot of phone calls from students wondering about their status. We're working to help them and other offices in the University will be helping them with person power and so forth.

I think the third risk is a political one. Generically, you may have read that Congress now is getting interested in why higher education costs so much and the possibility is always there of some sort of federal legislation. The Legislature sometimes speaks to us with two voices. On the one hand, they say to us when money is tight that, "We realize we can't help you, but we're allowing you more flexibility under the tuition caps," so we raise tuition. Then there's an outcry and the Legislature says, "Why are you raising tuition?" In that case they tend to treat us like a public utility and it seems in some ways the less state money we get, the more interest we get from the state in helping us run our affairs.

So that's part of what it takes, I think, in being a public institution, particularly in the state capital. I think the fact that we are a public institution is part of what makes us special and I wouldn't trade it for the world, but I think we're going through a change. The important thing is to do it in a way that is intelligent, thoughtful, strategic, fair to our students, and fair to the taxpayers and the people who support us.

Mr. Sofia:

President Holbrook, do you have any comments?

President Holbrook:

No. That was a good discussion, thank you.

2003-04 UNDERGRADUATE TUITION

Resolution No. 2004-1

Synopsis: Instructional and general fees for undergraduate students enrolled at all campuses for Fiscal Year 2003-04 are proposed, effective Autumn Quarter 2003.

WHEREAS the Board of Trustees reaffirms the University's commitment to the Academic Plan and the continued priority to fund those initiatives to meet the needs of Ohio State students; and

WHEREAS Am. Sub. H.B. 95 as enacted reestablishes fee caps for undergraduate instructional and general fees above the 2003 academic year of 9% for the University's Columbus Campus and 6% for the regional campuses and the Agricultural Technical Institute and allows each State university to increase instructional and general fees an additional 3.9%, directing that the additional increase be used only for scholarships for low-income students or for improved technology services for students; and

WHEREAS Am. Sub. H.B. 95 also provides that the Board of Trustees cannot increase undergraduate instructional and general fees by more than 9% at the Columbus Campus or by more than 6% at the regional campuses and ATI in a single vote; and

WHEREAS the Board of Trustees increased undergraduate instructional and general fees at the May 2 meeting, effective Summer Quarter 2003, and now it is necessary for a second vote to increase all undergraduate instructional and general fees above the 2003 academic year by 12.9% at the Columbus Campus and by 9.9% at the regional campuses and ATI; and

2003-04 UNDERGRADUATE TUITION (contd)

WHEREAS the tuition rates to be adopted are specifically based on the level of State support provided in Am. Sub H.B. 95:

NOW THEREFORE

BE IT RESOLVED, That effective Autumn Quarter 2003 instructional and general fees for all undergraduates enrolled at the Columbus Campus be increased to 12.9% above the 2003 academic year, for a total quarterly fee of \$1,997 for full-time students first enrolled prior to Summer Quarter 2002 (tier 1), \$2,180 for full-time students first enrolled after Spring Quarter 2002 and prior to Summer Quarter 2003 (tier 2), and \$2,208 for full-time students who first enrolled after Spring Quarter 2003 (tier 3); and

BE IT FURTHER RESOLVED, That effective Autumn Quarter 2003 instructional and general fees for all undergraduates enrolled at the regional campuses and ATI be increased 9.9% above the 2003 academic year before adjustments for Access Challenge offsets.

Upon motion of Mr. McFerson, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Mses. Longaberger and Hendricks.

(See Appendix I for background information, page 93.)

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CONSENT AGENDA

President Karen A. Holbrook:

We have twenty-two resolutions on the Consent Agenda today and I would like to ask that # 16 – the Appointment and Reappointment of Investment Managers -- #17 – the Authorization, Issuance and Sale of General Receipts Series 2003 B Bonds – and #18 – the Authorization, Issuance and Sale of Variable Rate Demand General Receipts Series 2003 C Bonds – be considered separately. We are seeking your approval for the following:

AMENDMENTS TO COMMITTEE APPOINTMENTS FOR 2003-2004

Resolution No. 2004-2

BE IT RESOLVED, That the appointments to Committees and representatives to various Boards for 2003-2004 be amended as follows:

Academic and Student Affairs Committee:*

Tami Longaberger, Chair
Daniel M. Slane, Vice Chair
Douglas G. Borrer
Walden W. O'Dell
Paula A. Habib

Fiscal Affairs Committee:*

Robert M. Duncan, Chair
Jo Ann Davidson, Vice Chair
Dimon R. McFerson
Karen L. Hendricks
Emily M. Quick

Facility Planning (AdHoc)*

(Subcommittee of Fiscal Affairs)
Robert M. Duncan, Chair
Daniel M. Slane
Karen L. Hendricks
Douglas G. Borrer

INVESTMENTS COMMITTEE:

ZUHEIR SOFIA, CHAIR
KAREN L. HENDRICKS, VICE CHAIR
JO ANN DAVIDSON
WALDEN W. O'DELL
JOHN GERLACH, JR. (Foundation Board) Ex Officio
WILLIAM INGRAM III (Foundation Board) Ex Officio

AMENDMENTS TO COMMITTEE APPOINTMENTS FOR 2003-2004 (contd)

Audit Committee:*

Dimon R. McFerson, Chair
Tami Longaberger, Vice Chair
Jo Ann Davidson
Karen L. Hendricks

Agricultural Affairs Committee:*

Daniel M. Slane, Chair
Fred L. Dailey, Vice Chair, Ex Officio
Robert M. Duncan
Karen L. Hendricks
Walden W. O'Dell
Emily M. Quick

Governance Committee:

Zuheir Sofia, Chair
Tami Longaberger, Vice Chair
Daniel M. Slane

Government Relations Advisory Council:*

Jo Ann Davidson, Chair
Douglas G. Borrer, Vice Chair
Daniel M. Slane
Dimon R. McFerson
Paula A. Habib
Walden W. O'Dell
David L. Brennan
Michael F. Colley
William Blair
Stan Aronoff
Thomas Hoaglin

**The Arthur G. James Cancer Hospital
& Richard J. Solove Research Inst. Bd:**

Robert M. Duncan (3 years), Chair
Jo Ann Davidson (3 years)

University Hospitals Board:

Robert M. Duncan (3 years)
Jo Ann Davidson (3 years)

Campus Partners Board:

Douglas G. Borrer (3 years)

Research Foundation Board of Directors:

Karen L. Hendricks (2 years)

University Foundation Board Ex Officio:

Class of Directors:

Tami Longaberger (1 year)
Dimon R. McFerson (2 years)
Douglas G. Borrer (3 years)

Wexner Center Foundation Board:

Leslie H. Wexner (2005)
Jo Ann Davidson+

Ohio State University Affiliates, Inc.:

Affiliated Entities Committee:

Zuheir Sofia (1 year)
Tami Longaberger (2 years)
Daniel M. Slane (3 years)

**SCIENCE AND TECHNOLOGY CAMPUS
BOARD OF DIRECTORS:**

WALDEN W. O'DELL

Regional Campus Trustee Liaisons:

Jo Ann Davidson, Mansfield
Tami Longaberger, Newark
Robert M. Duncan, Marion
Walden W. O'Dell, Lima

Self-Insurance Trust Board:

Dimon R. McFerson (3 years)

Richard M. Ross Heart Hospital:

Dimon R. McFerson

+President's Appointee

***Board Chair, Ex Officio member of all
committees**

**APPOINTMENTS TO THE ADRIA KRAVINSKY
FOUNDATION BOARD OF DIRECTORS**

Resolution No. 2004-3

Synopsis: Appointments to the Adria Kravinsky Foundation Board of Directors are recommended for approval.

WHEREAS on March 31, 2003, the Adria Kravinsky Foundation (the "Foundation") was established by Drs. Zell and Emily Kravinsky of Philadelphia, Pennsylvania; and

**APPOINTMENTS TO THE ADRIA KRAVINSKY
FOUNDATION BOARD OF DIRECTORS (contd)**

WHEREAS the Foundation shall operate exclusively to support, solely through its charitable and educational activities, The Ohio State University and the University's School of Public Health; and

WHEREAS the Foundation shall be operated, supervised and controlled by The Ohio State University; and

WHEREAS the Foundation shall be characterized as a supporting organization under Section 509(a) of the Internal Revenue Code due to its operation and supervision; and

WHEREAS the Foundation's Articles of Incorporation and Bylaws call for the appointment of three representatives from the University to the five-member Board of Directors of the Foundation:

NOW THEREFORE

BE IT RESOLVED, That Fred Sanfilippo, Caroline Whitacre, and Joseph Bull are hereby authorized to serve on the Board of Directors of the Adria Kravinsky Foundation and in their capacity as a director of the Foundation are hereby authorized and instructed to represent the interest of the University as part of their official responsibilities to the University, entitling them to any immunity, insurance, or indemnity protection to which officers and employees of the University are, or hereafter may become, entitled.

**APPOINTMENTS TO THE UNIVERSITY HOSPITALS BOARD AND
UNIVERSITY HOSPITALS EAST BOARD**

Resolution No. 2004-4

Synopsis: Approval of appointments to the University Hospitals Board and University Hospitals East Board is proposed.

WHEREAS the membership of the Hospitals Board was approved on April 4, 1980; and

WHEREAS the Board of Trustees on December 6, 2001, approved the establishment of a University Hospitals East Board:

NOW THEREFORE

BE IT RESOLVED, That Pamela Farber and George A. Skestos be appointed as citizen members of the University Hospitals East Board for the terms July 1, 2003, through May 30, 2006, and that George A. Skestos be appointed as chairperson; and

BE IT FURTHER RESOLVED, That George A. Skestos be appointed as vice chairperson of The Ohio State University Hospitals Board.

**AMENDMENTS TO THE MEDICAL STAFF BYLAWS AND RULES AND
REGULATIONS OF THE OHIO STATE UNIVERSITY HOSPITALS EAST**

Resolution No. 2004-5

Synopsis: The amendments to the *Medical Staff Bylaws and Rules and Regulations* of The Ohio State University Hospitals East are recommended for approval.

**AMENDMENTS TO THE *MEDICAL STAFF BYLAWS AND RULES AND
REGULATIONS OF THE OHIO STATE UNIVERSITY HOSPITALS EAST (contd)***

WHEREAS The Ohio State University Hospitals Board pursuant to bylaw 3335-101-04 of the Hospitals Board Bylaws is authorized to recommend to the Board of Trustees the adoption of amendments to the *Medical Staff Bylaws and Rules and Regulations* of The Ohio State University Hospitals East; and

WHEREAS the proposed amendments to the *Medical Staff Bylaws and Rules and Regulations* of The Ohio State University Hospitals East were approved by the University Hospitals Board on May 22, 2003, as attached.

NOW THEREFORE

BE IT RESOLVED, That the attached amendments to the *Medical Staff Bylaws and Rules and Regulations* of The Ohio State University Hospitals East be adopted as recommended by the University Hospitals Board.

(See Appendix II for background information, page 97.)

REGIONAL CAMPUS BOARD APPOINTMENT/REAPPOINTMENT

Resolution No. 2004-6

Synopsis: Approval of an appointment/reappointment to The Ohio State University Lima Campus Board is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of ten members appointed by The Ohio State University Board of Trustees in consultation with the president of the university;" and

WHEREAS the following named persons have been nominated and selected for appointment/reappointment to the Lima Campus Board for the terms as specified:

Lima Board Appointment

Robert C. Young, 3-year term

Lima Board Reappointment

Dow Wagner, 3-year term (3rd term)

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominees be approved as members of The Ohio State University-Lima Board, effective July 1, 2003.

AMENDMENTS TO THE REGIONAL CAMPUS BOARD OF TRUSTEES BYLAWS

Resolution No. 2004-7

Synopsis: Approval of amendments to the *Bylaws* of each of the Regional Campuses Boards (Lima, Mansfield, Marion, and Newark) is recommended.

WHEREAS pursuant to bylaw 3335-1-09 of the *Bylaws of the Board of Trustees*, the *Bylaws* of each of the Regional Campuses Boards may be amended by The Ohio State University Board of Trustees; and

WHEREAS the following changes to the *Bylaws* of each of the Regional Campuses Boards reflect the recommendations made by the Commission on Regional Campuses; and

WHEREAS the proposed amendments to the *Bylaws* of each of the Regional Campuses Boards are recommended as attached:

NOW THEREFORE

BE IT RESOLVED, That the attached amendments to the *Bylaws* of each of the Regional Campuses Boards be adopted, effective immediately.

(See Appendix III for Newark Board *Bylaws*, page 131. Identical changes have been made to the Lima, Mansfield, and Marion Board *Bylaws*.)

**RENAMING OF THE DEPARTMENT AND UNDERGRADUATE PROGRAM
OF HUMAN NUTRITION AND FOOD MANAGEMENT**

Resolution No. 2004-8

Synopsis: Renaming of the Department of Human Nutrition and Food Management and the undergraduate program of Human Nutrition and Food Management is proposed.

WHEREAS in 2001 the undergraduate program in Hospitality Management and three faculty who teach and do research in this area were transferred from the Department of Human Nutrition and Food Management to the Department of Consumer and Textile Sciences; and

WHEREAS the remaining faculty and professional staff in the Department of Human Nutrition and Food Management are all specialists in the area of human nutrition and the department plans to enhance the quality of its academic programs and its scholarly activities in nutrition sciences; and

WHEREAS the department faculty and professional staff voted unanimously to change the name of the department and the undergraduate program and the faculty of the College of Human Ecology unanimously supported the changes; and

WHEREAS academic units with related interests – animal sciences, food science and technology, and medical dietetics – supported the proposals; and

WHEREAS the proposal was discussed and approved unanimously by the Council on Academic Affairs, and was approved by the University Senate at its June 5, 2003 meeting:

NOW THEREFORE

BE IT RESOLVED, That the Department of Human Nutrition and Food Management and the program name be changed to the Department of Human Nutrition and the undergraduate program in Human Nutrition, effectively immediately.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 2004-9

Synopsis: Approval of the following amendments to the *Rules of the University Faculty* are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* as approved by the University Senate; and

WHEREAS the proposed changes in the *Rules of the University Faculty* were approved by the University Senate on June 5, 2003:

New Rule

3335-11-10 Recreational Sports Committee.

(A) Membership.

The recreational sports committee shall consist of twenty-one members:

- (1) Two faculty members appointed by the faculty council. The term of service is two years.
- (2) Nine students:
 - (a) Two graduate students selected by the council of graduate students. The term of service is two years.
 - (b) One professional student selected by the inter-professional council. The term of service is one year.
 - (c) Six undergraduate students selected by the undergraduate student government. The term of service is two years.
- (3) Two staff members selected by the university staff advisory committee. The term of service is two years.
- (4) Seven administrators, ex officio, non-voting, or their designees:
 - (a) The vice president for student affairs.
 - (b) The senior vice president for business and finance.
 - (c) The director of the department of recreational sports.
 - (d) The director of the department of physical facilities.
 - (e) The director of the department of athletics.
 - (f) The director of the school of physical activity and educational services.
 - (g) The director of the student wellness center.
- (5) One non-voting staff member from the department of recreational sports to act as the secretary for the committee. The term of service is one year.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

New Rule (contd)

(B) Duties and responsibilities.

- (1) Initiate recommendations and review proposals with regard to policies that may affect the recreational sports facilities and programs.
- (2) Make recommendations to the director of recreational sports regarding the usage priority for recreational sports facilities space, co-operative recreational sports ventures, and adjustments to agreements specified in the 1998 Larkins hall "Project Memorandum of Understanding."
- (3) Collect feedback on recreational sports issues in order to evaluate the quality of recreational sports facilities maintenance, daily operations, and programs, recommending changes as appropriate.
- (4) Annually review and revise the long-term maintenance plan for recreational sports facilities.
- (5) Reviewing all budgets and expenditures of the department of recreational sports and the appropriate portions of budgets and expenditures of all general funds, student affairs funds, college of education funds, and department of athletics funds that contribute to recreational facilities operations and programs.
- (6) Deciding the future of the Larkins hall construction debt service portion of the student recreation fee once the debt service has been retired.
- (7) Recommending the disbursement of windfall dollars such as donor gifts, corporate sponsorship and advertising revenue upon review of established recreational sports facilities funding proformas.
- (8) Recommending intrer-departmental fees for non-recreational sports use of space designed and funded for recreational sports.
- (9) Serve as a channel of communication for information regarding recreational sports among the office of business and finance, the department of physical facilities, the college of education, the school of physical activity and educational services, the department of athletics, the council on student affairs, the athletic council, the undergraduate student government, the council of graduate students, the inter-professional council, faculty council, and the university staff advisory committee.
- (10) Report annually to the council on student affairs.

(C) Organization.

- (1) The chair shall be elected from among the student membership of the committee.
- (2) The committee shall establish operating procedures to conduct, in an orderly fashion, the functions of the committee.

Amended Rules

3335-5-33 Membership.

There shall be a council on research and graduate studies constituted as follows:

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

- (A) Unchanged.
- (B) Chair. The vice provost for graduate studies and dean of the graduate school shall chair the council on research and graduate studies.
- (C) ~~Elected faculty~~ Faculty members. ~~Forty~~ Forty-four members of the graduate faculty, ~~four~~ elect ~~by from~~ from the regular members of the graduate faculty ~~from~~ of each of the ~~ten~~ seventeen colleges with graduate areas programs and the group of cross-college interdisciplinary graduate programs so designated by the dean of the graduate school: business (3), social work (1), food, agricultural, and environmental sciences (3), human ecology (1), ~~the~~ arts (4), biological sciences (4), ~~business,~~ education (4), engineering sciences (4), humanities (4), mathematical and physical sciences and mathematics (4), ~~professional biological sciences medicine and public health~~ (1), nursing (1), dentistry (1), optometry (1), veterinary medicine (1), pharmacy (1), ~~and~~ social and behavioral sciences (4), ~~and interdisciplinary~~ (2).
- (D) Alternate faculty members. Each faculty member of the council is expected to attend its meetings regularly, ~~but alternate~~ Alternate representatives shall be elected ~~for~~ from each ~~area~~ college and cross-college interdisciplinary group in order to assure full attendance at meetings when ~~elected~~ regular faculty members are justifiably absent. When ~~an elected~~ a regular member is off duty or unable to attend a meeting of the council, the member shall notify the secretary of the graduate school in advance of the meeting, giving the name of the alternate who will attend. The alternate shall then have the general powers and privileges in the council of the member represented.

Service as an alternate does not make the alternate ineligible for ~~election to~~ membership in the council the following year.

- (E) Postdoctoral scholar. Two postdoctoral scholars appointed by the dean of the graduate school. The term of service is one year, and a member may be reappointed for a maximum of three years.
- ~~(E)~~(F) Student members. Twelve graduate students, ~~one from each of the ten graduate areas, and two at large,~~ shall be elected by the council of graduate students. These members shall serve terms of one year and shall be eligible for at most three consecutive terms.
- ~~(F)~~(G) Alternate student members. Each student member of the council is expected to attend its meetings regularly but an alternate representative shall be appointed for each member in order to assure full attendance at regular meetings when elected student members are justifiably absent. When a student member is unable to attend a meeting of the council, the member shall notify the secretary of the graduate school in advance of the meeting, giving the name of the alternate who will attend. The alternate shall then have the general powers and privileges in the council of the member represented.
- ~~(G)~~(H) The vice president for research is an ex officio member of the council.
- ~~(H)~~(I) The chair of the research committee is an ex officio member of the council if not already a member. (B/T 4/4/97, B/T 12/4/98, B/T 7/11/2003)

3335-5-34 Election of faculty members.

- (A) Faculty members shall be elected from each of the ~~ten~~ seventeen graduate ~~areas~~ colleges and the group of cross-college interdisciplinary programs defined in rule 3335-5-33 of the Administrative Code, for ~~staggered~~ terms of four years and shall be ineligible ~~for reelection~~ to serve for one year thereafter.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

- (B) ~~Elections~~ The election process shall be administered and supervised by the graduate school. ~~There shall be a council on research and graduate studies elections committee which shall be composed each year of the ten area members then retiring election process in each college having graduate programs and in the cross-college interdisciplinary program group. This committee shall have power to make final rules on all matters not specifically covered by these rules. Elections shall be held. The process shall be conducted by the college dean or designee in the spring quarter of each year for terms beginning with. Names of the persons selected through this process shall be reported to the graduate school. Faculty identified through this process will serve four year terms beginning in the following autumn quarter.~~

~~There shall be a council nominating committee in each area. The chair shall be the retiring member of the council representing that area. Members shall be the continuing members representing that area and the chair of the graduate studies committee for each graduate program in that area. In the absence of a graduate studies committee, the department chair or designee shall serve. The committee shall make a minimum of four nominations, including nominations received by petition from any six or more members of the graduate faculty of the area concerned. The elections committee shall prepare and mail the election ballot to each member of the graduate faculty. The election ballots shall be counted by that committee. The person or persons receiving the highest number of votes shall be elected to the vacancy or vacancies existing at the time of election. The person receiving the next highest number of votes shall be an alternate member for a term of two years. (B/T 7/11/2003)~~

3335-5-35 Powers and functions.

The council on research and graduate studies shall be the principal legislative body of the graduate school and, subject to modification or reversal by the graduate faculty, shall have the following powers and functions:

- (A) and (B) unchanged.
- (C) To submit to the council on academic affairs recommendations about proposals for adopting new courses and curricula involving graduate credit or for altering or abolishing existing ones ~~to the council on academic affairs~~.
- (D) To submit to the council on academic affairs recommendations about adopting or abolishing academic degrees administered by the graduate school ~~to the council on academic affairs~~.
- (E) through (G) unchanged.
- (H) To oversee the graduate area program representation plan established by rule 3335-5-33 of the Administrative Code.

- (I) Unchanged.

3335-5-485 Athletic council.

- (A) Unchanged.
- (B) Duties and responsibilities.
- (1) Develop, subject to the general authority of the president and the board of trustees, policies governing intercollegiate ~~and intramural~~ athletics, as the agent of the senate. The senate may hold these policies in review.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

(a) through (c) unchanged.

(d) ~~The athletic council shall appoint one of its members to the recreation-intramural sports advisory council. The athletic council shall keep informed of activities, needs, and plans for recreation and intramural sports programs through the director of university recreation and intramural sports.~~

Balance unchanged.

3335-5-488 Committee on honorary degrees.

(A) Membership.

The committee on honorary degrees shall consist of ~~five~~ seven members of the regular faculty selected by the faculty council. There shall be no more than two faculty members from the same college. The term of service is five years.

Balance unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Rules of the University Faculty* be adopted as recommended by the University Senate.

AMENDMENTS TO THE CODE OF STUDENT CONDUCT

Resolution No. 2004-10

Synopsis: Approval of the following amendments to the *Code of Student Conduct* are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Code of Student Conduct* as approved by the University Senate; and

WHEREAS the Council on Student Affairs has reviewed and approved said revisions to the *Code of Student Conduct*; and

WHEREAS the proposed changes in the *Code of Student Conduct* were approved by the University Senate at its June 5, 2003 meeting as follows:

Amended Rules

3335-23-04 Prohibited conduct.

Any student found to have engaged in the following conduct while within the university's jurisdiction, as set forth in rule 3335-23-02 of the Administrative Code, will be subject to disciplinary action by the university.

(A) through (G) unchanged.

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

- (H) Failure to comply with university or civil authority.

Failure to comply with legitimate directives of authorized university officials, law enforcement or emergency personnel, identified as such, in the performance of their duties, including failure to identify oneself when so requested; or violation of the terms of a disciplinary sanction.

- (I) through (O) unchanged.

- (P) Riotous behavior.

- (1) Participation in a disturbance with the purpose to commit or incite any action that presents a clear and present danger to others, causes physical harm to others, or damages property.
- (2) Proscribed behavior in the context of a riot includes, but is not limited to:
 - (a) Knowingly engaging in conduct designed to incite another to engage in riotous behavior; and
 - (b) Actual or threatened damage to or destruction of university property or property of others, whether done intentionally or with reckless disregard; and
 - (c) Failing to comply with a directive to disperse by university officials, law enforcement or emergency personnel; and
 - (d) Intimidating, impeding, hindering or obstructing a university official, law enforcement or emergency personnel in the performance of their duties.
- (3) This rule shall not be interpreted as proscribing peaceful demonstrations, peaceful picketing, a call for a peaceful boycott, or other forms of peaceful dissent.

3335-23-10 Hearing procedures.

Although the procedural requirements are not as formal as those existing in criminal or civil courts of law, to ensure fairness, the following procedures will apply and, unless already provided to the student, be included within the hearing notice:

- (A) through (E) unchanged.

- (F) Students are entitled to a presumption of innocence. Therefore, a student will not be found in violation unless:

- (1) and (2) unchanged.

- (3) In the event of a tie, the panel will continue to deliberate. If after the panel determines that exhaustive deliberations have occurred and a majority decision is not reached the student will be found not in violation.

3335-23-20 Interim suspension.

When the vice president for student affairs or designee has reasonable cause to believe that the student's presence on university premises or at a university-related or registered student organization activity poses a significant risk of substantial harm to the health or safety of others or to property, the student may be immediately suspended from all or any portion of university

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

premises, university-related activities or registered student organization activities, and is not permitted to participate in, or complete academic coursework. This temporary suspension will be confirmed by a written statement and shall remain in effect until the conclusion of a full hearing or administrative decision, without undue delay, in accordance with the rules of the Ohio state university. The student may, within three working days of the imposition of the suspension, petition the vice president for student affairs for reinstatement. The petition must be in writing, and must include supporting documentation or evidence that the student does not pose, or no longer poses, a significant risk of substantial harm to the health or safety of others or to property. A hearing on such petition will be conducted without undue delay by the vice president for student affairs or designee.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Code of Student Conduct* be adopted as recommended by the University Senate.

DEGREES AND CERTIFICATES - SUMMER QUARTER COMMENCEMENT

Resolution No. 2004-11

Synopsis: Approval of Degrees and Certificates for Summer Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on August 29, 2003, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2004-12

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the May 30, 2003 meeting of the Board, including the following Appointments/Reappointments, Appointment/Reappointments of Chairpersons and Directors, Leaves of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles, and salary rolls with promotion and tenure, as detailed in the University Budget be approved.

PERSONNEL ACTIONS (contd)

Appointments

Name: STANLEY C. AHALT
Title: Executive Director
Center: Ohio Supercomputer Center
Term: July 1, 2003, through June 30, 2008
Concurrent Position: Professor, Department of Electrical Engineering

Name: ANIL ARYA
Title: Professor (The John J. Gerlach Chair)
College: The Max M. Fisher College of Business
Term: July 1, 2003, through June 30, 2008

Name: JANET BOX-STEFFENSMEIER
Title: Associate Professor (The Vernal G. Riffe Professorship in Government and Politics)
College: Social and Behavioral Sciences
Term: July 1, 2003, through June 30, 2008

Name: ROBERT A. BORNSTEIN
Title: Associate Vice President for Health Sciences
College: Medicine and Public Health
Effective: July 1, 2003
Present Position: Associate Dean for Academic Affairs, College of Medicine and Public Health

Name: MICHAEL A. CALIGIURI
Titles: Associate Vice President for Health Sciences (Cancer Programs)/
Director
Office/Center: Health Sciences/Comprehensive Cancer Center
Effective: July 1, 2003
Concurrent Position: Director and Professor, Division of Hematology/Oncology

Name: CHING-SHIH CHEN
Title: Professor (Charles H. Kimberly Professorship in Pharmacy)
College: Pharmacy
Term: October 1, 2003, through September 30, 2006

Name: ELIZABETH A. CONLISK
Title: Acting Vice President for University Relations
Office: University Relations
Term: July 31, 2003, through September 7, 2003
Present Position: Director, Media Relations

Name: LINDA L. HARLOW
Title: Associate Provost for Honors and Scholars
Office: Academic Affairs
Term: July 1, 2003, through June 30, 2007
Present Position: Interim Associate Provost for Honors and Scholars

Name: JACQUELINE J. ROYSTER
Title: Interim Dean
College: Humanities
Effective: July 1, 2003
Present Position: Associate Dean, College of Humanities

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: BARBARA Z. KIEFER
Title: Professor (The Charlotte S. Huck Professorship in Children's Literature)
School: Teaching and Learning
Term: October 1, 2003, through September 30, 2008
Present Position: Associate Professor (Robinson Professor of Children's Literature), Teachers College, Columbia University, New York, NY

Name: ROBERT E. MICHLER
Title: Professor (The John G. and Jeanne Bonnet McCoy Chair in The Ohio State University Heart Center)
College: Medicine and Public Health
Term: July 1, 2003, through June 30, 2007
Concurrent Position: Director, Division of Cardiothoracic Surgery, Department of Surgery

Name: WILLIAM M. MURPHY
Title: Vice President for University Relations
Office: University Relations
Effective: September 8, 2003
Present Position: Associate Chancellor for Public Affairs, University of Illinois at Urbana-Champaign, Urbana, IL

Name: MICHAEL F. PARA
Title: Professor (Frank E. and Mary W. Pomerene Professorship in the Prevention and Treatment of Infectious Diseases)
College: Medicine and Public Health
Term: July 1, 2003, through June 30, 2007

Name: DAVID E. SCHULLER
Title: Associate Vice President for Health Sciences (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)
Office: Health Sciences
Effective: July 1, 2003
Concurrent Position: Director of The James Cancer Hospital, and Chairperson, Department of Otolaryngology

Name: BARBARA R. SNYDER
Title: Interim Executive Vice President and Provost
Office: Academic Affairs
Effective: July 31, 2003
Present Position: Interim Vice President for University Relations

Reappointments

Name: SUSAN W. FISHER
Title: Secretary of the University Senate
Office: Academic Affairs
Term: October 1, 2003, through September 30, 2006
Concurrent Position: Professor, Departments of Entomology and Veterinary Biosciences

Name: DEV S. PATHAK
Title: Interim Dean for Public Health
College/School: Medicine and Public Health/School of Public Health
Term: June 1, 2003 through September 30, 2003

PERSONNEL ACTIONS (contd)

Appointment of Chairpersons

July 1, 2003, through June 30, 2007

Dance
Near Eastern Languages and Cultures

L. Scott Marsh
Richard Davis

Reappointment of Chairpersons and Directors

July 1, 2003, through September 30, 2003

Family Medicine
James Cancer Hospital
Nisonger Center
Pediatrics

Mary Jo Welker
David E. Schuller
Steven Reiss
Thomas N. Hansen

Reappointment of Men's Head Football Coach

JAMES P. TRESSEL, new 6-year contract. Term of the contract: February 1, 2003, through January 31, 2009, with possible 3-year extension.

Leaves of Absence Without Salary

GREGORY A. CALDEIRA, Distinguished University Professor, Department of Political Science, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to serve as the Jack N. Pritzker Distinguished Professor of Law in the School of Law at Northwestern University.

JON A. KROSNICK, Professor, Department of Psychology, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to accept a visiting professorship in the Department of Communication at Stanford University.

MOHAMED F. YOUSIF, Professor, Department of Mathematics (Lima Campus), effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to carry out research collaborations at the Al-Azhar University, the Cairo University, and The American University in Cairo, Cairo, Egypt.

SUSAN DALLAS-SWANN, Associate Professor, Department of Art, effective Autumn Quarter 2003, for personal reasons.

LAURA N. LISBON, Associate Professor, Department of Art, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to except a position at the Maine College of Art.

NATHAN S. ROSENSTEIN, Associate Professor, Department of History, effective Autumn Quarter 2003, to accept a visiting appointment at the University of California at Berkeley.

PHILIP A. ARMSTRONG, Assistant Professor, Department of Comparative Studies, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to work on a book manuscript.

Leave of Absence Without Salary – Cancellation

SYLVIA A. BERRYMAN, Assistant Professor, Department of Philosophy, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004.

Leave of Absence Without Salary--Change in Dates

CATHERINE A. HEANEY, Associate Professor, School of Public Health, change leave from Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to Winter Quarter and Spring Quarter 2004.

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves

WOLFGANG D. BAUER, Professor, Department of Horticulture and Crop Science, effective Summer Quarter and Autumn Quarter 2003, and Winter Quarter and Spring Quarter 2004.

JEFFREY J. DANIELS, Professor, Department of Geological Sciences, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004.

PATRICIA B. REAGAN, Professor, Department of Economics, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004.

MOHAMED F. YOUSIF, Professor, Department of Mathematics (Lima Campus), effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

CRICHTON L. OGLE, Associate Professor, Department of Mathematics, effective Winter Quarter and Spring Quarter 2004.

MARIO PERUGGIA, Associate Professor, Department of Statistics, effective Winter Quarter 2004.

Professional Improvement Leaves—Change in Dates

STUART H. ZWEBEN, Professor and Chair, Department of Computer and Information Science, change leave from Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to Autumn Quarter 2004, Winter and Spring Quarter 2005.

ELIZABETH B. DAVIS, Associate Professor, Department of Spanish and Portuguese, change leave from Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

Emeritus Titles

EDWARD J. RAY, Office of Academic Affairs and Department of Economics, with the title Executive Vice President and Provost and Professor Emeritus, effective August 1, 2003.

KENNETH J. BREEDING, Department of Electrical Engineering, with the title Professor Emeritus, effective July 1, 2003.

DAVID M. BUTLER, School of Music, with the title Professor Emeritus, effective July 1, 2003.

JAMES L. GINTER, Department of Marketing and Logistics, with the title Professor Emeritus, effective July 1, 2003.

LOUIS A. JACOBS, College of Law, with the title Professor Emeritus, effective July 1, 2003.

DANIEL L. JENSEN, Department of Accounting and Management Information Systems, with the title Professor Emeritus, effective July 1, 2003.

JAMES S. KING, Department of Neuroscience, with the title Professor Emeritus, effective July 1, 2003.

PAPPACHAN E. KOLATTUKUDY, Department of Molecular and Cellular Biochemistry, with the title Professor Emeritus, effective July 1, 2003.

JOEL L. MORRISON, Department of Geography, with the title Professor Emeritus, effective July 1, 2003.

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

WILLIAM R. FENNER, Department of Veterinary Clinical Sciences, with the title Associate Professor Emeritus, effective July 1, 2003.

RUTH A. FOOTE, Ohio State University Extension, with the title Associate Professor Emeritus, effective July 1, 2003.

ANGELIKA R. GERBES, Department of Dance, with the title Associate Professor Emeritus, effective July 1, 2003.

SHARON K. HOUSEKNECHT, Department of Sociology, with the title Associate Professor Emeritus, effective July 1, 2003.

DENNIS A. PARKER, Department of Theatre, with the title Associate Professor Emeritus, effective July 1, 2003.

JOHN O. RIEDL, Department of Mathematics (Mansfield Campus), with the title Associate Professor Emeritus, effective July 1, 2003.

WILLIAM J. SULLIVAN, Department of English (Lima Campus), with the title Associate Professor Emeritus, effective October 1, 2003.

NORMAN L. MOLL, Ohio State University Extension, with the title Assistant Professor Emeritus, effective July 1, 2003.

Promotions, Tenure, and Reappointments

COLLEGE OF THE ARTS

PROMOTION TO PROFESSOR

Bole, Mary Jo S., Art - effective 10/01/2003

Brandesky, Jr., Joseph E., Theatre, Lima - effective 10/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Hong, Caroline J., School of Music - effective 10/01/2003

Modrak, Rebekah, Art - effective 10/01/2003

Stanton, Nicole L., Dance - effective 10/01/2003

Valentine, Jr., Edward E., Art, Lima - effective 10/01/2003

COLLEGE OF BIOLOGICAL SCIENCES

PROMOTION TO PROFESSOR

Simcox, Amanda A., Molecular Genetics - effective 10/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Foster, Mark P., Biochemistry - effective 10/01/2003

Gopalan, Venkat, Biochemistry - effective 10/01/2003

Herman, Paul K., Molecular Genetics - effective 10/01/2003

Lanno, Roman P., Entomology - effective 10/01/2003

Meier, Iris, Plant Biology - effective 10/01/2003

Waite, Thomas A., Evolution, Ecology & Organismal Biology - effective 10/01/2003

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF DENTISTRY

PROMOTION TO PROFESSOR

Griffen, Ann L. - effective 07/01/2003
Herness, M. Scott - effective 07/01/2003
Mallery, Susan R. - effective 07/01/2003
Mariotti, Angelo J. - effective 07/01/2003
Travers, Susan P. - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Dhabhar, Firdaus S. - effective 07/01/2003
Leys, Eugene J. - effective 07/01/2003
Moursi, Amr M. - effective 07/01/2003
Padgett, David A. - effective 07/01/2003

TENURE [at rank of Associate Professor]

Firestone, Allen R. - effective 07/01/2003

**COLLEGE OF DENTISTRY
REGULAR CLINICAL**

PROMOTION TO PROFESSOR-CLINICAL

Weaver II, Joel M. - effective 07/01/2003

PROMOTION TO PROFESSOR-CLINICAL AND REAPPOINTMENT

Ness, Gregory M. - effective 07/01/2003 and 07/01/2004, respectively

REAPPOINTMENT

Dillard, Kristi A. - effective 07/01/2004
Hall, David L. - effective 07/01/2004
Jolly, Daniel E. - effective 07/01/2004
McClure, Beverly A. - effective 07/01/2004
Rashid, Robert G. - effective 07/01/2004
Reed, Daniel N. - effective 07/01/2004

COLLEGE OF EDUCATION

PROMOTION TO PROFESSOR

Scharer, Patricia L., School of Teaching & Learning - effective 10/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Armstrong, Ketra L., School of Physical Activity & Educational Services - effective 10/01/2003
Granello, Paul F., School of Physical Activity & Educational Services - effective 10/01/2003
Seidl, Barbara L., School of Teaching & Learning - effective 10/01/2003
Sweetland, Scott R., School of Educational Policy & Leadership - effective 10/01/2003
Tyson, Cynthia A., School of Teaching & Learning - effective 10/01/2003
Wong, Shelley, School of Teaching & Learning - effective 10/01/2003

COLLEGE OF ENGINEERING

PROMOTION TO PROFESSOR

Berger, Paul R., Electrical Engineering - effective 10/01/2003
Buchheit, Rudolph G., Materials Science & Engineering - effective 10/01/2003
Castro, Jose M., Industrial, Welding & Systems Engineering - effective 10/01/2003
Roblin, Patrick, Electrical Engineering - effective 10/01/2003

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF ENGINEERING (contd)

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Machiraju, Raghu, Computer & Information Science - effective 10/01/2003
Mishalani, Rabi G., Civil & Environmental Engineering & Geodetic Science - effective 10/01/2003
Walter, Mark E., Mechanical Engineering - effective 10/01/2003
Weavers, Linda K., Civil & Environmental Engineering & Geodetic Science - effective 10/01/2003

COLLEGE OF FOOD, AGRICULTURAL & ENVIRONMENTAL SCIENCES

PROMOTION TO PROFESSOR

Graham, Terrence L., Plant Pathology - effective 07/01/2003
Miller, Sally A., Plant Pathology - effective 07/01/2003
Zhang, Howard Q., Food Science & Technology - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Courtney, Polly D., Food Science & Technology - effective 07/01/2003
Dorrance, Anne E., Plant Pathology - effective 07/01/2003
Francis, David M., Horticulture & Crop Science - effective 07/01/2003
Haab, Timothy C., Agricultural, Environmental & Development Economics - effective 10/01/2003
Jang, Jyan-Chyun, Horticulture & Crop Science - effective 07/01/2003
Roe, Brian E. Agricultural, Environmental & Development Economics - effective 07/01/2003
Soboyejo, Alfred B. O., Food, Agricultural & Biological Engineering - effective 10/01/2003

EXTENSION

PROMOTION TO ASSOCIATE PROFESSOR

Nolan, Jill E. - effective 07/01/2003
Penrose, Christopher D. - effective 07/01/2003
Samples, David H. - effective 07/01/2003
Schwartz, Vicki J. - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Bowling, Chester J. - effective 07/01/2003
Conglose, John B. - effective 07/01/2003

COLLEGE OF HUMANITIES

PROMOTION TO PROFESSOR

Davis, Robert C., History – effective 10/01/2003
Sikainga, Ahmad A., History – effective 10/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Adams, David D., English, Lima - effective 10/01/2003
Allen, Chadwick, English - effective 10/01/2003
Alwes, Derek B., English, Newark - effective 10/01/2003
Bender, Mark A., East Asian Languages & Literatures - effective 10/01/2003
Burks, Deborah G., English, Lima - effective 10/01/2003
Jones, Christopher A., English - effective 10/01/2003
Murphy, Lucy E., History, Newark - effective 10/01/2003
Phelps, Christopher B., History, Mansfield - effective 10/01/2003
Reed, Christopher A., History - effective 10/01/2003
Schwenter, Scott A., Spanish & Portuguese - effective 10/01/2003
Sieber, Patricia A., East Asian Languages & Literatures - effective 10/01/2003
Urban, Hugh B., Comparative Studies in the Humanities - effective 10/01/2003
Wilson, Ara A., Women's Studies - effective 10/01/2003

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF HUMAN ECOLOGY

PROMOTION TO PROFESSOR

Gavazzi, Stephen M., Human Development & Family Science - effective 10/01/2003

Serovich, Julianne M., Human Development & Family Science - effective 07/01/2003

COLLEGE OF LAW

PROMOTION TO PROFESSOR

Cole, Sarah R. - effective 08/16/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Johnson, Creola - effective 08/16/2003

LIBRARIES

PROMOTION TO PROFESSOR

Couch, Nena L. - effective 07/01/2003

Walden, G. R. - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Courtney, Nancy D. - effective 07/01/2003

Rodman, Ruey L. - effective 07/01/2003

COLLEGE OF MATHEMATICAL & PHYSICAL SCIENCES

PROMOTION TO PROFESSOR

Babcock, Loren E., Geological Sciences - effective 10/01/2003

Chin, Yu-Ping, Geological Sciences - effective 10/01/2003

Pogge, Richard W., Astronomy - effective 10/01/2003

Singer, Sherwin J., Chemistry - effective 10/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Kohler, Bern, Chemistry - effective 10/01/2003

Leibman, Alexander, Mathematics - effective 10/01/2003

Lou, Yuan, Mathematics - effective 10/01/2003

Miller, Christopher L., Mathematics - effective 10/01/2003

Miralda, Jordi, Astronomy - effective 10/01/2003

COLLEGE OF MEDICINE & PUBLIC HEALTH

PROMOTION TO PROFESSOR

Besner, Gail E., Surgery - effective 07/01/2003

Jhiang, Sissy M., Physiology & Cell Biology - effective 07/01/2003

Pease, William S., Physical Medicine & Rehabilitation - effective 07/01/2003

Rammohan, Kottai W., Neurology - effective 07/01/2003

Wolf, Randall K., Surgery - effective 07/01/2003

Yu, Joseph P., Radiology - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR [has tenure]

Bennett, William F., Radiology - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR [without tenure]

Bourekas, Eric C., Radiology - effective 07/01/2003

Vitellas, Kenneth M., Radiology - effective 07/01/2003

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF MEDICINE & PUBLIC HEALTH (contd)

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Christoforidis, Gregory, Radiology - effective 07/01/2003
Frankel, Wendy L., Pathology - effective 07/01/2003
Henion, Paul D., Neuroscience - effective 10/01/2003
Leone, Gustavo W., Molecular Virology, Immunology & Medical Genetics - effective 10/01/2003
Mansky, Louis M., Molecular Virology, Immunology & Medical Genetics - effective 10/01/2003
Sen, Chandan K., Surgery - effective 07/01/2003
Zheng, Pan, Pathology - effective 07/01/2003

**COLLEGE OF MEDICINE & PUBLIC HEALTH
REGULAR CLINICAL**

PROMOTION TO PROFESSOR-CLINICAL

Murray, Robert D., Pediatrics - effective 07/01/2003
Tsao, Chang-Yong, Internal Medicine - effective 07/01/2003
Werman, Howard A., Emergency Medicine - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

French, Gina M., Pediatrics - effective 07/01/2003
Olshefski, Randal S., Pediatrics - effective 07/01/2003
Paolicchi, Juliann M., Pediatrics - effective 07/01/2003
Slone, Hasel W., Radiology - effective 07/01/2003
Trout, Wayne C., Obstetrics & Gynecology - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Ross, Jr., Patrick, Surgery - effective 07/01/2003 and 07/01/2004, respectively

REAPPOINTMENT

Batisky, Donald L., Pediatrics - effective 07/01/04
Batley, Rosalind J., Physical Medicine & Rehabilitation - effective 07/01/04
Bay, William H., Internal Medicine - effective 07/01/04
Blumenfeld, Michael L., Obstetrics & Gynecology - effective 07/01/04
Bowyer, Brian L., Physical Medicine & Rehabilitation - effective 07/01/04
Castellano, David, Ophthalmology - effective 07/01/04
Cronau, Holly R., Family Medicine - effective 07/01/04
Dietrich, Ann M., Pediatrics - effective 07/01/04
El-Shammaa, Emile N., Emergency Medicine - effective 07/01/04
Falkenhain, Michael E., Internal Medicine - effective 07/01/04
Galloway, Gloria M., Pediatrics - effective 07/01/04
Gavin, Thomas J., Emergency Medicine - effective 07/01/04
Gray, Linda S., Internal Medicine - effective 07/01/04
Gupta, Bhagwandas, Anesthesiology - effective 07/01/04
Hewitt, Geri D., Obstetrics & Gynecology - effective 07/01/04
Hitchcock, Charles L., Pathology - effective 07/01/04
Kaide, Colin G., Emergency Medicine - effective 07/01/04
Khabiri, Hooman, Radiology - effective 07/01/04
Kloos, Richard T., Internal Medicine - effective 07/01/04
Koranyi, Katalin I., Pediatrics - effective 07/01/04
McEntyre, Wanda L., Physical Medicine & Rehabilitation - effective 07/01/04
Madow, Mitchell A., Internal Medicine - effective 07/01/04
Nag, Subir, Radiology - effective 07/01/04
Nelson, Richard N., Emergency Medicine - effective 07/01/04
Pope-Harman, Amy L., Internal Medicine - effective 07/01/04
Pozderac, Rodney V., Radiology - effective 07/01/04

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

**COLLEGE OF MEDICINE & PUBLIC HEALTH
REGULAR CLINICAL (contd)**

REAPPOINTMENT (contd)

Ragosin, Robert J., Radiology - effective 07/01/04
Termuhlen, Amanda M., Pediatrics - effective 07/01/04
Tolbert, Herman A., Psychiatry - effective 07/01/04
Weed, Harrison G., Internal Medicine - effective 07/01/04
Weiland, Jeffrey E., Internal Medicine - effective 07/01/04
Wenger, Gail D., Pathology - effective 07/01/2004

**COLLEGE OF OPTOMETRY
REGULAR CLINICAL**

REAPPOINTMENT

Bristow-Jenkins, LeVelle R. - effective 07/01/2004
Flom, Roanne E. - effective 07/01/2004
Good, Gregory W. - effective 07/01/2004
Green, Cynthia H. - effective 07/01/2004

COLLEGE OF PHARMACY

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Hoyt, Dale G. - effective 10/01/2003
Pedersen, Craig A. - effective 07/01/2003

COLLEGE OF SOCIAL & BEHAVIORAL SCIENCES

PROMOTION TO PROFESSOR

Bromwich, David H., Geography - effective 10/01/2003
Krivo, Lauren J., Sociology - effective 10/01/2003
Meyer, Katherine, Sociology - effective 10/01/2003
Pitt, Mark A., Psychology - effective 10/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Frye, Timothy M., Political Science - effective 10/01/2003
McCorriston, Joy, Anthropology - effective 10/01/2003
McGraw, William S., Anthropology - effective 10/01/2003

COLLEGE OF SOCIAL WORK

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Early, Theresa J. - effective 10/01/2003

COLLEGE OF VETERINARY MEDICINE

PROMOTION TO PROFESSOR

Skarda, Sr., Roman T., Veterinary Clinical Sciences - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Dyce, Jonathan, Veterinary Clinical Sciences - effective 07/01/2003
Saville, William J., Veterinary Preventive Medicine - effective 07/01/2003
Stich, Roger W., Veterinary Preventive Medicine - effective 10/01/2003

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF VETERINARY MEDICINE REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Bergdall-Costell, Valerie K., Veterinary Preventive Medicine - effective 07/01/2003

REAPPOINTMENT

Masterson, Margaret A., Veterinary Preventive Medicine - effective 07/01/2004

RESOLUTIONS IN MEMORIAM

Resolution No. 2004-13

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Robert E. Campbell

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 12, 2003, of Robert E. Campbell, Professor Emeritus in the Department of Psychology.

Professor Campbell held a Bachelor of Arts degree from the University of Cincinnati, and Master of Science and Doctor of Philosophy degrees from The Ohio State University. He was a psychologist in the Division of Counseling at Pennsylvania State University before joining the faculty of Psychology and the staff of the Center for Vocational Education at The Ohio State University in 1965. His teaching and scholarship focused on occupational psychology. Professor Campbell was a dedicated teacher and scholar.

Professor Campbell served as a consultant to the Veterans Administration and as an expert witness for the Social Security Administration. He was very committed to the application of occupational psychology to the international scene. His international activities included a Fulbright Professorship in Thailand, active membership in the International Association for Educational and Vocational Guidance, and leadership in projects conducted in Germany, The Netherlands, Poland, Sri Lanka, Tanzania, India, England, and Venezuela.

Among his notable scholarly achievements was his leadership in a project to develop a diagnostic taxonomy of adult career problems. He was an active member of his professional community, serving on the editorial boards of *The Journal of Vocational Behavior*, *The Personnel and Guidance Journal*, and *The Vocational Guidance Quarterly*. His activities also included membership on several professional committees, including the Scientific Affairs Committee of the Division of Counseling Psychology of the American Psychological Association, the Committee on Curriculum Development of the National Vocational Guidance Association, and the Committee on Counselor Renewal and Development of the American Personnel and Guidance Association.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Robert E. Campbell its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

RESOLUTIONS IN MEMORIAM (contd)

Gwendolyn B. Carson

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 11, 2003, of Gwendolyn B. Carson, Clinical Associate Professor Emeritus in the Department of Veterinary Clinical Sciences.

Professor Carson held a Bachelor of Science degree in bacteriology and a Master of Arts degree in physiological chemistry from The Ohio State University. She earned a doctoral degree in physiological chemistry from The Ohio State University in 1954 after completing a dissertation entitled "The Barbiturates in Forensic Chemistry." Professor Carson was a toxicology assistant in the Department of Physiological Chemistry and Pharmacology from 1944-50 and a toxicologist in the Franklin County Coroner's office from 1945-65. Professor Carson was an instructor in the Department of Physiological Chemistry and Pharmacology from 1950-63 and an instructor in the Department of Pharmacology from 1963-65. She was the director of the Toxicology Laboratory at OSU from 1957-65 when she was hired by the College of Veterinary Medicine to direct the Equine Drug Testing Laboratory. Professor Carson was appointed assistant professor in 1965 and clinical associate professor in 1973. She retired from The Ohio State University in 1980.

Her scholarship interest focused on developing and using methods to detect and identify various drugs, drug metabolites, and poisons in biological fluids collected from humans and animals, especially horses. Professor Carson established the Toxicology Laboratory at The Ohio State University in 1950 and was responsible for preparation of all toxicology reports, records, and chain of custody documentation. Professor Carson was the author of the *Analytical Toxicology Manual* that was used in the Toxicology Laboratory at The Ohio State University as well as that used at the University of California.

She was a member of the American Chemical Society, the American Association for the Advancement of Science, American Academy of Forensic Scientists, Sigma Xi, the American Association of Clinical Chemists, and a charter member of the International Association of Forensic Toxicologists. Professor Carson was widely admired for her dedication and integrity. Her knowledge and expertise in the field of forensic chemistry were recognized locally and nationally and she was frequently called as an expert witness by prosecuting attorneys to testify on cases involving drugs and poisons.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Gwendolyn Carson its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Eldred B. Heisel

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 27, 2003, of Eldred B. Heisel, M.D., Professor Emeritus in the Department of Internal Medicine.

Dr. Heisel received his undergraduate degree from Akron University in 1933, and received his Doctor of Medicine degree from The Ohio State University in 1939. He conducted his internship at the Akron City Hospital from 1939-40, and completed his residency at Cleveland City Hospital from 1940-43.

Eldred Heisel returned to The Ohio State University in 1944 where he was appointed as a clinical instructor. In 1948 he was promoted to the rank of assistant clinical professor, and was promoted, again, in 1952 to the rank of associate clinical professor. Dr. Heisel subsequently became director of the Division of Dermatology, and in 1958 was promoted to the rank of professor.

Dr. Heisel held together an active and vigorous teaching program in the field of dermatology for many years. He was recognized as an outstanding senior dermatologist in the Columbus community and his contributions to The Ohio State University were many.

RESOLUTIONS IN MEMORIAM (contd)

Eldred B. Heisel (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Eldred B. Heisel its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

G. Deming Seymour

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 3, 2003, of G. Deming Seymour, Assistant Professor Emeritus in the Ohio State University Extension.

Mr. Seymour was born in 1919 in Rootstown, Ohio. He received his Bachelor of Science degree in 1946 in agriculture education and a Master of Science degree in agriculture in 1951, both from The Ohio State University.

Professor Seymour began his Extension career in Ohio in 1956 as the associate agent in Richland County. He became the 4-H agent there in 1958 and held this position until his retirement in 1979.

His contributions in providing excellent extension educational programs during his career earned him the respect and admiration of co-workers and associates throughout the state and nation. Under his leadership, 4-H enrollment increased to the highest number in the county history. He was also instrumental in recruiting numerous volunteer adults to serve as 4-H advisors. He worked with the Mansfield Police Department in setting up a bicycle safety, inspection, and identification program through local schools. He conducted Junior Leadership Training programs for older 4-H youth as well as educational training sessions for the Junior Fair Board members.

Because of his demonstrated abilities, many young people from Richland County received state and national awards and scholarships. Mr. Seymour received the Service to Youth Award from the National Association of 4-H Agents in 1970.

On behalf of the University community, the Board of Trustees expresses to the family of Mr. G. Deming Seymour its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Robert E. Taylor

The Board of Trustees at The Ohio State University expresses its sorrow upon the death on May 28, 2003, of Robert E. Taylor, Executive Director Emeritus in the Center on Education and Training for Employment and Professor Emeritus in the Department of Human and Community Resource Development.

Dr. Taylor was born in 1927 in Grants Pass, Oregon, to Harold and Edith Grace Taylor. He received his B.S. degree, cum laude, from the University of Arizona in 1952. He was named a Paul Steer Burgess Fellow and received his M.S. degree in 1953. He served as state supervisor of Agricultural Education and state advisor to the Future Farmers of America in the Arizona Department of Education until 1959, when he began work on his doctorate at The Ohio State University. He completed his doctorate in 1961 and was subsequently appointed to the faculty of Agricultural Education as an associate professor. He advanced to full professor three years later.

Dr. Taylor was an internationally recognized scholar and leader in the field of workforce preparation. He founded and served as executive director of the National Center for Research in Vocational Education at The Ohio State University from 1965 until he elected early retirement in 1986 to establish a consulting firm with his wife, Dr. Barbara Kline Taylor, in Silver City, New Mexico.

RESOLUTIONS IN MEMORIAM (contd)

Robert E. Taylor (contd)

During his 25-year tenure at The Ohio State University, he held professorial appointments in the Colleges of Education and Agriculture, and served as associate dean of both colleges from 1974 until leaving the University in 1986. Dr. Taylor served as a consultant to a wide variety of groups including: educational and business organizations, state boards and departments of education, universities, research and development agencies and institutions, community colleges, local school districts, private career schools, and colleges.

He chaired the Council for Educational Research and Development, the organization of national research centers and regional educational laboratories, and was a member of the organizing Board of Directors of the Career College Association. Dr. Taylor received numerous awards and recognitions throughout his career.

Upon his retirement, The Ohio State University Board of Trustees established The Robert E. Taylor Graduate Fellowship Fund. The University of Arizona gave him its Alumni Achievement Award at commencement in December 1987. In 1997, the Association for Career and Technical Education bestowed upon him its highest award, the prestigious Carl Perkins Humanitarian Award. That same year, the National Association of State Directors of Vocational-Technical Education conferred upon him its Distinguished Service Award; previously he had been made an honorary life member of that association.

After relocating to New Mexico in 1986, the governor appointed him to a four-year term as a member of the Commission on Higher Education. In 1998 Governor Gary Johnson appointed him to a five-year term as a University Regent at the New Mexico Institute of Mining and Technology, one of the West's premier research universities. It is nationally and internationally recognized for its science and engineering programs. He received the Professional Achievement Award from the Ohio State Alumni Association in 1999.

Dr. Taylor was an active member of Rotary, having served as president. He was also designated as a Paul Harris Fellow, Rotary's highest award. Most recently he became a member of the Western New Mexico University Foundation and was chairing its annual fund-raising committee.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Robert E. Taylor its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Harold E. Voss

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 2, 2003, of Dr. Harold E. Voss, Assistant Professor Emeritus in the College of Dentistry.

Dr. Voss, a native of Fremont, Ohio, graduated from the College of Dentistry in 1939, cum laude, and was inducted into Omicron Kappa Upsilon dental honorary. He was a member of The Ohio State University Marching Band and Concert Band and was one of the ceremonial herald trumpets who played taps on the Oval.

Upon graduation from the College of Dentistry, he entered a practice in Columbus that he maintained for 40 years with the exception of his active service as a dental officer in the U.S. Army Dental Corps from 1942-46. Following his honorable discharge, he returned to his practice while maintaining his commission in the Army Reserve from which he retired with the rank of major in 1952. In 1966 he joined the faculty of the College of Dentistry as a part-time clinical instructor rising to the rank of part-time assistant professor in 1970. Dr. Voss became a full-time member of the faculty in 1976 and retired from the College in 1984 with the rank of assistant professor emeritus.

RESOLUTIONS IN MEMORIAM (contd)

Harold E. Voss (contd)

A life member of the College of Dentistry Alumni Society and The Ohio State University Alumni Association, he was also a life member of the Ohio Dental Association and the American Dental Association and was a past officer of Delta Sigma Delta Dental Fraternity. He was also an active member of several Masonic and civic organizations.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Harold E. Voss its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2004-14

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for May 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of May 2003 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2004-15

Synopsis: The report on the receipt of gifts and the summary for May 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Endowed Chair for Director of The Ohio State University Marching Band, The Ford Motor Company Chair in Global Business Management, The Dr. Edward E. and Sylvia Hagenlocker Chair in Physics, The Alice Louise Ridenour Wood Chair in Mathematics, and The University Pathology Services Anatomic Pathology Professorship; and

WHEREAS this report includes the establishment of twenty-two (22) new named endowed funds, the amendment of two (2) named endowed funds, and a deletion of one (1) named endowed fund:

REPORT ON UNIVERSITY DEVELOPMENT (contd)

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of May 2003 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July through May
2002-03 Compared to 2001-02

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through May		
	<u>2002-03</u>	<u>2001-02</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$31,972,969	\$30,404,491	5
Alumni (From Bequests)	<u>3,724,150</u>	<u>5,382,380</u>	(31)
Alumni Total	\$35,697,119	\$35,786,871	0
Non-Alumni (Current Giving)	\$16,629,275	\$14,588,779	14
Non-Alumni (From Bequests)	<u>5,514,401</u>	<u>3,572,731</u>	54
Non-Alumni Total	\$22,143,676	\$18,161,510	22
Individual Total	\$57,840,795	\$53,948,381	7 ^A
Corporations/Corp/Foundations	\$36,335,223	\$39,130,941	(7) ^B
Private Foundations	\$17,005,153	\$18,838,877	(10) ^C
Associations and Other Organizations	<u>\$6,714,519</u>	<u>\$4,417,380</u>	<u>52^D</u>
Total	\$117,895,690	\$116,335,579	1

NOTES

- A Individual giving is up 7% largely due to the fact that gifts of \$10,000 or more are up 12% (497 gifts for \$35.1 million last year; 531 gifts for \$39.3 million this year).
- B Gifts of \$10,000 or more from corporations are down 7% compared with last year (\$29.2 million from 618 gifts this year; \$31.5 million from 641 gifts last year).
- C Foundation giving at the \$10,000 or more level is down 10% (\$18.0 million from 187 gifts last year; \$16.2 million from 207 gifts this year)
- D Giving from associations and other organizations at the \$10,000 or more level is up 79% for July- May of the fiscal year (\$5.0 million from 94 gifts this year; \$2.8 million from 97 gifts last year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July from May
2002-03 Compared to 2001-02

GIFT RECEIPTS BY PURPOSE

	Dollars July through May		
	<u>2002-03</u>	<u>2001-02</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$21,901,905	\$19,369,448	13
Faculty Support	\$10,180,081	\$11,633,557	(12)
Program Support	\$61,972,712	\$64,336,265	(4)
Student Financial Aid	\$16,689,097	\$12,184,735	37
Annual Funds-Colleges/Departments	\$6,115,889	\$7,617,111	(20)
Annual Funds-University	<u>\$1,036,006</u>	<u>\$1,194,463</u>	<u>(13)</u>
Total	\$117,895,690	\$116,335,579	1

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through May

<u>2002-03</u>	<u>2001-02</u>	<u>% Change</u>
\$35,843,141	\$35,469,956	1

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chair</u>			
The Endowed Chair for Director of The Ohio State University Marching Band (Used to support a chair position in the School of Music for the director of The Ohio State University Marching Band; provided by gifts from the estate of Dayton Eldridge)		\$1,532,163.00	\$1,532,163.00
<u>Establishment of Named Endowed Professorship</u>			
The University Pathology Services Anatomic Pathology Professorship (Used to support a professorship in the field of pathology research; provided by gifts from University Pathology Services and other friends of the Department of Pathology)		\$750,050.00	\$750,050.00
<u>Establishment of Named Endowed Fund</u>			
The Schooley Architecture Library Fund \$101,124.00 (Used to support the collection, staff and technology needs of the Knowlton School Library; provided by a gift from the Schooley family in honor of John P. Schooley, Sr., John P. Schooley, Jr., and Palmer B. Schooley)			\$101,124.00

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chairs</u>			
The Ford Motor Company Chair in Global Business Management (Used to promote and support a chair position in the Fisher College of Business; provided by gifts from the Ford Motor Company Fund)	\$1,581,281.00		\$1,581,281.00
The Dr. Edward E. and Sylvia Hagenlocker Chair in Physics (Used to support a senior faculty position in the Department of Physics; provided by gifts from Dr. Edward E. Hagenlocker and Sylvia Hagenlocker)		\$1,578,460.00	\$1,578,460.00
The Alice Louise Ridenour Wood Chair in Mathematics (Used to support the work of faculty members in the Department of Mathematics; provided by gifts from numerous donors) (grandfathered)	\$846,415.00		\$846,415.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Leo Yassenoff Foundation Endowment Fund (Used for projects at the University; provided by gifts from the Leo Yassenoff Foundation)		\$635,648.79	\$635,648.79
The Longaberger Student Diversity Leadership Fund (Used to support the vice president for Student Affairs' diversity retreats; provided by a gift from The Longaberger Foundation)		\$500,000.00	\$500,000.00
The Major General Raymond E. Mason, Jr. Scholarship Fund (Used to provide scholarship support for three "John Glenn Fellows" participating in the Washington Academic Internship Program; provided by a gift from Raymond E. Mason, Jr.)		\$150,000.00	\$150,000.00
The Angela Marie and Mary Francis Valentino Physics Academic Achievement Scholarship Fund (Used to provide scholarships for prospective/ current undergraduate physics majors; provided by a gift from Michael L. Valentino)		\$100,000.00	\$100,000.00
The Herschel T. Meredith Athletic Scholarship Fund (Used to provide scholarships to student athletes who are members of the varsity football team; provided by gifts from Herschel T. Meredith)		\$84,268.00	\$84,268.00
The Suzanne G. Meredith Memorial Athletic Scholarship Fund (Used to provide scholarships to student athletes who are members of the varsity women's tennis team; provided by gifts from Herschel T. Meredith)		\$84,268.00	\$84,268.00
Dr. Elizabeth Wagner Scholarship Fund (Used to provide scholarships in the Department of Plant Biology; provided by gifts from the family of Elizabeth Wagner)		\$79,000.00	\$79,000.00
The Marjorie Weaver Heart Research Fund (Used to support the cardiovascular research activities in The Dorothy M. Davis Heart and Lung Research Institute; provided by gifts from the estate of Marjorie Weaver)	\$52,315.00		\$52,315.00
The Stephen J. Kovacik, Jr. and Mary B. Kovacik Endowed Scholarship Fund (Used to provide scholarship support for one "John Glenn Fellow" participating in the Washington Academic Internship Program; provided by gifts from the family and friends of the late Stephen Kovacik and his mother Mary Kovacik)		\$40,000.00	\$40,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Nelson E. Lyttle Scholarship Fund (Used to fund scholarships for students based on merit and need; provided by gifts from the estate of Nelson E. Lyttle)		\$36,000.00	\$36,000.00
The Jack and Carol Evans Scholarship Fund in Music (Used to provide scholarships to music majors; provided by gifts from Carol Evans and friends in memory of Jack O. Evans)		\$31,225.00	\$31,225.00
The Eric Kohring – PFLAG Scholarship Fund (Used for the marketing and award of scholarships for gay, lesbian, and bisexual students; provided by gifts from members and friends of The Ohio State University Gay, Lesbian, Bisexual, and Transgender Alumni Society; Parents and Friends of Lesbians and Gays – Columbus Chapter; and the estate of Eric Kohring)		\$30,446.00	\$30,446.00
The Robert M. Hoge Scholars Fund (Used to provide scholarships for academically talented students; provided by gifts through the Nanette N. and Robert M. Hoge Fund at the Columbus Foundation)		\$28,565.00	\$28,565.00
The William Copeland, Jr., M.D., Endowment Fund for Pancreatic Cancer Research (Used to support pancreatic cancer research; provided by a gift from family and friends of William Copeland)		\$25,382.00	\$25,382.00
The Laurence and Molly Ruben Endowment Fund for Cancer Genetics Research (Used to support cancer genetics research; provided by a gift from Laurence Ruben of Plaza Properties, Inc.)		\$25,230.00	\$25,230.00
The Susan Salopek Endowed Nursing Scholarship Fund for Neonatal Nurse Practitioner Program (Used to support nursing scholarships for masters candidates in the College of Nursing; provided by gifts from Steven Salopek)		\$25,179.55	\$25,179.55
The Ardith K. Nolte Food, Agricultural, and Biological Engineering Scholarship Fund (Used to provide scholarships to incoming freshmen; provided by gifts from Byron H. Nolte)		\$25,080.00	\$25,080.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Professor Milton Ain Fund for Practicum Support (Used to provide awards to graduate students enrolled in the College of Social Work pursuing field practica; provided by gifts from Shirley Ain in memory of her husband Professor Milton Ain)		\$25,000.00	\$25,000.00
Rebecca Lucile Cornetet Endowed Scholarship Fund (Used to support undergraduate merit-based scholarships in the Department of Greek and Latin; provided by a gift from the estate of Rebecca Lucile Cornetet)		\$25,000.00	\$25,000.00
The Darrell K. Root Scholarship Fund (Used to fund scholarships in the College of Education; provided by gifts from Darrell K. Root)		\$25,000.00	\$25,000.00
Jack Root and Helen Root Entomology Travel Endowment Fund (Used to provide travel grants for entomology students; provided by gifts from Jack Dallas Root and Helen Root)		\$25,000.00	\$25,000.00
<u>Change in Name and Description of Named Endowed Funds</u>			
From: The Anna Sborowitz Scholarship Fund			
To: The Jan and Anna Sborowitz Endowment Fund			
From: The Thomas A. Spieth Endowment Fund for Lymphoma Research			
To: The Thomas A. Spieth Endowment Fund for Hematology Oncology Research			
<u>Deletion of Named Endowed Fund</u>			
The Eleanor L. Craig Scholarship Fund (Erroneously established the second time - December 6, 2002)			
Total	\$2,480,011.00	\$5,962,089.34	\$8,442,100.34

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Chair

The Endowed Chair for Director of The Ohio State University Marching Band

The Endowed Chair Fund for Director of The Ohio State University Marching Band was established April 3, 1996, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the estate of the late Dayton Eldridge (B.S.Bus.Adm., 1936; OSU Marching Band member, 1932-35) and friends of the marching band. The required funding level has been reached and the chair was established on July 11, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

Appointment of The Endowed Chair for Director of The Ohio State University Marching Band will be made by the director of the School of Music with the approval of the dean of the College of the Arts. When the appointment is made, income from the fund will be distributed to the School of Music for support of the director's position.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of the Arts or program administrative officer in order to carry out the desire of the donors.

\$1,532,163.00

Establishment of Named Endowed Professorship

The University Pathology Services Anatomic Pathology Professorship

The University Pathology Services Anatomic Pathology Professorship Fund was established March 1, 2002, by the Board of Trustees of The Ohio State University with gifts from University Pathology Services, Columbus, Ohio, and from other friends of the Department of Pathology. The required funding level has been reached and the professorship was established on July 11, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The professorship shall support the advancement of medical knowledge in the field of pathology research, both basic and clinical, and shall be held by an individual who is or shall be a member of the Department of Pathology in the College of Medicine and Public Health. The position shall be held by a nationally eminent faculty member who has distinguished himself/herself in the performance of pathology research, who maintains an active productive research program, and who is an effective educator-teacher. Selection shall be made as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in consultation with the chairperson of the Department of Pathology. The activities of the endowed professorship holder shall be reviewed no less than every five years by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to determine compliance with the intent of the donor as well as the academic and research standards of the University.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Professorship (contd)

The University Pathology Services Anatomic Pathology Professorship (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health and the chairperson of the Department of Pathology in order to carry out the desire of the donors.

\$750,050.00

Establishment of Named Endowed Fund

The Schooley Architecture Library Fund

The Schooley Architecture Library Fund was established July 11, 2003, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University from the Schooley family in honor of John P. Schooley, Sr. (B.Arch.E., 1923), John P. Schooley, Jr. (B.Arch., 1951), and Palmer B. Schooley (B.S.Arch., 1982).

John P. Schooley, Sr., AIA, served as architect for the State of Ohio from 1932-41 and was a founding partner of Sims Cornelius and Schooley Architects in 1944.

John P. Schooley, Jr., FAIA, joined the firm in 1955 and was managing partner from 1966-98. Under his leadership the firm developed an international practice, receiving numerous design awards and the AIA Ohio Gold Medal Firm Award in 1997. During his career John was the president of AIA Columbus, AIA Ohio, the Columbus Architectural Foundation, and the AIA Ohio Foundation. For his contributions to the profession, he received the AIA Ohio Gold Medal, the OSU Alumni Association Professional Achievement Award, and the College of Engineering Distinguished Alumnus Award.

Palmer B. Schooley, AIA, has distinguished himself as an architect in Houston, Texas, where he has received numerous design awards.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at the discretion of the Austin E. Knowlton School of Architecture librarian to support the collection, staff, and technology needs of the Knowlton School Library.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of the Knowlton School of Architecture in order to carry out the desire of the donor.

\$101,124.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Chairs

The Ford Motor Company Chair in Global Business Management

The Ford Motor Company Chair in Global Business Management Fund was established February 6, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of The Max M. Fisher College of Business from contributions from The Ford Motor Company Fund of Dearborn, Michigan. The required funding level has been reached and the chair was established on July 11, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to promote and support the teaching, research, and service activities of The Ford Motor Company Chair in Global Business Management. Appointment to the chair shall be recommended by the dean of the Fisher College of Business to the provost and approved by The Ohio State University Board of Trustees. The chair holders will report annually to The Ford Motor Company on the goals, objectives, and plans for the chair in the upcoming academic year. These yearly reports will also describe the use of fund income over the same period. The chair holders will also participate in five-year reviews of their activities which will be led by the dean.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer and The Ford Motor Company in order to carry out the desire of the donors.

\$1,581,281.00

The Dr. Edward E. and Sylvia Hagenlocker Chair in Physics

The Dr. Edward E. and Sylvia Hagenlocker Chair Fund in Physics was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Edward E. Hagenlocker (B.S. Physics, cum laude, 1962; M.S., 1962; Ph.D., 1964) and Sylvia Hagenlocker, both of Bloomfield Hills, Michigan. The required funding level has been reached and the chair was established on July 11, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide support for a distinguished senior faculty position in the Department of Physics. The Hagenlocker Chair's teaching and research will be expected to focus on atomic, molecular, and optical physics. Appointment will be for a five-year term and will be made by the chairperson of the Department of Physics with the approval of the Board of Trustees, president, provost, and the dean of the College of Mathematical and Physical Sciences.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$1,578,460.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Chairs (contd)

The Alice Louise Ridenour Wood Chair in Mathematics

The Alice Louise Ridenour Wood Chair Fund in Mathematics was established September 6, 1991, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Department of Mathematics. The required funding level has been reached and the chair was established on July 11, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the work of the distinguished faculty members in the Department of Mathematics who shall hold The Alice Louise Ridenour Wood Chair in Mathematics.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$846,415.00 (grandfathered)

Establishment of Named Endowed Funds

The Leo Yassenoff Foundation Endowment Fund

The Leo Yassenoff Foundation Endowment Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the Leo Yassenoff Foundation.

All gifts are to be invested by the OSU Foundation, under the rules and regulations adopted by the OSU Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for projects at the University as designated by the board of directors of the Yassenoff Foundation. From time to time, the Yassenoff Foundation current board of directors may designate a portion of this endowment's principal for University projects.

This endowment will be established for the lesser of 25 years or upon the death of the last remaining member of the Yassenoff Foundation's current board of directors. At the end of this term, the income of the fund shall be used to provide scholarships in the University's Department of Athletics.

\$635,648.79

The Longaberger Student Diversity Leadership Fund

The Longaberger Student Diversity Leadership Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The Longaberger Foundation.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Longaberger Student Diversity Leadership Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this fund shall be used to support the vice president for Student Affairs' diversity retreats, particularly multi-day workshops, in an effort to connect student leaders of multi-cultural backgrounds, in which they engage in in-depth exploration of the challenges and opportunities presented by a diverse society as well as explore their own attitudes and assumptions.

It is the desire of The Longaberger Foundation that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Student Affairs in order to carry out the desire of The Longaberger Foundation.

\$500,000.00

The Major General Raymond E. Mason, Jr. Scholarship Fund

The Major General Raymond E. Mason, Jr. Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Raymond E. Mason, Jr. (B.S.Bus.Adm., 1941), of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship support for three "John Glenn Fellows" participating in the Washington Academic Internship Program through the John Glenn Institute for Public Service and Public Policy. It is the desire of the donor that the scholarships shall be awarded with preference to, but not limited to, ROTC students. The recipients shall be selected by the director of the Washington Academic Internship Program in consultation with the University Committee on Student Financial Aid and the ROTC Tri-Service Coordinator.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the John Glenn Institute for Public Service and Public Policy in order to carry out the desire of the donor.

\$150,000.00

**The Angela Marie and Mary Francis Valentino
Physics Academic Achievement Scholarship Fund**

The Angela Marie and Mary Francis Valentino Physics Academic Achievement Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael L. Valentino (B.E. Physics, 1934) of Lakeland, Florida.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

**The Angela Marie and Mary Francis Valentino
Physics Academic Achievement Scholarship Fund (contd)**

This scholarship honors the memories of Michael's mother, Mary Francis Valentino (1891-1986), and his loving wife Angela Marie (1908-2002). Mary Francis Valentino is honored for her guidance, constant effort, and work for her son Michael while he attended The Ohio State University. Michael's wife Angela is honored for being the anchor of their family, an angel in disguise.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for highly talented prospective and/or current undergraduate physics majors. The scholarships will be renewable for a total of four years, provided that the students maintain satisfactory progress towards their degrees in physics or engineering physics. The recipients will be selected by the vice chairperson for Undergraduate Studies in the Department of Physics, with the approval of the chairperson, and in consultation with the University Committee on Student Financial Aid.

In any given year that the income is not fully expended, the unused portion should be reinvested in the principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the chairperson of the Department of Physics and the dean of the College of Mathematical and Physical Sciences in order to carry out the desire of the donor.

\$100,000.00

The Herschel T. Meredith Athletic Scholarship Fund

The Herschel T. Meredith Athletic Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Herschel T. Meredith, Los Altos, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are members of the varsity football team pursuing undergraduate degrees at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$84,268.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Suzanne G. Meredith Memorial Athletic Scholarship Fund

The Suzanne G. Meredith Memorial Athletic Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Herschel T. Meredith, Los Altos, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are members of the varsity women's tennis team pursuing undergraduate degrees at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$84,268.00

Dr. Elizabeth Wagner Scholarship Fund

The Dr. Elizabeth Wagner Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from her family, in the name of Elizabeth Wagner (B.A., 1933; M.A., 1934; Ph.D., 1936).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund scholarships in the Department of Plant Biology in the College of Biological Sciences, with preference given to women undergraduate students. Recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$79,000.00

The Marjorie Weaver Heart Research Fund

The Marjorie Weaver Heart Research Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from the estate of Mrs. Marjorie Weaver of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Marjorie Weaver Heart Research Fund (contd)

The annual income shall be used to support the cardiovascular research activities in The Dorothy M. Davis Heart and Lung Research Institute at The Ohio State University. The allocation of these funds will be determined by the director of The Dorothy M. Davis Heart and Lung Research Institute with the approval of the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$52,315.00

The Stephen J. Kovacik, Jr. and Mary B. Kovacik Endowed Scholarship Fund

The Stephen J. Kovacik, Jr. and Mary B. Kovacik Endowed Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family and friends and in memory of the late Stephen Kovacik and his mother, Mary Kovacik.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship support for one "John Glenn Fellow" participating in the Washington Academic Internship Program of the John Glenn Institute for Public Service and Public Policy. The scholarship shall be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the Glenn Institute and the donor, in order to carry out the desire of the donor.

\$40,000.00

The Nelson E. Lyttle Scholarship Fund

The Nelson E. Lyttle Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Nelson E. Lyttle (B.S.Ed., 1939; M.S., 1941) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Nelson E. Lyttle Scholarship Fund (contd)

The annual income shall be used to fund scholarships for students based on merit and need. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$36,000.00

The Jack and Carol Evans Scholarship Fund in Music

The Jack and Carol Evans Scholarship Fund in Music was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Carol Evans and friends in memory of Jack O. Evans, former director of the OSU Marching Band and professor emeritus in the School of Music.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

Eighty percent (80%) of the annual income shall provide renewable undergraduate scholarships to music majors, preferably music education students. The remaining income shall be reinvested to the principal. If no candidates are eligible, the entire income shall be reinvested to the principal. Recipients shall be chosen by the director of the School of Music or an individual appointed by the director and in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the School of Music in order to carry out the desire of the donors.

\$31,225.00

The Eric Kohring – PFLAG Scholarship Fund

The Eric Kohring – PFLAG Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from members and friends of The Ohio State University Gay, Lesbian, Bisexual, and Transgender Alumni Society; Parents and Friends of Lesbians and Gays – Columbus Chapter, and the estate of Eric Kohring.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Eric Kohring – PFLAG Scholarship Fund (contd)

The annual income will be used for the marketing and award of scholarships for gay, lesbian, and bisexual students at The Ohio State University. The Gay, Lesbian, Bisexual, and Transgender Alumni Society (part of the Alumni Association) will be the administrator for these funds and will coordinate distribution of the scholarship. A minimum of one scholarship will be awarded each year based on guidelines established by the Gay, Lesbian, Bisexual, and Transgender Alumni Society. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

Any funds remaining at the end of the fiscal year should be reinvested to the principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by The Ohio State University GLBT Alumni Society in order to carry out the desire of the donor.

\$30,446.00

The Robert M. Hoge Scholars Fund

The Robert M. Hoge Scholars Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts through the Nanette N. and Robert M. Hoge Fund at the Columbus Foundation.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for academically talented students. The recipients will be selected through the University's program to recruit high ability students and each student will continue through the senior year so long as he or she maintains eligibility. This fund shall be administered by the University Honors Center in cooperation with the University Committee on Student Financial Aid.

This fund will continue to grow in perpetuity through annual additions. Each year, recipients should be selected in the following priority order:

1. Distinguished Scholars enrolled in the College of Engineering and whose Distinguished Scholarships are not supported through other endowed funds.
2. Distinguished Scholars enrolled in The Max M. Fisher College of Business and whose Distinguished Scholarships are not supported through other endowed funds.
3. Distinguished Scholars in the College of Mathematical and Physical Sciences or the College of Biological Sciences and not supported through other endowed funds, including The Nanette N. Hoge Scholars Fund.
4. University Scholars enrolled in the College of Engineering and whose University Scholarships are not supported through other endowed funds.
5. University Scholars enrolled in The Max M. Fisher College of Business and whose University Scholarships are not supported by other endowed funds.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Robert M. Hoge Scholars Fund (contd)

6. University Scholars in the College of Mathematical and Physical Sciences or the College of Biological Sciences not supported through other endowed funds including the Nanette N. Hoge Scholars Fund.
7. University, Distinguished, Medalist, or Presidential Scholars or other high ability students.

Each year, any unused income should be reinvested to the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$28,565.00

**The William Copeland, Jr., M.D.,
Endowment Fund for Pancreatic Cancer Research**

The William Copeland, Jr., M.D., Endowment Fund for Pancreatic Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from family and friends.

All gifts to this fund are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support pancreatic cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Directors in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,382.00

The Laurence and Molly Ruben Endowment Fund for Cancer Genetics Research

The Laurence and Molly Ruben Endowment Fund for Cancer Genetics Research was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Laurence Ruben of Plaza Properties, Inc. in Columbus, Ohio.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Laurence and Molly Ruben Endowment Fund for Cancer Genetics Research (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any unused income shall be returned to the principal of this fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,230.00

**The Susan Salopek Endowed Nursing Scholarship Fund
for Neonatal Nurse Practitioner Program**

The Susan Salopek Endowed Nursing Scholarship Fund for Neonatal Nurse Practitioner Program at the College of Nursing at The Ohio State University was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Steven Salopek (B.A., 1986; M.B.A., 1988), of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support nursing scholarships for graduate students pursuing a masters at the College of Nursing. Preference shall be given to students planning to practice, specialize, or conduct research in the area of neonatal nursing. The scholarship may be awarded to students in the area of adult health and illness in the event there is not an eligible neonatal nurse practitioner student.

All scholarships are to be awarded based on merit and can be used for recruiting purposes. The recipients shall be selected by the dean of the College of Nursing, the assistant dean and the associate deans, and faculty at the College who teach neonatal nursing. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with Steven Salopek or his designee, the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$25,179.55

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Ardith K. Nolte Food, Agricultural, and Biological Engineering Scholarship Fund

The Ardith K. Nolte Food, Agricultural, and Biological Engineering Scholarship Fund was established July 11, 2003, by the Board of Directors of The Ohio State University Foundation. The scholarship was established in honor of Ardith Knapheide Nolte (B.S.Nurs. cum laude, 1971; M.A., 1981) with gifts to The Ohio State University Foundation from Byron H. Nolte (Ph.D., 1971) of Columbus, Ohio.

All gifts are to be invested in the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support scholarships to support incoming freshmen based primarily on scholastic record. Need may also be considered in the selection. The student recipients are expected to join and participate in one of the departmental clubs, and attend at least two-thirds of regular club meetings. The student recipients shall be announced at the annual spring awards banquet. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the donor or honoree if living. If neither the donor nor the honoree are living the use shall be designated by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, in consultation with the chairperson of the Department of Food, Agricultural, and Biological Engineering, or their successor, or program administrative officer in order to carry out the desire of the donor.

\$25,080.00

The Professor Milton Ain Fund for Practicum Support

The Professor Milton Ain Fund for Practicum Support was established July 11, 2003, by the Board of Directors of The Ohio State University Foundation with gifts from Mrs. Shirley Ain of Columbus, Ohio, in memory of her husband, Professor Milton Ain (M.S.W., 1967). The fund honors Professor Ain's 17 years of teaching in the College of Social Work, his leadership of the Field Placement program, and his dedication to the fields of Jewish services and child welfare.

All gifts are to be invested in the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide competitive stipend awards to qualified students who are pursuing field practica while enrolled in the graduate degree program in the College of Social Work at The Ohio State University. Preference will be given to students who are conducting field work in Jewish community services and/or child welfare or who can demonstrate that they plan a career in one of these fields.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Social Work in order to carry out the desire of the donor.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Rebecca Lucile Cornetet Endowed Scholarship Fund

The Rebecca Lucile Cornetet Endowed Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Rebecca Lucile Cornetet (B.S.Ed., 1929; B.A., 1929; M.A., 1932) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support undergraduate merit-based scholarships for the study of Greek and Latin, with a preference for Latin. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the chairperson of the Department of Greek and Latin in order to carry out the desire of the donor.

\$25,000.00

The Darrell K. Root Scholarship Fund

The Darrell K. Root Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Darrell K. Root (M.Ed., 1957; Ph.D., 1971).

Dr. Root's career began as a high school mathematics instructor and coach in Ohio's public schools. Other positions included that of a high school principal, assistant superintendent for evaluation and curriculum development, and district superintendent. During his career, he was deputy director of The Ohio State University Evaluation Center and managed several large projects for the center in research and evaluation. He also was an assistant professor at the University of Dayton after his retirement from public schools. Throughout his career in education he was a consultant with the Western Michigan University Evaluation Center and assisted or directed several nationwide studies. He is proud to be one of the members of the Wall of Honor at the National Center for Evaluation at Western Michigan University which honors those who have made major contributions to the development and implementation of educational evaluation.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund The Darrell K. Root Scholarship Fund in the College of Education. Recipients shall be students in the area of Educational Policy and Leadership who are pursuing an advanced degree and are preparing for a career as practitioner in school administration. The dean of the College of Education or designee shall select the scholarship recipients in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education in order to carry out the desire of the donor.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Jack Root and Helen Root Entomology Travel Endowment Fund

The Jack Root and Helen Root Entomology Travel Endowment Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Jack Dallas Root (B.S., 1965) and Helen Root of Aztec, New Mexico.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide travel grants for deserving students to attend a professional society meeting or equivalent event. The travel grants should alternate annually between students studying agricultural entomology and students studying urban entomology. Preference should be given to a student who is hard working, but might only have a moderate grade point average and might not otherwise qualify for stipends reserved for honor students or have any other source of revenue to fund such travel. With all other qualities being equal and unless prohibited by federal or state law, the travel grants will be awarded with preference to, but not limited to, a student who is a United States citizen.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer to carry out the desire of the donors.

\$25,000.00

Change in Name and Description of Named Endowed Funds

The Jan and Anna Sborowitz Endowment Fund

The Anna Sborowitz Scholarship Fund was established October 6, 1995, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Paul K. Sorren (B.S.Bus.Adm., 1946) of Miami Beach, Florida. The name and description were revised on July 11, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more student scholarships for undergraduate mobility-impaired students with severe limitations in movements or use of one or more of their extremities. Recipients must demonstrate financial need and satisfactory progress in their academic studies. They must be enrolled with seven or more hours of course work showing substantial progress toward a degree at The Ohio State University. Students may use the scholarship to support tuition, room, board, special equipment, and miscellaneous expenses such as books and supplies. The scholarships will be administered by the Office for Disability Services in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate program administrative officer in order to carry out the desire of the donor.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name and Description of Named Endowed Funds (contd)

The Thomas A. Spieth Endowment Fund for Hematology Oncology Research

The Thomas A. Spieth Endowment Fund for Lymphoma Research was established on July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from Thomas A. Spieth (M.B.A., 1967) of Fort Lauderdale, Florida. The name and description were revised on July 11, 2003, at the donor's request.

All gifts to this fund are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support hematology oncology research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Directors in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

REVISION OF INVESTMENTS POLICIES

Resolution No. 2004-16

Synopsis: Revision of the University's Investments Policies is proposed.

WHEREAS the Board of Trustees, from time to time, has adopted specific policies governing various aspects of the management of the investment portfolios; and

WHEREAS there is a need to modify these comprehensive policies to provide for the overall management of the investment portfolios:

NOW THEREFORE

BE IT RESOLVED, That the attached amendments to the Investments Policies for The Ohio State University be adopted by the Board of Trustees: Endowment Fund Investments Policy and Non-Endowment Fund Investments Policy; and

BE IT FURTHER RESOLVED, That, in consultation with the Senior Vice President for Business and Finance, the Treasurer be authorized and directed to implement and administer these policies and manage the investment portfolios in accordance with these policies.

(See Appendix IV for amendments to the Investments Policies, page 137.)

**REQUEST FOR DESIGN AUTHORIZATION AND
REQUEST FOR CONSTRUCTION AUTHORIZATION**

Resolution No. 2004-17

**REQUEST FOR DESIGN AUTHORIZATION
BYRD POLAR RESEARCH CENTER – COLD ROOM UPGRADES**

**REQUEST FOR CONSTRUCTION AUTHORIZATION
GRADUATE AND PROFESSIONAL STUDENT HOUSING
GRADUATE AND PROFESSIONAL STUDENT HOUSING – RETAIL SPACE
LABORATORY ANIMAL FACILITY EXPANSION
MARION CAMPUS-STUDENT SERVICES BUILDING/PARKING LOT RENOVATION
MEILING HALL LOBBY EXPANSION**

Synopsis: Authorization to enter into design contracts for Byrd Polar Research Center – Cold Room Upgrades and construction contracts for Graduate and Professional Student Housing, Graduate and Professional Student Housing – Retail Space, Laboratory Animal Facility Expansion, Marion Campus-Student Services Building/Parking Lot Renovation, and Meiling Hall Lobby Expansion is requested.

WHEREAS the University desires to renovate the cold rooms in Scott Hall which house ice cores for the Byrd Polar Research Center; and

WHEREAS the project estimate is \$203,742 with funding to be provided by HB 675; and

WHEREAS the University desires to construct graduate and professional student housing on Neil Avenue between 9th and 10th Avenues; and

WHEREAS the project cost has increased from \$29,172,100 (approved by the Board of Trustees in March, 2002 for construction) to \$32,300,490 (an increase of \$3,128,390) due schedule acceleration and site delays; and

WHEREAS the project cost increase is to be funded by future University bond proceeds, with debt service to be paid by Student Affairs; and

WHEREAS the University desires to construct a retail space on the first floor of the Graduate and Professional Student Housing facility; and

WHEREAS the construction document project cost is \$2,500,000 to be funded by future University bond proceeds, with debt service to be paid by Student Affairs; and

WHEREAS the University desires to construct a modular storage facility to properly house animals at the Laboratory Animal Facility on Godown Road; and

WHEREAS the expected total project cost has increased from \$500,000 to \$883,000 due to changes in project HVAC specifications necessary to meet environmental conditions, with additional funding to be provided by the Office of Research, Academic Affairs, Social and Behavioral Sciences and Business and Finance; and

WHEREAS the University desires to construct a student services facility and parking lot relocation on the Marion Campus; and

WHEREAS the construction document project cost is \$6,107,756 with funding to be provided by HB 640 (\$1,451,235), HB 675 (\$116,271), Marion general funds (\$3,440,250), and a loan from the Treasurer's debt service pool (\$1,100,000), with debt service to be paid from Marion Campus funds; and

**REQUEST FOR DESIGN AUTHORIZATION AND
REQUEST FOR CONSTRUCTION AUTHORIZATION (contd)**

WHEREAS the University desires to renovate the first floor lobby area of Meiling Hall, to include the installation of fire sprinklers required by building code throughout the renovation area as well as additional work in the basement and second floor; and

WHEREAS the construction document project cost is \$910,125, with funding to be provided by the College of Medicine and Public Health:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for Byrd Polar Research Center – Cold Room Upgrades; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to request construction bids for Graduate and Professional Student Housing, Graduate and Professional Student Housing – Retail Space, Laboratory Animal Facility Expansion, Marion Campus-Student Services Building/Parking Lot Renovation, and Meiling Hall Lobby Expansion are hereby accepted.

(See Appendix V for background information and maps, page 151.)

PURCHASE OF REAL PROPERTY

Resolution No. 2004-18

237 EAST SEVENTEENTH AVENUE, COLUMBUS, OHIO

Synopsis: Authorization to purchase improved real property located at 237 East Seventeenth Avenue in Columbus, Ohio, is requested.

WHEREAS the Board of Trustees of The Ohio State University is presented with an opportunity to purchase the improved real property located at 237 East Seventeenth Avenue in Columbus, Ohio, owned by David W. Pettit, at a price of \$360,000.00; and

WHEREAS the acquisition of this property is critical for the preservation of the traditional Greek community east of High Street, and the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS funding for the acquisition and use of this property will be provided by Student Affairs:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, in the name of the State of Ohio for the use of The Ohio State University, to purchase from David W. Pettit the improved real property located at 237 East Seventeenth Avenue in Columbus, Ohio, at a purchase price of \$360,000.00, plus fees and closing costs, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State Controlling Board.

(See Appendix VI for background information and map, page 165.)

PURCHASE OF IMPROVED REAL PROPERTY

Resolution No. 2004-19

960 KINNAR ROAD
CLINTON TOWNSHIP, OHIO

Synopsis: Authorization for the purchase of the improved real property at 960 Kinnear Road from G.O.A.T. Real Estate, LLC, is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to purchase approximately 2.43 acres of improved real property located at 960 Kinnear Road; and

WHEREAS the seller has agreed to sell the property to the University at a price of \$1,975,000; and

WHEREAS this property is located within the West Campus acquisition area and the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS funding for acquisition and operating costs will be provided by building occupants:

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Senior Vice President for Business and Finance be authorized to purchase the improved real property at 960 Kinnear Road in the name of the State of Ohio for the use of The Ohio State University at a price not to exceed \$1,975,000 plus associated acquisition costs, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State of Ohio Controlling Board.

(See Appendix VII for background information and map, page 167.)

**CAMPUS PARTNERS
AUTHORIZATION FOR CONTINUED FUNDING**

Resolution No. 2004-20

Synopsis: Continued funding and support for Campus Partners' initiatives is recommended.

WHEREAS since 1995 the Board of Trustees has recognized the critical need for revitalization of the High Street and residential areas adjacent to the Columbus Campus and the importance of the initiatives of Campus Partners for Community Urban Redevelopment, Inc., in this effort; and

WHEREAS in May 1997 the Board of Trustees accepted Campus Partners' "University Neighborhoods Revitalization Plan: Concept Document" and since then, subject to a memorandum of understanding of May 1, 1997, has authorized the release of \$25 million in endowment funds for various real estate investments, including the South Campus/High Street redevelopment area and authorized the release of approximately \$5 million to provide operating support for Campus Partners and to fund various initiatives through the end of FY 2003; and

WHEREAS Campus Partners has made significant progress towards the implementation of its priority initiatives, including the South Campus Gateway project; the restructuring of the Broad Street Housing portfolio; the faculty and staff home ownership incentive program; the establishment of a mandatory development and design review process for the High Street

**CAMPUS PARTNERS
AUTHORIZATION FOR CONTINUED FUNDING (contd)**

corridor; improvements to public safety, refuse collection, code enforcement, and other public services; the establishment of a special improvement district; and numerous other neighborhood improvement initiatives; and

WHEREAS Campus Partners has successfully secured commitments for third party investments in excess of \$100 million for housing rehabilitation, commercial redevelopment, and infrastructure improvements from a variety of public and private investors which will help provide significant impetus for the revitalization of distressed neighborhoods near The Ohio State University; and

WHEREAS Campus Partners has acquired all of the property needed for the South Campus Gateway, the initial redevelopment project for the South Campus/High Street redevelopment, and this important project is under construction, with completion anticipated in the Summer of 2005; and

WHEREAS there is a need to provide continuing funding support for Campus Partners' operations through the opening year of the Gateway Center:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby authorizes, from current operating funds set aside for this purpose, the release of up to \$650,000 per year for 2003-04 through 2005-06 to Campus Partners for continued support of its operations to carry out existing initiatives, including the development of the University Gateway Center, subject to the provisions of the 1997 memorandum of understanding, as amended from time to time.

Upon motion of Ms. Longaberger, seconded by Mr. Borrer, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Mses. Longaberger and Hendricks.

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APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS

Resolution No. 2004-21

Synopsis: The Investments Committee recommended the approval of the Appointment and Reappointment of Investment Managers.

WHEREAS it is the policy of The Ohio State University to utilize the service of external Investment Managers to assist in the management of the University's Endowment Fund; and

WHEREAS the Investments Committee of the Board of Trustees periodically reviews the results obtained by the external Investment Managers and the amount of funds assigned to each of them; and

WHEREAS it is prudent investment policy to adjust the mix and amounts of money assigned to external Investment Managers as economic conditions and performance change; and

WHEREAS the number of external Investment Managers and the amount of funds assigned to them shall be determined by the Board of Trustees:

NOW THEREFORE

APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS (contd)

BE IT RESOLVED, That upon the recommendation of the Investments Committee of the Board of Trustees the following named external Investment Managers and the Office of the Treasurer shall be approved to manage Endowment Funds as follows:

	<u>Market Value</u> <u>As of 5/31/03</u>	<u>Changes</u>	<u>Revised</u> <u>Allocation</u>
<u>Large Cap Equity Managers</u>			
ABN AMRO	\$33,212,136	\$0	\$33,212,136
Barclays S&P 500	\$127,514,428	(\$127,514,428)	\$0
Fifth Third Bank Investment Advisors	\$25,492,588	\$0	\$25,492,588
Huntington Trust	\$25,372,007	\$0	\$25,372,007
Nicholas Applegate Mid Cap	\$70,717,932	\$0	\$70,717,932
State Street S&P 500	\$0	\$127,514,428	\$127,514,428
University Students	\$15,290,108	\$0	\$15,290,108

Small Cap Equity Managers

Barclays Value Index	\$22,450,699	(\$22,450,699)	\$0
Barclays Extended	\$138,047,368	(\$138,047,368)	\$0
Meeder Financial	\$87,723,844	\$0	\$87,723,844
Nicholas Applegate Small Cap	\$24,917,193	\$0	\$24,917,193
State Street Extended	\$0	\$138,047,368	\$138,047,368
State Street Value	\$0	\$22,450,699	\$22,450,699

International Equity Managers

Barclays International	\$35,936,965	(\$35,936,965)	\$0
Invesco Global Asset	\$17,694,846	\$0	\$17,694,846
Sit International	\$10,892,435	\$0	\$10,892,435
State Street International	\$0	\$35,936,965	\$35,936,965

Fixed Income Managers

Banc One	28,028,736	(10,000,000)	18,028,736
Commonfund High Yield Bonds	\$15,503,127	\$0	\$15,503,127
Cypress Asset Management	\$26,593,400	\$0	\$26,593,400
Hughes Capital Management	\$16,714,757	\$0	\$16,714,757
Huntington Trust	\$34,290,679	\$0	\$34,290,679
MDL Capital Management	\$82,198,089	\$0	\$82,198,089

Alternative Managers

CID Seed Fund	\$1,000,000	\$0	\$1,000,000
Commonfund Hedged Investors	\$15,000,000	\$0	\$15,000,000
Commonfund New Leaders	\$10,000,000	\$0	\$10,000,000
Commonfund Capital Partners	\$7,067,000	\$0	\$7,067,000
EDF Ventures Seed Fund	\$1,000,000	\$0	\$1,000,000
Mesirow Partnership Fund I	\$10,000,000	\$0	\$10,000,000
Ramius Fund	\$15,000,000	\$0	\$15,000,000
Reservoir Venture Partners	\$2,625,000	\$0	\$2,625,000

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS**

Resolution No. 2004-22

Synopsis: Providing for the authorization, issuance and sale of General Receipts Bonds (the "Series 2003 B Bonds"), in a principal amount determined as provided herein, for the purposes of (A) the acquisition, construction and installation of the Series 2003 Project, as defined herein; (B) currently refunding the outstanding principal amount of certain Obligations of the University (the "Refunded Obligations"); and (C) paying costs and expenses associated with the issuance of the 2003 B Bonds is requested.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations (as defined in the Indenture (as hereinafter defined)) of the University to pay the costs of certain capital facilities defined as "facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Original Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Amended and Restated Trust Indenture described below) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

WHEREAS the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985 A Bond Resolution") and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as Trustee (the "Trustee"), provided for the issuance from time to time of General Receipts Bonds of the University; and

WHEREAS the University, pursuant to the terms of a resolution adopted by the Board on October 1, 1999 (the "1999 General Bond Resolution") amended and restated the Original Indenture, as supplemented in accordance with the terms thereof by entering into the Amended and Restated Trust Indenture dated as of December 1, 1999 (the "Amended and Restated Trust Indenture") with the Trustee; and

WHEREAS the Amended and Restated Indenture provides that Obligations, as defined therein, may be issued pursuant to the terms thereof, with each such issue to be authorized by a Series Resolution, as defined therein, adopted by the Board and secured pursuant to the terms of a Supplemental Indenture, as defined in the Amended and Restated Indenture with respect to such issue (the Amended and Restated Indenture and all Supplemental Indentures thereto being collectively referred to herein as the "Indenture"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the First Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "First Supplement") between the University and the Trustee, \$83,585,000 The Ohio State University General Receipts Bonds, Series 1999 A (the "Series 1999 A Bonds") of which authorized amount \$75,190,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 B General Receipts Bond Resolution"), the Indenture and the Second Supplement to Amended and

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS (contd)**

Restated Trust Indenture, dated as of December 1, 1999 (the "Second Supplement") between the University and the Trustee, \$108,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1999 B (the "Series 1999 B Bonds") of which authorized amount \$84,900,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series 2001 General Receipts Bond Resolution"), the Indenture and the Series 2001 Supplement to Amended and Restated Trust Indenture, dated as of November 1, 2001 (the "Series 2001 Supplement") between the University and the Trustee, \$79,950,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2001 (the "Series 2001 Bonds") of which amount \$76,950,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series D Commercial Paper Resolution") the Indenture and Series D Supplement to the Amended and Restated Trust Indenture, dated as of December 1, 2001 (the "Series D Supplement") between the University and the Trustee, \$175,000,000 The Ohio State University General Receipts Commercial Paper Notes, Series D (the "Series D Notes"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series 2002 A General Receipts Bond Resolution"), the Indenture and the Series 2002 A Supplement to Amended and Restated Trust Indenture, dated as of January 1, 2002 (the "Series 2002 A Supplement") between the University and the Trustee, \$150,515,000 The Ohio State University General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds"), of which amount \$145,075,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on February 7, 2003 (the "Series 2003 A General Receipts Bond Resolution"), the Indenture and the Series 2003 A Supplement to Amended and Restated Trust Indenture, dated as of February 1, 2003 (the "Series 2003 A Supplement") between the University and the Trustee, \$53,000,000 The Ohio State University General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds"), of which amount \$50,965,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on May 30, 2003 (the "Series E Commercial Paper Resolution"), the Indenture and the Series E Supplement to Amended and Restated Trust Indenture, dated as of June 1, 2003 (the "Series E Supplement") between the University and the Trustee, of up to \$430,000,000 in principal amount of The Ohio State University General Receipts Bonds, Series 2002 A (the "Series E Notes"); and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University General Receipts Bonds, Series 2003 B (the "Series 2003 B Bonds") in the principal amount not to exceed \$245,000,000 for the purpose of (A) the acquisition, construction and installation of the Series 2003 Project, as defined herein; (B) currently refunding certain of outstanding obligations (the "Refunded Obligations"), and (C) paying costs and expenses associated with the issuance of the Series 2003 B Bonds; and

WHEREAS the University desires to make provisions for the issuance of the Series 2003 B Bonds and for the payment of the Debt Service Charges thereon and the securing thereof by this Resolution and a 2003 B Supplement to the Amended and Restated Trust Indenture (the "2003 B Supplement" and together with the Amended and Restated Trust Indenture, the "Indenture") herein authorized.

NOW THEREFORE

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS (contd)**

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

Section 1. Definitions and Interpretations. All words and terms defined in the Amended and Restated Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms not appearing in the Amended and Restated Indenture but used herein and not previously defined herein are defined as follows:

"Certificate of Award" means the Certificate of Award authorized pursuant to Section 3 of this Resolution.

"Code" means the Internal Revenue Code of 1986, as amended, the Treasury Regulations (whether proposed, temporary or final) under that Code or the statutory predecessor of that Code, and any amendments of, or successor provisions to, the foregoing and any official rulings, announcements, notices, procedures and judicial determinations regarding any of the foregoing, all as and to the extent applicable. Unless otherwise indicated, reference to a Section of the Code means that Section, including any applicable successor section or provision and such applicable Treasury Regulations, rulings, announcements, notices, procedures and determinations pertinent to that Section.

"Contract of Purchase" means the Contract of Purchase between the Original Purchaser and the University, authorized pursuant to Section 3 hereof.

"Official Statement" means, as to the Series 2003 B Bonds, the Official Statement relating to the original issuance of the Series 2003 B Bonds, authorized pursuant to Section 3 hereof.

"Original Purchasers" means, as to the Series 2003 B Bonds, SBK-Brooks Investments Corp, Fifth Third Securities, Apex Pryor Securities, McDonald Investments, Inc. NatCity Investments, Inc. and UBS Paine Webber Financial Services, Inc.

"Paying Agent" means the Trustee.

"Refunded Obligations" means the outstanding principal amount of the Obligations of the University identified in Section 2 hereof.

"Series 2003 B Bond Resolution" or "this Resolution" as used herein, means this Resolution and the Certificate of Award, as the same may be amended from time to time.

"Series 2003 Project" means the Series 2003 Project as described on Exhibit A hereto, which exhibit is incorporated by reference herein with the same force and effect as if fully set forth herein.

Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Debt Service Charges in the amount and manner, at the times, and from the sources provided in this Resolution, the Amended and Restated Trust Indenture and the 2003 B Supplement, except as otherwise herein permitted.

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS (contd)**

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Section 2. Authorization; Terms; Pledge; and Covenant.

(a) Authorization. The Series 2003 B Bonds shall be designated and known as "The Ohio State University General Receipts Bonds, Series 2003 B" and shall be issued pursuant to and as authorized by the Act, Section 2i of Article VIII of the Ohio Constitution, this Resolution, the Amended and Restated Trust Indenture and the 2003 B Supplement. The Series 2003 B Bonds shall be issued for the purposes of financing a portion of the costs of the University Facilities comprising the Series 2003 Project, currently refunding the outstanding principal amount of the Refunded Obligations and paying costs and expenses incidental to the issuance of the Series 2003 B Bonds. The Refunded Obligations shall consist of not more than \$60,000,000 in aggregate principal amount of Series E Notes and \$50,965,000 in principal amount of the Series 2002 A Bonds; provided, however, the determination of whether and what principal amounts, if any, of such obligations are to be refunded by the proceeds of the Series 2003 B Bonds shall be made by the Treasurer of the University in the exercise of his reasonable discretion, and the Treasurer of the University is hereby directed and authorized to make such determination. Upon such determination by the Treasurer of the University, the Refunded Obligations shall consist of such Obligations of the University as are actually refunded. The written direction of the Treasurer to the Trustee as to deposit of proceeds of the Series 2003 Bonds and the execution of the Federal Income Tax Compliance Agreement describing the use of the proceeds of the Series 2003 B Bonds shall be conclusive evidence that the determination of the Treasurer with respect to which Obligations are to constitute Refunded Obligations is authorized.

(b) Form and Numbering. The Series 2003 B Bonds shall be issued only as fully registered bonds. The Series 2003 B Bonds shall be numbered as determined by the Trustee which will distinguish each Series 2003 B Bond from each other Series 2003 B Bond.

(c) Denominations and Dates. The Series 2003 B Bonds shall be issuable in denominations of \$5,000 and integral multiples thereof and shall be dated as of as provided in the Certificate of Award.

(d) Principal Amount. The Series 2003 B Bonds shall be issued in the principal amount specified in the Certificate of Award, which amount shall not exceed \$245,000,000.

(e) Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Original Purchaser to establish the date, location, procedures and conditions for the delivery of the Series 2003 B Bonds to the Original Purchaser and to take all steps as necessary to effect due execution, authentication, sale and delivery of the Series 2003 B Bonds to the Original Purchaser under the terms of this Resolution. The Series 2003 B Bonds shall be signed by the Treasurer in his official capacity (provided such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

(f) Interest and Maturities.

(i) The Series 2003 B Bonds shall bear interest on the unpaid principal amount thereof from the most recent date to which interest has been paid or duly provided for or, if no interest has been paid or provided for, from their date, with interest payable at their maturity at the percentage rate or rates per annum set forth in the Certificate of Award. Interest shall be calculated on the basis of a 360-day year consisting of twelve 30-day months. Such rate or rates shall in no event produce an interest rate for the Series 2003 B Bonds in excess of eight percent (8.00%) per annum.

(ii) The Series 2003 B Bonds shall mature not later than December 1, 2033 as provided for in the Certificate of Award.

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS (contd)**

(iii) The Series 2003 B Bonds, if so provided for in the Certificate of Award, shall be subject to optional redemption at the option of the University prior to stated maturity, in whole or in part, but if in part, in installments of \$5,000 principal amount of such Series 2003 B Bond or integral multiples thereof, in accordance with the terms, conditions, redemption prices and on the dates set forth in the Certificate of Award. Notice of call for and other terms and provisions governing redemption of the Series 2003 B Bonds shall be given in the manner provided in the Indenture. At no time shall the redemption price on any Series 2003 B Bonds, exclusive of accrued interest, exceed one hundred percent (100%) of the principal amount of such Bond to be redeemed.

(g) Security. As provided in the Amended and Restated Trust Indenture as supplemented by the 2003 B Supplement, there is hereby pledged to the security of the Series 2003 B Bonds and for the payment of the Debt Service Charges on the Series 2003 B Bonds, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.11 of the Original Indenture) and (ii) the monies contained in the Special Funds, but excluding the Bond Reserve Fund. Anything else to the contrary in the Indenture or this Resolution notwithstanding, the Series 2003 B Bonds shall not have access to, any claim upon or be secured by the Bond Reserve Fund or the Note Program Fund.

The pledge of General Receipts in the immediately preceding paragraph shall be on a parity with expenses, claims and payments relating to other Parity Obligations and in priority to all other expenses, claims and payments of the University. In accordance with the Act, all General Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University. Furthermore, the Pledge contained herein and the Amended and Restated Indenture is not in derogation of the pledge contained in the Original Indenture, any supplement thereto Indenture, any Series Resolution adopted pursuant to the Original Resolution or any Bond proceedings with respect to any Obligations currently outstanding, it being the intention of the University that all such Obligations outstanding upon the execution and delivery of the Series 2003 B Supplement shall be Parity Obligations within the meaning of the Amended and Restated Trust Indenture, equally and ratably secured by the pledge of General Receipts of the University herein and therein contained.

For the further security of the Series 2003 B Bonds, and any other Obligations, the University hereby covenants with the bondholders and the Trustee that so long as any Series 2003 B Bonds or Obligations are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Debt Service Charges when due, (ii) together with other monies lawfully available therefor, to pay all costs and expenses required to be paid under the Bond proceedings, and (iii) together with other monies lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

(h) Payment, Places of Payment and Paying Agents. The principal of and any redemption premium on any Series 2003 B Bond when due shall be payable to the registered holder upon presentation and surrender thereof at the principal corporate trust office of the Trustee. Interest on Series 2003 B Bonds shall be payable by check or draft mailed by the Trustee as provided in the Indenture; provided, however, that interest on Series 2003 B Bonds aggregating \$1,000,000 or more in principal amount registered in the name of a single holder shall be paid by wire transfer in immediately available funds to such account, if any, as such holder directs in writing to the Trustee at least ten (10) days prior to any Interest Payment Date, such payment by wire transfer pursuant to that direction continuing in effect as to subsequent Interest Payment Dates until such time as the holder notifies the Trustee to the contrary or until such time as such holder ceases to be a holder of the requisite principal amount of Series 2003 B Bonds.

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS (contd)**

(i) Book Entry. Subject to the provisions of the immediately following paragraph, the Series 2003 B Bonds shall be issued only to a Depository for holding in a Book Entry System. Those Series 2003 B Bonds shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable, except for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series 2003 B Bonds shall be evidenced by a single certificate in the aggregate principal amount of the Series 2003 B Bonds maturing on each such maturity date.

If any Depository determines not to continue to act as a Depository for the Series 2003 B Bonds for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series 2003 B Bonds from the Depository, and authenticate and deliver the Series 2003 B Bond certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series 2003 B Bonds) of the University. Series 2003 B Bond certificates authenticated and delivered pursuant to this paragraph shall be in the denomination of \$5,000 or any integral multiple thereof.

Section 3. 2003 B Supplement; Contract of Purchase; Certificate of Award; Official Statement; Continuing Disclosure Agreement. To secure the pledge of General Receipts for the payment of Debt Service Charges on all Obligations, the execution, delivery and performance of the 2003 B Supplement in substantially the form on file with the Secretary of the Board is hereby approved and authorized. The Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute and deliver to the Trustee, in the name and on behalf of the University such Amended and Restated Trust Indenture, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. Execution by the officer or officers of the University shall be conclusive evidence that any such changes are not substantially adverse to the University.

The Series 2003 B Bonds are sold and awarded to the Original Purchaser in accordance with the Contract of Purchase at the purchase price provided in the Certificate of Award. The form of Contract of Purchase now on file with the Secretary of the Board is hereby approved, together with any changes therein and completions thereof which are not inconsistent with this Resolution and not substantially adverse to the University, and as are permitted by the Act and approved on behalf of the University by the Chairman or the Treasurer. The approval on behalf of the University of those changes and completions by the Chairman or the Treasurer, and the status of those changes as not substantially adverse to the University, shall be conclusively evidenced by the execution of the Contract of Purchase on behalf of the University by the Chairman or the Treasurer. It is determined hereby that the purchase price and the manner of sale and the terms of the Series 2003 B Bonds, as provided in this Resolution and the Contract of Purchase are consistent with all legal requirements and will carry out the public purposes of the Act.

The sale and award of the Series 2003 B Bonds shall be further evidenced by the Certificate of Award. The Certificate of Award is hereby authorized and shall be executed by the Chairman or the Treasurer. The Certificate of Award shall state or confirm the aggregate principal amount of the Series 2003 B Bonds, the purchase price of such Bonds, the interest rate or rates with respect to each maturity of such Bonds, and the optional redemption provisions, all as determined in accordance with the provisions of this Resolution and the Contract of Purchase, and all of which shall be conclusively evidenced by the execution of the Certificate of Award. The Certificate of Award shall also contain such other dates or provisions as the Chairman or the Treasurer determines are appropriate or necessary, and as are consistent with this Resolution, the Indenture, the 2003 B Supplement and the Contract of Purchase.

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS (contd)**

The use and distribution of the Preliminary Official Statement and the Official Statement relating to the Series 2001 Bonds by the Original Purchasers is hereby approved, authorized and ratified. The Preliminary Official Statement is deemed final by the University for purposes of Securities Exchange Commission Rule 15c2-1 2 except for such omissions therefrom as may be permitted by such Rule. The Official Statement, substantially in the form of the Preliminary Official Statement now on file with the Secretary of the Board, shall be executed by the Chairman and the Treasurer or either of them, each in his official capacity on behalf of the University with any modifications, changes and supplements necessary or desirable for the purposes thereof which the Chairman and the Treasurer shall approve. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of the statements in the Preliminary Official Statement or the final Official Statement under the caption "UNDERWRITING."

The execution, delivery and performance of the Continuing Disclosure Agreement (the "Continuing Disclosure Agreement") between the University and the Trustee in the form now on file with the Secretary of the Board is hereby authorized and approved, together with any changes therein which are not substantially adverse to the University. The Chairman and the Treasurer, or either of them is each authorized to execute the Continuing Disclosure Agreement and such execution by either or both of them shall be conclusive evidence that such changes are not substantially adverse to the University.

Section 4. Allocation of Proceeds. The proceeds from the sale of the Series 2003 B Bonds, including any accrued interest, shall be allocated, deposited and applied as follows:

- (i) To the Debt Service Fund, accrued interest, if any, received on the sale of the Series 2003 B Bonds and the amount of proceeds of the Series 2003 B Bonds as specified by the Treasurer to currently refund the Refunded Bonds; and
- (ii) To the Series 2003 Project Account, created pursuant to Section 5 of this Resolution, the amount of the proceeds of the Series 2003 B Bonds as specified in the Certificate of Award, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series 2003 B Bonds;
- (iii) To the Series 2003 B Project Account, created pursuant to Section 5 of this Resolution, the amount of the proceeds of the Series 2003 B Bonds as specified in the Certificate of Award, and to pay costs and expenses associated with the issuance of the Series 2003 B Bonds.

Section 5. Series 2003 Project Account. There is hereby created by the University an account within the Facilities Fund to be maintained in the custody of the Treasurer and designated the "Series 2003 Project Account." The Series 2003 Project Account shall be funded in part from the proceeds of the sale of the Series 2003 B Bonds. In addition to the costs of the Series 2003 Project paid from such proceeds, such proceeds shall be used for the payment of the costs and expenses associated with the issuance of the Series 2003 B Bonds. The Series 2003 Project Account shall not constitute a Special Fund and shall not be pledged to the payment of Debt Service Charges.

Moneys held in the Series 2003 Project Account, including all investment earnings thereon, pending disbursement from the Series 2003 Project Account shall be invested in Authorized Investments specified in Section VIII of the Non-Endowment Investments Policy of the University attached hereto as Exhibit A, as the same may be amended from time to time. If the proceeds of the Series 2003 B Bonds remain unspent upon the issuance of any Obligations to fund costs of the Series 2003 Project, the University shall establish separate accounts and subaccounts, for accounting purposes, for the deposit of the proceeds of such Obligations in accordance with the provisions of this Section 5.

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS (contd)**

The Treasurer shall maintain such books and records with respect to disbursements from the Series 2003 Project Account so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on the funds on deposit in the Series 2003 Project Account may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Debt Service Fund to be used to pay Debt Service Charges on the Series 2003 B Bonds.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series 2003 Project (each a "Component") to be paid from the Series 2003 Project Account have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Series 2003 Project Account with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each, an "Additional Project"). Monies remaining on deposit in the Series 2003 Project Account after the completion of the Series 2003 Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Section 6. Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series 2003 B Bonds will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and payment for the Series 2003 B Bonds, so that the Series 2003 B Bonds will not constitute arbitrage bonds under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series 2003 B Bonds, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series 2003 B Bonds setting forth the reasonable expectations of the University regarding the amount and use of all those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series 2003 B Bonds.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Series 2003 B Bonds, and will not take, nor permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series 2003 B Bonds; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series 2003 B Bonds.

Section 7. Call for Redemption of Refunded Bonds. The University hereby determines that the Refunded Bonds shall be called for optional redemption at the earliest date on which each of them may be redeemed pursuant to the Indenture and that the execution and delivery by the University of the 2003 B Supplement shall constitute the irrevocable direction to the Trustee to send such notices as are required by the Indenture to effect such call for redemption. The execution of the 2003 B Supplement and the acceptance of the estate conveyed thereby by the Trustee shall constitute the receipt by the Trustee of such direction and the agreement by the Trustee to give the aforementioned notices.

Section 8. Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, In compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section 9. Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS**

Resolution No. 2004-23

Synopsis: Providing for the authorization, issuance and sale of Variable Rate Demand General Receipts Bonds (the "Series 2003 C Bonds"), in a principal amount determined as provided herein, for the purposes of (A) the acquisition, construction and installation of the Series 2003 Project, as defined herein; (B) currently refunding the outstanding principal amount of certain Obligations of the University (the "Refunded Obligations"); and (C) paying costs and expenses associated with the issuance of the 2003 C Bonds is requested.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations (as defined in the Indenture (as hereinafter defined)) of the University to pay the costs of certain capital facilities defined as "facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Original Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Amended and Restated Trust Indenture described below) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

WHEREAS the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985 A Bond Resolution") and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as Trustee (the "Trustee"), provided for the issuance from time to time of General Receipts Bonds of the University; and

WHEREAS the University, pursuant to the terms of a resolution adopted by the Board on October 1, 1999 (the "1999 General Bond Resolution") amended and restated the Original Indenture, as supplemented in accordance with the terms thereof by entering into the Amended and Restated Trust Indenture dated as of December 1, 1999 (the "Amended and Restated Trust Indenture") with the Trustee; and

WHEREAS the Amended and Restated Indenture provides that Obligations, as defined therein, may be issued pursuant to the terms thereof, with each such issue to be authorized by a Series Resolution, as defined therein, adopted by the Board and secured pursuant to the terms of a Supplemental Indenture, as defined in the Amended and Restated Indenture with respect to such issue (the Amended and Restated Indenture and all Supplemental Indentures thereto being collectively referred to herein as the "Indenture"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the First Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "First Supplement") between the University and the Trustee, \$83,585,000 The Ohio State University General Receipts Bonds, Series 1999 A (the "Series 1999 A Bonds") of which authorized amount \$75,190,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 B General Receipts Bond Resolution"), the Indenture and the Second Supplement to Amended and

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

Restated Trust Indenture, dated as of December 1, 1999 (the "Second Supplement") between the University and the Trustee, \$108,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1999 B (the "Series 1999 B Bonds") of which authorized amount \$84,900,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series 2001 General Receipts Bond Resolution"), the Indenture and the Series 2001 Supplement to Amended and Restated Trust Indenture, dated as of November 1, 2001 (the "Series 2001 Supplement") between the University and the Trustee, \$79,950,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2001 (the "Series 2001 Bonds") of which amount \$76,950,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series D Commercial Paper Resolution") the Indenture and Series D Supplement to the Amended and Restated Trust Indenture, dated as of December 1, 2001 (the "Series D Supplement") between the University and the Trustee, \$175,000,000 The Ohio State University General Receipts Commercial Paper Notes, Series D (the "Series D Notes") of which amount \$47,000,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series 2002 A General Receipts Bond Resolution"), the Indenture and the Series 2002 A Supplement to Amended and Restated Trust Indenture, dated as of January 1, 2002 (the "Series 2002 A Supplement") between the University and the Trustee, \$150,515,000 The Ohio State University General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds"), of which amount \$145,075,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on February 7, 2003 (the "Series 2003 A General Receipts Bond Resolution"), the Indenture and the Series 2003 A Supplement to Amended and Restated Trust Indenture, dated as of February 1, 2003 (the "Series 2003 A Supplement") between the University and the Trustee, \$53,000,000 The Ohio State University General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds"), of which amount \$50,965,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on May 30, 2003 (the "Series E Commercial Paper Resolution"), the Indenture and the Series E Supplement to Amended and Restated Trust Indenture, dated as of June 1, 2003 (the "Series E Supplement") between the University and the Trustee, of up to \$430,000,000 in principal amount of The Ohio State University General Receipts Commercial Paper Notes, Series E (the "Series E Notes"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on July 11, 2003 (the "Series 2003 B General Receipts Bond Resolution"), the Indenture and the Series 2003 B Supplement to Amended and Restated Trust Indenture (the "Series 2003 B Supplement") between the University and the Trustee, of not to exceed \$245,000,000 The Ohio State University General Receipts Bonds, Series 2003 B (the "Series 2003 B Bonds"); and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2003 C (the "Series 2003 C Bonds") in the principal amount not to exceed \$130,000,000 for the purpose of (A) the acquisition, construction and installation of the Series 2003 Project, as defined herein; (B) currently refunding a portion of certain of outstanding Obligations (the

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

"Refunded Obligations"), and (C) paying costs and expenses associated with the issuance of the Series 2003 C Bonds; and

WHEREAS the University desires to make provisions for the issuance of the Series 2003 C Bonds and for the payment of the Debt Service Charges thereon and the securing thereof by this Resolution and a 2003 C Supplement to the Amended and Restated Trust Indenture (the "2003 C Supplement" and together with the Amended and Restated Trust Indenture, the "Indenture") herein authorized:

NOW THEREFORE

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

Section 1. Definitions and Interpretations. All words and terms defined in the Amended and Restated Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms not appearing in the Amended and Restated Indenture but used herein and not previously defined herein are defined as follows:

"Certificate of Award" means the Certificate of Award authorized pursuant to Section 3 of this Resolution.

"Code" means the Internal Revenue Code of 1986, as amended, the Treasury Regulations (whether proposed, temporary or final) under that Code or the statutory predecessor of that Code, and any amendments of, or successor provisions to, the foregoing and any official rulings, announcements, notices, procedures and judicial determinations regarding any of the foregoing, all as and to the extent applicable. Unless otherwise indicated, reference to a Section of the Code means that Section, including any applicable successor section or provision and such applicable Treasury Regulations, rulings, announcements, notices, procedures and determinations pertinent to that Section.

"Contract of Purchase" means the Contract of Purchase between the Original Purchasers and the University, authorized pursuant to Section 3 hereof.

"Official Statement" means, as to the Series 2003 C Bonds, the Official Statement relating to the original issuance of the Series 2003 C Bonds, authorized pursuant to Section 3 hereof.

"Original Purchasers" means, as to the Series 2003 C Bonds, Morgan Stanley & Company, Inc. and Apex Pryor Securities.

"Paying Agent" means the Trustee.

"Refunded Obligations" means the portion of the Series E Commercial Paper Notes of the University to be currently refunded with a portion of the proceeds of the Series 2003 C Bonds.

"Series 2003 C Bond Resolution" or "this Resolution" as used herein, means this Resolution and the Certificate of Award, as the same may be amended from time to time.

"Series 2003 Project" means the Series 2003 Project as described on Exhibit A hereto, which exhibit is incorporated by reference herein with the same force and effect as if fully set forth herein.

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

"Remarketing Agent" means Morgan Stanley & Co. Incorporated or any other investment banking firm which may at any time be substituted in place of either of them as provided in Section 5.20 of the Indenture.

Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Debt Service Charges in the amount and manner, at the times, and from the sources provided in this Resolution, the Amended and Restated Trust Indenture and the 2003 C Supplement, except as otherwise herein permitted.

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Section 2. Authorization; Terms; Pledge; and Covenant.

(a) Authorization. The Series 2003 C Bonds shall be designated and known as "The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2003 C" and shall be issued pursuant to and as authorized by the Act, Section 2i of Article VIII of the Ohio Constitution, this Resolution, the Amended and Restated Trust Indenture and the 2003 C Supplement. The Series 2003 C Bonds shall be issued for the purposes of financing a portion of the costs of the University Facilities comprising the Series 2003 Project, currently refunding the outstanding principal amount of the Refunded Obligations and paying costs and expenses incidental to the issuance of the Series 2003 C Bonds.

(b) Form and Numbering. The Series 2003 C Bonds shall be issued only as fully registered bonds. The Series 2003 C Bonds shall be numbered as determined by the Trustee which will distinguish each Series 2003 C Bond from each other Series 2003 C Bond.

(c) Denominations and Dates. The Series 2003 C Bonds shall be issuable in denominations of \$100,000 and integral multiples thereof and shall be dated as of as provided in the Certificate of Award.

(d) Principal Amount. The Series 2003 C Bonds shall be issued in the principal amount specified in the Certificate of Award, which amount shall not exceed \$130,000,000.

(e) Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Original Purchasers to establish the date, location, procedures and conditions for the delivery of the Series 2003 C Bonds to the Original Purchasers and to take all steps as necessary to effect due execution, authentication, sale and delivery of the Series 2003 C Bonds to the Original Purchasers under the terms of this Resolution. The Series 2003 C Bonds shall be signed by the Treasurer in his official capacity (provided such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

(f) Interest and Maturities.

(i) The Series 2003 C Bonds shall bear interest at a variable rate in any number of Modes determined pursuant to the 2001 Supplement. The University may also convert the interest rate on all or a portion of the Series 2001 Bonds to Fixed Rates. The interest rate on the Series 2001 Bonds shall at no time exceed the Maximum Interest Rate. Interest shall be payable on each Interest Payment Date.

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

(ii) The Series 2003 C Bonds shall mature not later than December 1, 2033 as provided for in the Certificate of Award.

(iii) The Series 2003 C Bonds, if so provided for in the Certificate of Award, shall be subject to optional redemption at the option of the University prior to stated maturity, in whole or in part, but if in part, in installments of \$5,000 principal amount of such Series 2003 C Bond or integral multiples thereof, in accordance with the terms, conditions, redemption prices and on the dates set forth in the Certificate of Award. Notice of call for and other terms and provisions governing redemption of the Series 2003 C Bonds shall be given in the manner provided in the Indenture. At no time shall the redemption price on any Series 2003 C Bonds, exclusive of accrued interest, exceed one hundred percent (100%) of the principal amount of such Bond to be redeemed.

(g) Security. As provided in the Amended and Restated Trust Indenture as supplemented by the 2003 C Supplement, there is hereby pledged to the security of the Series 2003 C Bonds and for the payment of the Debt Service Charges on the Series 2003 C Bonds, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.11 of the Original Indenture) and (ii) the monies contained in the Special Funds, but excluding the Bond Reserve Fund. Anything else to the contrary in the Indenture or this Resolution notwithstanding, the Series 2003 C Bonds shall not have access to, any claim upon or be secured by the Bond Reserve Fund or the Note Program Fund.

The pledge of General Receipts in the immediately preceding paragraph shall be on a parity with expenses, claims and payments relating to other Parity Obligations and in priority to all other expenses, claims and payments of the University. In accordance with the Act, all General Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University. Furthermore, the Pledge contained herein and the Amended and Restated Indenture is not in derogation of the pledge contained in the Original Indenture, any supplement thereto Indenture, any Series Resolution adopted pursuant to the Original Resolution or any Bond proceedings with respect to any Obligations currently outstanding, it being the intention of the University that all such Obligations outstanding upon the execution and delivery of the Series 2003 C Supplement shall be Parity Obligations within the meaning of the Amended and Restated Trust Indenture, equally and ratably secured by the pledge of General Receipts of the University herein and therein contained.

For the further security of the Series 2003 C Bonds, and any other Obligations, the University hereby covenants with the bondholders and the Trustee that so long as any Series 2003 C Bonds or Obligations are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Debt Service Charges when due, (ii) together with other monies lawfully available therefor, to pay all costs and expenses required to be paid under the Bond proceedings, and (iii) together with other monies lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

(h) Payment, Places of Payment and Paying Agents. The principal of and any redemption premium on any Series 2003 C Bond when due shall be payable to the registered holder upon presentation and surrender thereof at the principal corporate trust office of the Trustee. Interest on Series 2003 C Bonds shall be payable by check or draft mailed by the Trustee as provided in the Indenture; provided, however, that interest on Series 2003 C Bonds aggregating \$1,000,000 or more in principal amount registered in the name of a single holder shall be paid by wire transfer in immediately available funds to such account, if any, as such holder directs in writing to the Trustee at least ten (10) days prior to any Interest Payment Date, such payment by wire transfer pursuant to that direction continuing in effect as to subsequent Interest Payment Dates until such time as the holder notifies the Trustee to the contrary or until such time as such holder ceases to be a holder of the requisite principal amount of Series 2003 C Bonds.

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

(i) Book Entry. Subject to the provisions of the immediately following paragraph, the Series 2003 C Bonds shall be issued only to a Depository for holding in a Book Entry System. Those Series 2003 C Bonds shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable, except for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series 2003 C Bonds shall be evidenced by a single certificate in the aggregate principal amount of the Series 2003 C Bonds maturing on each such maturity date.

If any Depository determines not to continue to act as a Depository for the Series 2003 C Bonds for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series 2003 C Bonds from the Depository, and authenticate and deliver the Series 2003 C Bond certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series 2003 C Bonds) of the University. Series 2003 C Bond certificates authenticated and delivered pursuant to this paragraph shall be in the denomination of \$5,000 or any integral multiple thereof.

(j) Tender and Purchase. The Series 2003 C Bonds will be subject to tender by the Holders thereof and also subject to purchase, including mandatory purchase, by the University in accordance with the terms of the 2003 C Supplement.

(k) Appointment of Remarketing Agent. The Board hereby appoints Morgan Stanley & Co. Incorporated, as Remarketing Agent.

Section 3. 2003 C Supplement; Contract of Purchase; Certificate of Award; Official Statement; Continuing Disclosure Agreement and Remarketing Agreement. To secure the pledge of General Receipts for the payment of Debt Service Charges on all Obligations, the execution, delivery and performance of the 2003 C Supplement in substantially the form on file with the Secretary of the Board is hereby approved and authorized. The Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute and deliver to the Trustee, in the name and on behalf of the University such Amended and Restated Trust Indenture, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. Execution by the officer or officers of the University shall be conclusive evidence that any such changes are not substantially adverse to the University.

The Series 2003 C Bonds are sold and awarded to the Original Purchaser in accordance with the Contract of Purchase at the purchase price provided in the Certificate of Award. The form of Contract of Purchase now on file with the Secretary of the Board is hereby approved, together with any changes therein and completions thereof which are not inconsistent with this Resolution and not substantially adverse to the University, and as are permitted by the Act and approved on behalf of the University by the Chairman or the Treasurer. The approval on behalf of the University of those changes and completions by the Chairman or the Treasurer, and the status of those changes as not substantially adverse to the University, shall be conclusively evidenced by the execution of the Contract of Purchase on behalf of the University by the Chairman or the Treasurer. It is determined hereby that the purchase price and the manner of sale and the terms of the Series 2003 C Bonds, as provided in this Resolution and the Contract of Purchase are consistent with all legal requirements and will carry out the public purposes of the Act.

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

The sale and award of the Series 2003 C Bonds shall be further evidenced by the Certificate of Award. The Certificate of Award is hereby authorized and shall be executed by the Chairman or the Treasurer. The Certificate of Award shall state or confirm the aggregate principal amount of the Series 2003 C Bonds, the purchase price of such Bonds, the interest rate or rates with respect to each maturity of such Bonds, the annual principal amount or amounts of such Bonds becoming due, the maturity dates and the optional redemption provisions, all as determined in accordance with the provisions of this Resolution and the Contract of Purchase, and all of which shall be conclusively evidenced by the execution of the Certificate of Award. The Certificate of Award shall also contain such other dates or provisions as the Chairman or the Treasurer determines are appropriate or necessary, and as are consistent with this Resolution, the Indenture, the 2003 C Supplement and the Contract of Purchase.

The use and distribution of the Preliminary Official Statement and the Official Statement relating to the Series 2003 C Bonds by the Original Purchasers is hereby approved, authorized and ratified. The Preliminary Official Statement is deemed final by the University for purposes of Securities Exchange Commission Rule 15c2-1 2 except for such omissions therefrom as may be permitted by such Rule. The Official Statement, substantially in the form of the Preliminary Official Statement now on file with the Secretary of the Board, shall be executed by the Chairman and the Treasurer or either of them, each in his official capacity on behalf of the University with any modifications, changes and supplements necessary or desirable for the purposes thereof which the Chairman or the Treasurer shall approve. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of the statements in the Preliminary Official Statement or the final Official Statement under the caption "UNDERWRITING."

The execution, delivery and performance of the Continuing Disclosure Agreement (the "Continuing Disclosure Agreement") between the University and the Trustee in the form now on file with the Secretary of the Board is hereby authorized and approved, together with any changes therein which are not substantially adverse to the University. The Chairman and the Treasurer, or either of them is each authorized to execute the Continuing Disclosure Agreement and such execution by either or both of them shall be conclusive evidence that such changes are not substantially adverse to the University.

The execution, delivery and performance of the Remarketing Agreement dated as of July 1, 2003 (a "Remarketing Agreement") between the University and the Remarketing Agent in the form now on file with the Secretary of the Board is hereby authorized and approved, together with any changes therein which are not substantially adverse to the University. The Chairman and the Treasurer, or either of them is each authorized to execute the Remarketing Agreement and such execution by either or both of them shall be conclusive evidence that such changes are not substantially adverse to the University.

Section 4. Allocation of Proceeds. The proceeds from the sale of the Series 2003 C Bonds, shall be allocated, deposited and applied as follows:

- (i) To the Redemption Account in the Note Program Fund, an amount sufficient to currently refund that portion of the Refunded Obligations to be redeemed with the proceeds of the Series 2003 C Bonds; and
- (ii) To the Series 2003 Project Account, created pursuant to Section 5 of this Resolution, the amount of the proceeds of the Series 2003 C Bonds as specified in the Certificate of Award, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series 2003 C Bonds;
- (iii) To the Series 2003 C Project Account, created pursuant to Section 5 of this Resolution, the amount of the proceeds of the Series 2003 C Bonds as specified in the Certificate of Award, and to pay costs and expenses associated with the issuance of the Series 2003 C Bonds.

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

Section 5. Series 2003 Project Account. There is hereby created by the University an account within the Facilities Fund to be maintained in the custody of the Treasurer and designated the "Series 2003 Project Account." The Series 2003 Project Account shall be funded in part from the proceeds of the sale of the Series 2003 C Bonds. In addition to the costs of the Series 2003 Project paid from such proceeds, such proceeds shall be used for the payment of the costs and expenses associated with the issuance of the Series 2003 C Bonds. The Series 2003 Project Account shall not constitute a Special Fund and shall not be pledged to the payment of Debt Service Charges.

Moneys held in the Series 2003 Project Account, including all investment earnings thereon, pending disbursement from the Series 2003 Project Account shall be invested in Authorized Investments specified in Section VIII of the Non-Endowment Investments Policy of the University attached hereto as Exhibit A, as the same may be amended from time to time. If the proceeds of the Series 2003 C Bonds remain unspent upon the issuance of any Obligations to fund costs of the Series 2003 Project, the University shall establish separate accounts and subaccounts, for accounting purposes, for the deposit of the proceeds of such Obligations in accordance with the provisions of this Section 5.

The Treasurer shall maintain such books and records with respect to disbursements from the Series 2003 Project Account so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on the funds on deposit in the Series 2003 Project Account may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Debt Service Fund to be used to pay Debt Service Charges on the Series 2003 C Bonds.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series 2003 Project (each a "Component") to be paid from the Series 2003 Project Account have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Series 2003 Project Account with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each, an "Additional Project"). Monies remaining on deposit in the Series 2003 Project Account after the completion of the Series 2003 Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Section 6. Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series 2003 C Bonds will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and payment for the Series 2003 C Bonds, so that the Series 2003 C Bonds will not constitute arbitrage bonds under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series 2003 C Bonds, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series 2003 C Bonds setting forth the reasonable expectations of the University regarding the amount and use of all those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series 2003 C Bonds.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Series 2003 C Bonds, and will not take, nor permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series 2003 C Bonds; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series 2003 C Bonds.

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

Section 7. Call for Redemption of Refunded Obligations. The University hereby determines that the Refunded Obligations shall be called for optional redemption at the earliest date on which each of them may be redeemed pursuant to the Indenture and that the execution and delivery by the University of the 2003 C Supplement shall constitute the irrevocable direction to the Trustee to send such notices as are required by the Indenture to effect such call for redemption. The execution of the 2003 C Supplement and the acceptance of the estate conveyed thereby by the Trustee shall constitute the receipt by the Trustee of such direction and the agreement by the Trustee to give the aforementioned notices.

Section 8. Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, In compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section 9. Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

Upon motion of Mr. Slane, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolutions with seven affirmative votes, cast by Messrs. Sofia, Slane, McFerson, O'Dell, and Judge Duncan, Mses. Longaberger and Hendricks, and one abstention cast by Mr. Borror.

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Thereupon the Board adjourned to meet Friday, September 5, 2003, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

David O. Frantz
Secretary

Zuheir Sofia
Chairman

(APPENDIX I)

The Ohio State University
Board of Trustees
July 11, 2003

Tuition Recommendations

1. We appreciate the work the Governor and the legislature did to pass a balanced budget on time, their efforts to minimize reductions to higher education in this difficult financial environment, and their recognition of the need for differential tuition caps at OSU's Columbus Campus.
2. However, changes made late in the budget process by the Conference Committee will require a re-working of the University's budget for FY 2004 and FY 2005, which will mean higher tuition for our students.
 - a. State Support is significantly less than that originally proposed by the Governor in February and the Senate in May, and still lower than four years ago (see attachment).
 - b. The tuition cap language provides for a greater increase than in earlier versions of the budget.
3. Consequently, the University will have to make tuition adjustments for Fall Quarter that differ from those initially approved by the Board of Trustees on May 2 in order to advance the goals of the Academic Plan.
 - a. For all undergraduate students on the Columbus Campus this will mean an increase of 12.9% or between \$684 and \$756 for the 2003-2004 academic year.
 - b. For all undergraduate students at the regional campuses, this will mean an increase of 9.9% or about \$456 for an academic year, before applying the Access Challenge credit.
 - c. By state law, the additional revenues generated by increases above 9% are limited to financial aid for low income students and improved technology for all students.
 - d. Preliminary plans for the Columbus Campus are to provide 50% of the additional increase above 9% for student financial aid and 50% for technology, including more technology in the classroom, on-line registration and improved support services. Details will be presented at the September 5, 2003 Board meeting, including distribution of these funds on the regional campuses.

Office of Academic Affairs
Office of Business and Finance
July 11, 2003

4. Both new and continuing students should also expect tuition increases to the full extent permitted by the tuition caps in FY 2005 in order to make up for continued lack of growth in state support. In addition, a mandatory Recreation Fee will be implemented to support the new Recreation Center when it opens in FY 2005.
5. The University will continue to increase University funded financial aid in order to offset the impact of these tuition increases on lower and lower middle income students.
6. The University will continue to strive to increase operating efficiencies and generate cost savings to improve services to our students.
7. The University will present more detailed budget recommendations for approval at the September 5 Board of Trustees meeting.
8. The Ohio State University will continue to be an investment of high value to our students and to the citizens of Ohio. Under these recommendations, tuition for new students at the OSU Columbus Campus will increase to seventh among Ohio's 13 public universities.

History of State Support – OSU Columbus Campus (in millions)

Year	State Share of Instruction	Success Challenges	Total
FY 2000	\$312.7	\$4.1	\$316.8
FY 2001	317.7	10.7	328.4
FY 2002	305.4	9.2	314.6
FY 2003	300.1	9.3	309.4
FY 2004	300.1	11.0	311.1
FY 2005	300.6	12.6	313.2

FY 2004 Resident Undergraduate Tuition and Fees for Three Quarters

OSU Columbus Campus

Category	Current Base	Recommended	% Change
Tier One	\$5,307	\$5,991	12.9
Tier Two	\$5,793	\$6,540	12.9
Tier Three (new)	\$5,868	\$6,624	12.9

Note: Current base equals the average quarterly tuition for Autumn 2002 through Summer 2003 spread over a three-quarter academic year, beginning Autumn 2003.

IMPACT STATEMENT

1. Formally adopted the definitions used in the *Medical Staff Bylaws, Rules and Regulations*.
2. Modified the Rules and Regulations as follows:
 - A. Made uniform terminology such as “will/shall” and the corrected name of “limited staff.”
 - B. Added ethical pledge.
 - C. Revised admission procedures, including requirements for pre-admission testing and pre-operative assessment requirements.
 - D. Specified requirements for history and physical examinations prior to admission and timing and content of procedure notes after medical / surgical procedures.
 - E. Delineated medical record content.
 - F. Specified responsibility for entries and authentication in the medical record.
 - G. Revised policies on patient care orders, including telephone and verbal orders.
 - H. Classified consult requests.
 - I. Revised tissue disposition and autopsy procedures.
 - J. Updated disaster plan policies.

Many of the reported changes are designed to make OSUHE Rules and Regulations comparable to the terms of the OSUH Rules and Regulations.

**THE OHIO STATE UNIVERSITY HOSPITALS EAST
MEDICAL STAFF BYLAWS, RULES AND REGULATIONS**

New Bylaw

3335-45-21 Definitions.

The following terms shall have the meanings defined herein when used in these rules unless otherwise specified.

- (A) The term “the hospital” refers to the Ohio state university hospitals east.
- (B) The term “medical staff” means all practitioners who are authorized to admit and/or attend patients at the hospital.
- (C) The term “board” means the governing body of the hospital, a special purpose committee of the Ohio state university hospitals board.
- (D) The term “executive committee” means the interim executive committee of the medical staff appointed by the resolution of the Ohio state university hospitals board and any successor executive committee of the medical staff authorized by these rules.
- (E) The term “chief executive officer” refers to the individual appointed by the Ohio state university hospitals board to act on its behalf in the overall management of the hospital. Whenever the term “chief executive officer” is used in these rules, it shall include persons designated by the chief executive officer to act on his or her behalf.
- (F) The terms “practitioner” or “practitioners” means duly licensed graduates of schools of medicine, osteopathic medicine, podiatry, dentistry or psychology.
- (G) The term “member” means a member of the medical staff of the hospital.
- (H) The term “governing documents” means the bylaws and rules and regulations of the board, the Ohio state university hospitals board, and the board of trustees of the Ohio state university.
- (I) The term “days” means calendar days.
- (J) The terms “clinical privileges” or “privileges” means the permission granted to a practitioner by the board to render specific diagnostic, therapeutic or investigative services of a medical, dental, surgical, podiatric or psychological nature.
- (K) The term “majority vote” means a vote of fifty-one per cent or more of those voting members present at any meeting where a quorum is present.
- (L) The term “member in good standing” means a member of the medical staff who is in compliance with the provisions of these bylaws and the rules and regulations of the medical staff, is current in the payment of medical staff dues, is not under suspension, is in compliance with all requirements for the timely completion of medical records, and is in compliance with all attendance requirements.
- (M) The term “licensed healthcare professional” means a person who is not a licensed practitioner, but who, by virtue of certification or license, is qualified to render direct or indirect patient care in the hospital under the privileges as may be permitted by these rules. Licensed healthcare professionals must be members of the faculty of the Ohio state university, employees of the hospital or employees of a member of the medical staff. Employees of the hospital with a detailed job description need not be credentialed by the medical staff.
- (N) The term “medical staff year” means the period from July first through June thirtieth.

New Bylaw (contd)

- (O) The term “emergency” means a condition in which there is a danger of serious or permanent harm to a patient’s health or the patient’s life is in immediate danger and any delay in administering treatment would add to that danger.
- (P) The term “professional standards” as used in these rules in reference to patient care means the patient care that is in conformance with generally accepted medical, dental or other professional care practiced by similarly qualified practitioners in academic medical centers.
- (Q) The term “voting members” means:
- (1) At clinical department meetings, members of the active medical staff and members of the executive committee who are members of the clinical department; and
 - (2) At general medical staff meetings, members of the active medical staff and members of the executive committee.
- (R) The term “ex officio” means a person serving on a committee of the medical staff by virtue of his or her position as an officer of the medical staff or as a member of the administration of the hospital. Unless otherwise provided in these rules, an ex officio member of a committee may actively participate in the committee’s deliberations, but shall not vote or be counted for quorum purposes.
- (S) The term “medical treatment” means diagnostic, therapeutic or investigative services rendered by a practitioner to a patient.
- (T) The term “quorum” means the number of voting members of the medical staff, or a committee or clinical department thereof, that must be present at a meeting to transact business. Those medical staff members that are eligible to vote at a duly called and open meeting of the medical staff or of a committee or clinical department thereof, shall constitute a quorum. Whenever these medical staff bylaws or rules and regulations are being adopted or amended, approval shall require a majority of voting members present. It will be the decision of the chair of the committee or department to table an issue if they feel there is an inadequate number of voting members present.
- (U) The term “oral and maxillofacial surgeon” means a practitioner of dentistry whom the board of trustees has determined to have the necessary education and training to be currently competent to conduct a complete history and physical examination to determine the patient’s ability to undergo the oral surgical procedure to be performed.
- (V) The term “limited staff member” means a practitioner who is receiving professional postgraduate medical education training in the hospital under a training program approved by a recognized professional organization and who participates in patient care under the direction of practitioners who have clinical privileges and are members of the medical staff.
- (W) The term “chair of the board” means (regardless of the specific title used in the governing documents) the member elected by the board to preside at meetings of the board and to perform other functions as set forth in the governing documents of the hospital.
- (X) The term “major diagnostic or therapeutic intervention” is defined as any diagnostic or therapeutic intervention, which requires history and physical as required by the medical staff rules and regulations.
- (Y) The term “professional liability insurance coverage” is defined as having insurance coverage by an insurance company licensed in the United States or having coverage by a company who has an underwriting agreement with a licensed U.S. insurance company to assure adequate reserves for payment of claims.

New Bylaw (contd)

- (Z) The term “the clearinghouse” refers to the national practitioner data bank, or any successor national, state or local organization, designated by the secretary of health and human services or other appropriate governmental authority from which information on a practitioner may be obtained at the time of appointment or reappointment.
- (AA) The term “professional review action” means an action or recommendation of a professional review body which is taken or made in the course of a professional review activity, which is based on the competence or professional conduct of a practitioner (which conduct affects or could have an adverse affect on patient health), and which adversely affects (or may affect) the clinical privileges or membership of such practitioner on the medical staff.
- (BB) The term “professional review activity” means an activity or function undertaken by a professional review body with respect to a practitioner to evaluate the quality of health care rendered by the practitioner, to determine that health services were professionally indicated, to determine that health services were rendered or performed in compliance with applicable standards of care, to determine whether the practitioner may have clinical privileges with respect to, or membership in the hospital’s medical staff, to determine the scope or conditions of such privileges or membership, or to change or modify such privileges or membership.
- (CC) A “professional review body” means the Ohio state university hospitals board and the board of trustees of the Ohio state university, or any committee of the hospital or its medical staff including all ad hoc, standing or special medical staff committees, or any other body, committee, or entity conducting or participating in a professional review activity.
- (DD) The term “clinical department” means the hospital clinical service department as identified in these rules.
- (EE) The term “joint conference committee” means an ad hoc committee appointed by the Ohio state university hospitals board to address disputes between the board and the executive committee as set forth in these rules.
- (FF) The term “medical director” shall mean the chief medical officer of the Ohio state university hospitals east and shall be appointed as provided in these rules. The medical director shall fulfill the responsibilities of the chief executive officer or designee for purposes of these medical staff bylaws. The appointment, scope of authority, and responsibilities of the medical director shall be as outlined in these medical staff bylaws and the Ohio state university hospitals board bylaws.
- (GG) The term “dean” means the dean of the Ohio state university college of medicine and public health.

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86-01 Ethical Pledge.

Each member of the medical staff shall pledge adherence to standard medical ethics, including:

- (A) Providing for continuity of patient care;
- (B) Refraining from delegating the responsibility for diagnosis or care of hospitalized patients to a medical or dental practitioner who is not qualified to undertake this responsibility and who is not adequately supervised;
- (C) Seeking consultation whenever necessary;

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- (D) Refraining from providing "ghost" surgical or medical services; and
- (E) Refraining from inducements relating to patient referral (i.e., fee splitting).

~~86-01~~

86-02 Admissions and discharges.

- (A) Admissions. Patients can only be admitted to the hospital:
 - (1) By members of the medical staff who have been granted admitting privileges by the hospital board.
 - (2) Unchanged.

(B) Admission procedures.

Except in an emergency, no patient shall be admitted to the hospital until after a provisional diagnosis has been provided by the patient's attending physician or by a member of the attending staff or a designee, in the interest of assignment to the appropriate service area. The request for admission shall also include the following information:

- (1) Any facts essential for the protection of the general hospital population against unnecessary exposure to infectious and other communicable diseases.
- (2) Any information which shall warn responsible hospital personnel of any tendency of any patient to try to commit suicide or to injure others because of mental disturbance.
- (3) Any information concerning physical condition or personality idiosyncrasy which might be objectionable to other patients who might be occupying the same or adjoining rooms.
- (4) A general consent form, signed by the patient or legally authorized person on behalf of the patient, must be obtained at the time of admission. Admitting office personnel should notify the admitting practitioner whenever such consent has not been obtained. When so notified, except in an emergency, the practitioner shall have the obligation to obtain proper general consent before the patient is treated in the hospital. This consent does not obviate the practitioner's responsibility for informing the patient of, and obtaining consent for, special treatment or surgical procedures to be performed by the practitioner.

(C) Admission through emergency room.

- (1) Every patient in the emergency room shall be cared for at the discretion of the emergency room physician. If hospitalization is indicated, the patient shall be admitted under the care of the patient's attending practitioner or physician designee, if the attending practitioner or physician designee is a member of the hospital medical staff.
- (2) Patients who have no attending practitioner, or whose personal practitioner is not a member of the medical staff shall be admitted to the care of the appropriate clinical departmental practitioner on call or member of the medical staff selected by the patient or the patient's attending physician, pending notification and acceptance by such appropriate on-call practitioner.
- (3) For purposes of this paragraph, a practitioner who has been designated as an alternate by the attending practitioner or the clinical departmental practitioner on-

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call may admit the patient to the hospital pursuant to paragraph (B) of rule 86-08 of these rules and regulations.

~~(B)~~(D) Psychiatric patients.

- (1) The hospital is not a licensed psychiatric facility. In the event that a patient is ~~presented~~ presents to the hospital with an illness or emotional problem, or condition which is the result of alcoholism or drug abuse and which ~~that~~ substantially impairs the patient's capacity to use self-control, judgment and discretion in the conduct of the patient's affairs and social relationships (e.g., attempted suicide, ~~suicidal gestures~~) ~~the emergency department policies and procedures will be followed~~ it is the responsibility of the attending physician to provide for a proper comprehensive plan of care, including emergency care.
- (2) If a patient with a mental disorder is treated in the hospital for a medical condition, it shall be the responsibility of the attending practitioner to notify hospital or medical staff personnel of the existence of the mental disorder; or substance abuse disorder and to order such precautionary measures as may be necessary ~~under paragraph (C) of this rule~~ to assure protection of the patient and the protection of others.
- (3) It shall also be the attending physician's responsibility to address the underlying mental health or substance abuse problem and when indicated, refer the patient to an appropriate or dedicated facility dealing with alcoholism/drug abuse or mental health problems.

~~(C)~~ ~~Protection of patients and others.~~

~~The admitting practitioner shall be responsible for giving such information as may be necessary to assure the protection of the patient from self harm and to assure the protection of others whenever a patient might be a source of danger.~~

~~(D)~~ ~~Consent.~~

~~A general consent form, signed by the patient or legally authorized person on behalf of the patient, must be obtained at the time of admission. Admitting office personnel should notify the admitting practitioner whenever such consent has not been obtained. When so notified, except in an emergency, the practitioner shall have the obligation to obtain proper general consent before the patient is treated in the hospital. This consent does not obviate the practitioner's responsibility for informing the patient of, and receiving a consent to, special treatment or surgical procedures to be performed by the practitioner.~~

~~(E)~~ Dentists, oral and maxillofacial surgeons and podiatrists.

~~Patient~~ Patients admitted for dental, oral or podiatric surgery may be admitted to the service of the dentist, oral and maxillofacial surgeon, or podiatrist, in accordance with the medical staff bylaws.

~~(F)~~ ~~Admission through emergency room.~~

~~Every patient in the emergency room will be cared for by the emergency room physician, or the patient's attending practitioner. If hospitalization is indicated, the patient will be admitted under the care of the patient's attending practitioner, if the attending practitioner is a member of the hospital medical staff.~~

~~Patients who have no attending practitioner, or whose personal practitioner is not a member of the medical staff will be admitted to the care of the appropriate clinical departmental practitioner on call or member of the medical staff selected by the patient or~~

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~~the patient's attending physician, pending notification and acceptance by such appropriate on-call practitioner.~~

~~For purpose of this paragraph, a practitioner who has been designated as an alternate by the attending practitioner or the clinical departmental practitioner on call may admit the patient to the hospital pursuant to paragraph (A) of rule 86.07 of these rules and regulations.~~

(F) Pre-admission testing.

All practitioners are encouraged to utilize the hospital's pre-admission testing procedures.

(G) Patient discharge.

Patients shall be discharged only upon written or verbal order of the attending practitioner, or another member of the medical staff that is authorized by the attending practitioner, provided the attending practitioner has had the opportunity to evaluate the patient and has made the determination for the appropriate patient discharge, unless the patient requests discharge against medical advice. A member of the limited medical staff can discharge a patient only with the express authorization and knowledge of the attending practitioner, and shall document in the discharge note that the discharge was discussed with the attending practitioner. A patient who requests discharge against medical advice shall be requested to sign a form provided by the hospital indicating that the patient's discharge is at the patient's request and against medical advice. At the time of discharge the attending practitioner shall see that the record is as complete as possible. The attending practitioner is responsible for verifying the principal diagnosis, secondary diagnoses, principal procedure, and other significant invasive procedures in the medical record by the time of discharge. If a principal diagnosis cannot be determined in the absence of outstanding test results, the attending practitioner must record a "provisional" principal diagnosis by at the time of discharge.

~~(H) Pre-admission testing.~~

~~All practitioners are encouraged to utilize the medical center's pre-admission testing procedures.~~

86-02

86-03 Outpatient/same day medical procedure/surgery.

(A) Definitions.

An outpatient ~~surgery~~ medical/surgical procedure patient is a patient that undergoes a medical/surgical procedure without being admitted as an inpatient to the ~~medical center hospital~~. A same day ~~surgery~~ medical/surgical procedure patient is a patient that undergoes a medical/surgical procedure on the day of ~~admissions~~ admission as an inpatient to the hospital.

(B) Scheduling.

Outpatient/same day ~~surgery~~ medical/surgical procedures are scheduled through the ~~appropriate person surgery scheduling office of the department of perioperative services or centralized scheduling for medical procedures.~~ Patients who have undergone pre-admission testing ~~will~~ shall be given priority scheduling for early morning ~~surgery~~ medical/surgical procedures.

(C) Pre-admission testing.

Pre-admission testing is recommended for all outpatient/same day ~~surgery~~ medical/surgical procedure patients. The attending practitioner should notify the hospital

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of the patient's name, diagnosis, proposed procedure, date and time of ~~surgery~~ the medical/surgical procedure, type of anesthesia and the pre-admission testing required. ~~The nurse shall instruct the patient on any preparation necessary prior to the day of surgery, and the time and place to report on the day of surgery. It is the responsibility of the attending practitioner to ensure that the patient is instructed as to any medical/surgical procedure preparation and the place and time to report on the day of the medical/surgical procedure. Patients having pre-admission testing the same day of the medical/surgical procedure must be instructed to be at the hospital two hours prior to the scheduled time of the medical/surgical procedure.~~

(D) Pre-admission testing time limits.

For outpatient/same-day ~~surgery~~ medical/surgical procedure patients, pre-admission testing must be done within twenty-one days prior to the date of the procedure/surgery; ~~except for.~~ Exceptions to this timeframe include an electrocardiogram and chest x-ray. For a listing of all minimal pre-operative testing requirements, please refer to the department of anesthesiology intranet web-site or call the department of anesthesiology at 614-293-8487 for a copy of the listing to be faxed to your office. Exceptions include an ekg electrocardiogram with interpretation and the report of a chest x-ray of acceptable quality made within ninety days prior to the date of surgery may be utilized and the report of a chest x-ray of acceptable quality made within six months prior to the date of surgery.

~~(E)~~ Patients requiring preoperative testing.

~~It is the responsibility of the attending practitioner to ensure that an outpatient/same-day surgery patient who needs preoperative testing the day of the procedure (e.g., patient over forty years of age for general anesthesia) is instructed as to any surgical preparation and the place and time to report on the day of surgery. Such patients must be instructed to be at the hospital two hours prior to the scheduled time of surgery.~~

~~(F)~~(E) Patients not requiring preoperative testing.

Patients for local anesthesia not requiring preoperative testing must be at the hospital one hour prior to ~~surgery~~ the medical/surgical procedure.

~~(G)~~(F) Registration.

Outpatient/same-day ~~surgery~~ medical/surgical procedure patients are to be instructed to register ~~with the appropriate person~~ upon arrival at the hospital.

~~(H)~~(G) Procedures permitted to be performed on outpatient/same-day surgery basis.

- (1) Unless otherwise approved by the attending practitioner or anesthesiologist, only patients whose ~~physician~~ physical status falls within the American society of anesthesiology ("ASA") classifications of P1, P2 and P3 may undergo outpatient/same-day surgery if they are to receive general anesthesia, regional anesthesia or monitored anesthesia care. Prior consultation and approval of the medical director or anesthesiologist must be obtained before scheduling patients who fall outside of the above ASA classifications. In all cases the final decision to proceed ~~will~~ shall rest with the medical director or anesthesiologist.

- P1 - a normal healthy patient
- P2 - a patient with mild systemic disease
- P3 - a patient with severe systemic disease
- P4 - a patient with severe systemic disease that is a constant threat to his life
- P5 - a moribund patient who is not expected to survive without the operation

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P6 - a declared brain-dead patient whose organs are being removed for donor purposes

- (2) Unless otherwise contraindicated, all local anesthesia procedures may be performed on an outpatient/same-day surgery medical/surgical procedure basis.

~~(I)(H)~~ History and physical Pre-operative/pre-medical procedure requirements.

(1) Pre-operative requirements.

(a) A history and physical completed or co-signed by the attending practitioner.

- (4) ~~(b)~~ The initial Initial history and physical must be performed within thirty days prior to surgery.

- ~~(2)~~ (c) The history and physical must be updated within seven days prior to surgery.

- ~~(3)~~ (d) Consent shall be signed by the patient or legally authorized person on behalf of the patient and witnessed.

(e) Minimal pre-operative testing requirements are available at the Osumc department of anesthesiology intranet web-site or by calling the department of anesthesia at 614-293-8487.

- ~~(4)~~ All females require a hemoglobin.

- ~~(5)~~ All males sixty and over require a hemoglobin.

- ~~(6)~~ All patients sixty and over require an electrocardiogram.

- ~~(7)~~ Patients with a medical history of heart disease require:

(a) ~~EKG within ninety days.~~

(b) ~~Hemoglobin, hematocrit and electrolytes (within twenty one days).~~

(c) ~~Chest x-ray within six months.~~

(d) ~~Potassium within three days if on digoxin.~~

- ~~(8)~~ Patients with a history of kidney disease:

(a) ~~Hemoglobin, hematocrit and electrolytes and blood sugar.~~

(b) ~~EKG within ninety days.~~

- ~~(9)~~ Dialysis patients or end-stage kidney disease:

~~Hemoglobin, hematocrit and electrolytes and blood sugar within three days of surgery or after dialysis.~~

- ~~(10)~~ Diabetic or endocrine disorder patients:

(a) ~~Fasting glucose day of surgery.~~

(b) ~~EKG within three months.~~

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~~(11)~~ Patients with history of lung disease:

~~(a)~~ Chest x-ray within six months.

~~(b)~~ EKG within three months.

~~(12)~~ Smokers greater than twenty packs per year:

Chest x-ray

~~(13)~~ Patients with missed or late menstruation:

Serum pregnancy test.

~~(14)~~ All labs good for twenty-one days; EKGs for three months; chest x-rays for six months.

(2) Medical pre-procedure requirements

(a) Pre-procedure assessment (for sedation patients, refer to university hospitals east policy 03-06).

(b) Informed consent.

~~(J)~~(I) Documentation required.

(1) The attending practitioner or his/her designated member of the limited staff is required to complete, at a minimum, the following on all outpatient/same-day surgery patients:

~~(1)~~ ~~Face sheet;~~

~~(2)~~ (a) Complete or co-sign the history and physical;

~~(3)~~ (b) Operative note written at the termination of the procedure;

~~(4)~~ (c) ~~Dictate the~~ Dictated operative report the day of surgery;

~~(5)~~ (d) Discharge note; and

~~(6)~~ (e) Discharge instructions.

(2) The attending practitioner or his/her designated member of the limited staff is required to complete, at a minimum, on all outpatient/same-day medical procedure patients:

(a) Pre-procedure assessment (for sedation patients, refer to university hospitals east policy 03-06);

(b) Procedure note written immediately upon completion of the procedure;

(c) Discharge order; and

(d) Home-going instructions.

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86-03

86-04 Medical records.

(A) Ownership.

All original medical records are the property of the hospital and shall not be removed from the hospital's jurisdiction and safekeeping, except in accordance with court order, subpoena, or statute. Copies of the patient's medical record may be furnished to the patient or the patient's designee upon the patient's written request and at the patient's expense.

(B) Confidentiality of patient records.

A practitioner's access to patient records is limited to necessary use in the treatment of patients, scientific study, or peer review activities. All practitioners are required to maintain the confidentiality of patient records. Improper use or disclosure of patient information may be grounds for corrective action.

(A)(C) Time of completion.

The attending practitioner shall complete and sign the patient's medical record within twenty-one days of discharge. A procedure note shall be entered in the record by the responsible attending medical practitioner or designee immediately upon completion of an invasive procedure. Procedure notes must be written for any surgical or medical procedures, irrespective of their repetitive nature, which involve material risk to the patient. The note shall include the preoperative diagnosis, procedure, surgeon(s), resident(s), anesthesiologist(s), surgical service, type of anesthesia (general or local), complications, invasive lines either added or deleted, estimated blood loss, any pertinent information not included on the or operating room/anesthesia record, and preliminary surgical findings. An operative/procedure report must be dictated immediately following the procedure. Any operative/procedure report not dictated by ten a.m. the day following the procedure shall be deemed delinquent and the attending practitioner responsible ~~will~~ shall lose operating/procedure room scheduling and elective admitting privileges the following day pursuant to paragraph (F) of rule 3335-45-07 of the Administrative Code.

(D) Deadlines and sanctions.

- (1) A history and physical examination may be completed prior to admission, surgery or the patient's initial visit, and accepted as part of the medical record if completed within thirty days preceding the admission, surgery or visit. If the history and physical examination is completed greater than seven days, but within thirty days of admission, a notation shall be made in the history and physical examination to indicate review and any updates.
- (2) The complete history and physical examination for an inpatient shall be dictated or written no later than twenty-four hours after admission of the patient. If the history and physical examination is dictated, a summary of pertinent findings must be recorded in the patient's chart within twenty-four hours after admission.
- (3) When the history and physical examination, including the results of indicated laboratory and x-ray studies, are not recorded in the chart before the time stated for a procedure, the procedure cannot proceed until the results are entered into the chart. In cases where such a delay would likely cause harm to the patient, this condition shall be entered into the chart by the attending medical staff member or designee and the procedure may begin. When there is a disagreement concerning the urgency of the procedure, it shall be adjudicated by the medical director or the medical director's designee.

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- (4) A staff admission progress note must be compiled by the responsible attending medical staff member or his/her designee no later than seventy-two hours after admission of the patient. The staff admission progress note must be signed by the responsible attending medical staff member.
- (5) A procedure note shall be entered in the record by the responsible attending medical staff member or designee immediately upon completion of an invasive procedure. An operative/procedure report must be dictated immediately following the procedure. Procedure notes must be written for any surgical or medical procedure, irrespective of its repetitive nature, which involves material risk to the patient. For any formal operative procedure, a note shall include the preoperative diagnosis, procedure, surgeon(s), anesthesiologist(s), type of anesthesia (general or local), complications, invasive lines either added or deleted, estimated blood loss, any pertinent information not included on operative/anesthesia record, and preliminary surgical findings. Where a formal operative/procedure report must be dictated immediately following the procedure, the transcribed operative/procedure report must be signed by the attending medical staff member. Any operative/procedure report not dictated by ten a.m. the day following the procedure shall be deemed delinquent and the medical staff member responsible shall lose operating/procedure and medical staff privileges the following day. Affected medical staff members shall receive telephone calls from the medical information management administrator indicating the delinquent operative/procedure report(s).
- (6) For all procedures which require submission of a specimen, the pathologist shall make such examination as necessary to arrive at a satisfactory diagnosis(es). The pathologist's report shall then be included in the patient's record.
- (7) Progress notes must provide a pertinent chronological report of the patient's course in the hospital, reflect any change in condition and results of treatment. In the event that the patient's condition has not changed and no diagnostic studies have been done, a progress note must be completed by the attending medical staff member at least every three days. Each progress note in the medical record must be signed or countersigned by a member of the attending, courtesy or limited staff.

(E) Discharges.

- (1) Patients shall be discharged only on written order of the responsible medical staff member. At the time of ordering the patient's discharge or at the time of the medical staff member's next visit to the hospital (if the attending medical staff member has authorized a member of the limited staff to sign the order of discharge), the attending medical staff member shall see that the record is complete. The attending medical staff member is responsible for verifying the principal diagnosis, secondary diagnosis(es), principal procedure, and other significant procedures in the medical record by the time of discharge. If a principal diagnosis cannot be determined in the absence of outstanding test results, the attending medical staff member must record a "provisional" principal diagnosis by the time of discharge.
- (2) The discharge summary for each patient must be dictated by the responsible medical staff member, or the medical staff member's designee, within three days of discharge for any patient stay of more than forty-eight hours. A handwritten or dictated discharge summary must be completed within seven days of discharge for any patient stay of forty-eight hours or less. A dictated summary is required on all patients who expire, regardless of length of stay. The discharge summary must be signed by the responsible attending practitioner.
- (3) All medical records must be completed by the attending medical staff member or, when applicable, by the attending medical staff member's designee within twenty-one days of discharge of the patient. The attending medical staff member shall be

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notified of the intent to suspend for all incomplete records that are available. The attending staff member shall be suspended from medical staff and operative privileges until all records are completed. A list of delinquent, incomplete records by attending medical staff members shall be prepared and distributed by the medical information management administrator once each week. If an attempt is made by the attending medical staff member, or the attending medical staff member's designee, to complete the record and the record is not available, the record is not counted against the attending medical staff member.

- (4) Records which are incomplete, greater than twenty-one days from discharge, are defined as delinquent.

(F) Suspension for failure to complete medical records.

A request for corrective action shall automatically be filed against a practitioner who has been suspended pursuant to paragraph (B)(1) of rule 3335-45-07 of the Administrative Code, due to incomplete medical records for an accumulative total of ninety days or more within a twelve-month period.

~~(B)~~(G) Contents.

It is the responsibility of the attending practitioner to assure that a complete medical record is prepared for each patient. The medical record shall include the following:

- (1) Identification and related data;
- (2) Statement of chief complaint;
- (3) History of present illness;
- (4) Previous personal history;
- (5) Family history; ~~history of present illness;~~
- (6) Physical examination; ~~clinical observations including progress notes; nurses notes and consultation reports; diagnostic and therapeutic reports and orders; medical or surgical treatment; pathological finding; provisional diagnosis; evidence of informed consent; final autopsy report when available.~~
- (7) Special reports, such as those from:
 - (a) The clinical laboratory including examination of tissues and autopsy findings and, when applicable;
 - (b) The radiology department;
 - (c) Consultants, as verified by the attending medical staff member's signature.
- (8) Provisional diagnosis;
- (9) Medical and surgical treatments;
- (10) All physician orders;
- (11) Indication for surgery;
- (12) Progress notes that provide a permanent chronological report of the patient's course in the hospital and reflect any change in condition, and results of treatment. In the event that the patient's condition has not changed, and no diagnostic studies

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have been done, a progress note must be completed by the attending medical practitioner at least every three days.

- (13) Discharge disposition, condition of patient at discharge, including instructions given at that time;
- (14) Summary and final diagnosis(es) as verified by the attending medical staff member's signature;
- (15) Documentation of informed consent;
- (16) Pre-sedation or pre-anesthesia assessment and plans of care for patients receiving anesthesia;
- (17) Legal status of patients receiving mental health services;
- (18) Emergency care provided to the patient prior to arrival, if any;
- (19) Evidence of known advance directives;
- (20) All reassessments and any revisions of the treatment plan;
- (21) Every medication ordered or prescribed on an inpatient;
- (22) Every medication dispensed to an inpatient at discharge;
- (23) Every dose of medication administered and any adverse drug reaction;
- (24) Any referrals and communications made to external or internal providers and to community agencies;
- (25) Postoperative documentation records, the patient's vital signs and level of consciousness; medications, including IV fluids, blood and blood components; any unusual events or postoperative complications; and management of such events;
- (26) Postoperative documentation of the patient's discharge from the post-sedation or post-anesthesia care area by the responsible licensed independent practitioner or according to discharge criteria;
- (27) An intra-operative anesthesia record:
 - (a) Post-anesthesia follow-up report written within forty-eight hours after surgery by the individual who administers the anesthesia; and
 - (b) Signed and dated reports of nuclear medicine interpretations, consultations and procedures.

No medical record is to be filed until it is complete except on order of the medical executive committee.

(H) Documentation by dentists and podiatrists.

In addition to the requirements of paragraph (E) of rule 86-02, the medical record of patients admitted for dental reasons shall contain the dentist's detailed examination of the oral cavity, as well as the discharge instructions given to the patient regarding further care. The same applies to the podiatrist in regard to the location and description of the ailment for which the patient was admitted, together with discharge instructions.

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(I) Informed consent documentation.

- (1) Where informed consent is required for a special procedure (such as surgical operation), documentation that such consent has been obtained must be made in the hospital record prior to the initiation of the procedure. Such documentation shall be in compliance with the hospital's policy and procedure manual.
- (2) In the case of limb amputation, a limb disposition form, in duplicate, must be signed prior to the operation.

(J) Sterilization consent.

Prior to the performance of an operative procedure for the expressed purpose of sterilization of a (male or female) patient, the attending medical staff member shall be responsible for the completion of the legal forms provided by the hospital and signed by the patient. Patients who are enrolled in the Medicaid program must have their forms signed at least thirty days prior to the procedure. Informed consent must also be obtained from one of the parents or the guardian of an unmarried minor.

~~(G)~~(K) Authentication Entries and authentication.

- (1) Entries in the medical record can only be made by staff authorized by the medical information management committee.
- (2) All entries must be legible and complete and must be authenticated and dated promptly by the person, identified by name and discipline, who is responsible for ordering, providing, or evaluating the service furnished.
- (3) All entries in the medical record must be signed by the person making the entry. When house officers or other specified professional personnel are involved in patient care, sufficient evidence must be documented in the medical record to substantiate the active participation in, and supervision of, the patient's care by the responsible attending practitioner.
- (4) Each progress note in the medical record must be authenticated by a member of the active, courtesy, consulting, honorary or limited staff.
- (5) The electronic signature of medical record documents requires a signing password. At the time the password is issued, the practitioner is required to sign a statement that he/she shall be the only person using the password. This statement shall be maintained in the department responsible for electronic signature system.
- (6) Signature stamps may be used by medical staff members only when there is a statement on file with the medical information management department stating that the medical staff member maintains possession of the stamp and will be the only person who will use the stamp in the medical records. The use of the stamp may not be delegated by the medical staff member to any other person for the purpose of affixing a signature to an entry in the medical record. Signature stamps may not be used on physician orders.

~~(D)~~ Electronic signature.

~~The electronic signature of medical record documents requires a signing password. At the time the password is issued, the practitioner is required to sign a statement that he/she will be the only person using the password. This statement will be maintained in the department responsible for electronic signature system.~~

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(E) ~~Dentists and podiatrists.~~

~~In addition to the requirements of paragraph (B) of rule 86-03 of the rules and regulations, the medical record of patients admitted for dental reasons shall contain the dentist's detailed examination of the oral cavity, as well as the discharge instructions given to the patient regarding further care. The same applies to the podiatrist in regard to the location and description of the ailment for which the patient was admitted, together with discharge instructions.~~

(F)(L) History and physical.

(1) A history and physical is performed on all patients, both inpatient and outpatient, regardless of whether the medical treatment or procedure is high or low risk. The history and physical shall be signed by, or counter-signed by, the attending practitioner within the time frame required by these rules. A pre-admission history and physical examination shall be accepted only if initially performed within thirty days prior to admission or twenty-four hours after admission.

~~(1)~~(2) The complete history and physical examination shall be recorded on the patient's chart all inpatient charts no later than twenty-four hours after admission of the patient. The history and physical examination must be performed by a member of the medical staff or his/her designee and be signed by the responsible attending medical staff member authorized to perform the history and physical examination.

~~(2)~~(3) A staff admission progress note must be compiled by the responsible attending medical practitioner or designee no later than twenty-four hours after admission of the patient. The staff admission progress note must be signed by the responsible attending medical practitioner. Every admitting practitioner is obligated to write a staff note and sign it within twenty-four hours of patient admission.

~~(3)~~(4) When the history and physical examination, including the results of indicated laboratory studies and x-rays and the staff admission progress notes, are not recorded in the chart before the time stated for a procedure, the procedure cannot proceed until the history, physical and laboratory results are entered into the chart. In cases where such a delay would likely cause harm to the patient, this condition shall be entered into the chart by the attending medical practitioner or designee and the procedure may begin. When there is a disagreement concerning the urgency of the procedure, it ~~will~~ shall be adjudicated by the medical director or designee.

(5) The history and physical examination shall include:

- (a) Date of admission;
- (b) Chief complaint;
- (c) History of present illness;
- (d) Past medical history;
- (e) Relevant past social and family history;
- (f) Medications;
- (g) Review of systems;
- (h) Physical examination;

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- (i) Test results;
- (j) Impression; and
- (k) Plan of care.

(6) A history and physical examination appropriate to the procedure, and the patient, shall be documented in the medical record of all ambulatory surgery patients, and patients undergoing outpatient procedures.

(7) A history and physical examination appropriate to the patient and patient's chief complaint shall be documented in the medical record of all ambulatory patients.

~~(G)~~ Pre-admission history and physical.

~~A history and physical is performed on all patients, both inpatient and outpatient, regardless of whether the medical treatment or procedure is high or low risk. The history and physical shall be signed by, or counter signed by, the attending practitioner within the time frame required by these rules. A pre-admission history and physical examination will be accepted only if initially performed within thirty days prior to admission or twenty-four hours after admission.~~

~~(H)~~ Ownership.

~~All original medical records are the property of the hospital and shall not be removed from the hospital's jurisdiction and safekeeping, except in accordance with court order, subpoena, or statute. Copies of the patient's medical record may be furnished to the patient's designate upon the patient's written request and at the patient's expense.~~

~~(I)~~(M) Symbols.

Only symbols and abbreviations approved by the medical staff ~~will~~ shall be used in the medical record. Each abbreviation or symbol has only one meaning.

~~(J)~~ Confidentiality of patient records.

~~A practitioner's access to patient records is limited to necessary use in the treatment of patients, scientific study, or to peer review activities. All practitioners are required to maintain the confidentiality of patient records and improper use or disclosure of patient information may be grounds for corrective action.~~

~~(K)~~ Suspension for failure to complete medical records.

~~A request for corrective action will automatically be filed against a practitioner who has been suspended pursuant to paragraph (B)(1) of rule 3335-45-07 of the Administrative Code due to incomplete medical records for an accumulative total of ninety days or more within a calendar year.~~

~~(L)~~(N) Error correction.

The correction of an error should be made by drawing a single line through the entry and marking it "error". The entry in error must still be legible. The practitioner's initials and date correcting the error should be added. Erasing is not permitted nor is the use of correction fluid (i.e., white out or stickers).

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~~(M)~~(O) Late entry.

Additions to the medical record can be made. The words "late entry," date and time need to be made at the beginning of the late entry. The practitioner must sign the late entry. Pencils should never be used for chart documentation. ~~Erasing is not permitted nor is the use of correction fluid (i.e., white-out or stickers).~~

(P) Records storage and security

All patient's records, pathological examinations, slides, radiologic images, photographic records, cardiographic records, laboratory reports, statistical evaluations, etc., are the property of the hospital and shall not be taken from the hospital except on court order, duly filed with the medical record administrator or hospital administration. The hospital administration may, under certain conditions, arrange for copies or reproductions of any element of the patient's records to be made. Such copies may be removed from the hospital after the medical record administrator or the proper administrative authority has received a written receipt thereof. In the case of readmission of the patient, all previous records or copies thereof shall be available for the use of the attending medical staff member or other health care providers. Hospital medical records, pathological examinations, slides, radiologic images, etc., shall be maintained by the hospitals. Microfilms, paper, electronic tape recordings, magnetic media, optical disks, and such other acceptable storage techniques shall be used to permanently maintain patient records.

86-04

86-05 Patient care orders.

(A) ~~Orders in writing.~~ Definition of "patient orders."

~~All orders for treatment shall be in writing, dated and signed personally or by electronic signature by the attending practitioner provided such copy has been filed with the medical information management department of the hospital. A patient order(s) is a prescription for care or treatment of patients. An order can be given verbally, electronically, or in writing to qualified personnel identified by category in paragraph (D) of this rule, and shall be authenticated by the licensed medical practitioner. Patient orders may be given initially, renewed, discontinued or cancelled. Throughout these rules and regulations, the word "written" and its grammatical derivatives, are used to describe a non-verbal order.~~

(B) Electronic orders.

Electronic orders are equivalent and have the same force as written orders. Electronic orders have been expressly structured to mirror these rules and regulations and all policy guidelines adopted by the medical staff and hospital administration.

(C) Responsible medical practitioner.

The licensed physician, dentist, podiatrist (under medical doctor supervision), or psychologist (under medical doctor supervision) member of the medical staff responsible for the care and treatment of the patient is responsible for all orders for the patient.

Attending, courtesy, and honorary medical staff may designate members of the limited staff to write or electronically enter orders under their direction. The attending staff member may also designate members of the pre-M.D. medical student group to write or electronically enter orders, but in all cases the orders shall be authenticated by the physician, dentist, podiatrist, psychologist, or designated limited staff member who has the right to practice medicine, dentistry, psychology, or podiatry, and who is responsible for that patient's care. All non-verbal orders must be authenticated by the medical practitioner prior to the execution of the order(s) by the hospital or outpatient nursing staff or other professional groups.

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Patient orders written or electronically entered by "off-service" limited staff or consultant staff must be authenticated by the responsible practitioner or the practitioner's designee. Exception may be made in the event of preoperative orders or in the instance of acknowledged co-management; e.g., the intensive care unit or post-anesthesia care unit.

~~(B)~~(D) Verbal Telephone and verbal orders: person authorized to receive.

Telephone and verbal orders may be given by the responsible attending physician, dentist, podiatrist, psychologist, or member of the limited staff only to health care providers who have been approved in writing by title or category by the medical director, the executive director of the hospitals, and each chief of the clinical service where they shall exercise clinical privileges, and only where said health care provider is exercising clinical privileges which have been approved and delineated by job description for employees of the hospitals, or by the customary medical staff credentialing process when the provider is not an employee of the hospitals. Lists of the approved titles or categories of providers shall be maintained by the medical director.

All telephone or verbal orders from practitioners for patient treatment shall be put in writing. An order shall be considered to be in writing if dictated to an authorized person and signed authenticated by the responsible practitioner. ~~Orders dictated over the telephone shall be signed by the responsible practitioner. Orders dictated over the telephone shall also be signed by the person to whom the order was dictated with the name of the practitioner per his/her own name. Verbal orders which have been transmitted to an authorized person shall be authenticated, and dated by the completion of the medical record, not to exceed twenty-one days. The job description or delineated privileges for each provider must indicate each provider's authority to receive telephone or verbal orders, including but not limited to the authority to receive orders for medications. When a verbal order is necessary, the hospital staff receiving the verbal order will immediately document the order in the patient's medical record, and read the order back to the prescriber exactly as documented. The order is to be recorded and authenticated by the approved health care provider to whom it is given as "verbal order by Dr." or "V.O. or T.O. by Dr.," recording the medical practitioner's name and the time of the order. All telephone and verbal orders for D.E.A. schedule II controlled substances, patient seclusion, or patient restraint must be authenticated within one day by the licensed physician, dentist, podiatrist, psychologist or limited staff member. All other verbal and telephone orders must be authenticated within twenty-one days of discharge or visit by a licensed physician, dentist, podiatrist, psychologist or limited staff member.~~

Persons authorized to receive verbal orders are:

- (1) Registered nurse.
- (2) Advanced practice nurse.
- ~~(2)~~(3) Registered pharmacist.
- ~~(3)~~(4) Registered pharmacy intern, only under the direct supervision of a registered pharmacist.
- ~~(4)~~(5) Licensed physical therapist.
- ~~(5)~~(6) Licensed occupational therapist.
- ~~(6)~~(7) Licensed speech therapist.
- ~~(7)~~(8) Registered dietitian.
- ~~(8)~~(9) Licensed respiratory care practitioner.

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~~(9)~~(10) ~~Registered radiology~~ Licensed radiation technologist.

~~(10)~~(11) Registered laboratory technologist.

~~(11)~~(12) Certified registered nurse anesthetists.

(13) Physician assistant.

The above persons are authorized to accept verbal orders within their departments.

~~(G)~~(E) Orders by limited ~~medical~~ staff.

Limited ~~medical~~ staff may write orders on patients within the scope of their qualifications and responsibility. Limited ~~medical~~ staff members are eligible to write any patient care order and to make any entry in the medical record, unless it is expressly reserved by these rules to another category of medical staff membership, or is restricted by law or rule of the state medical board.

~~(D)~~ Automatic cancellation of orders.

~~All previous orders, including standing orders, are automatically canceled for patients at the time of surgery.~~

~~(E)~~(F) Orders by medical students.

Medical students who are under the direct supervision of a member of the medical staff may write orders. Such orders will not be effective until co-signed by the supervising practitioner.

~~(F)~~ Progress notes.

~~Progress notes must provide a permanent chronological report of the patient's course in the hospital and reflect any change in condition, and results of treatment. In the event that the patient's condition has not changed, and no diagnostic studies have been done, a progress note must be completed by the attending medical practitioner at least every three days.~~

~~Each progress note in the medical record must be signed or counter signed by a member of the active, courtesy, consulting, honorary or limited staff.~~

(G) Investigational drug orders.

Evidence of informed patient consent must be available to a nurse or pharmacist before an investigational agent is ordered and administered. Investigational drugs may be ordered only upon authorization of the principal or co-investigator or other delegated physician, dentist, psychologist, or podiatrist named in FDA forms 1572 or 1573. Registered nurses or pharmacists who are knowledgeable about the investigational agents may administer the drugs to patients.

(H) Change of nursing service.

"Change of nursing service" means official and physical movement (transfer) of a patient from any permanent care unit to another with or without change in attending physician, dentist, psychologist, or podiatrist or clinical service. Orders effective before transfer must be renewed, rewritten or reentered upon transfer by the responsible medical practitioner. The new or renewed orders may be written or electronically entered before or when the patient arrives on the receiving unit and may become effective immediately.

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In each case of “change of nursing service,” it is the responsibility of the receiving nurse to establish the availability of renewed or new written or electronically entered orders. Prior orders shall remain in effect until new orders are available. This should be done within eight hours of transfer.

(I) Transfer of clinical service.

Transfer of clinical service means transfer of full patient responsibility from one attending physician, dentist, psychologist, or podiatrist to another; the patient may remain on the same unit or a “change of nursing service” may also occur. Admission of a patient from an emergency service to the hospital as an inpatient involves “transfer of clinical service.”

For the purposes of writing or electronically entering orders, two essentials of “transfer of clinical service” are necessary:

- (1) The initial transfer order must indicate the release of responsibility and control of the patient, pending acceptance by the receiving service. The order may read – “transfer (or admit) to Dr., family medicine service.”
- (2) Transfer of service may be completed only by the receiving service writing or electronically entering an order to the effect – “accept in transfer (or admission) to Dr., cardiology service.”

Orders effective before the transfer must be renewed, rewritten or reentered upon transfer by responsible medical practitioner. The new or renewed orders may be written or electronically entered before or at the time of transfer, and may become effective immediately. It is the responsibility of the receiving nurse to establish the availability of new or renewed orders. If new orders are unavailable, then the nurse may continue previous orders and immediately notify the responsible medical practitioner.

- (3) “Coverage” of patient responsibilities for another physician, dentist, psychologist, or podiatrist for a brief period of time does not constitute or require “transfer of clinical service” unless so desired and agreed upon by the physician, dentist, psychologist or podiatrist and patient.

(J) Preprinted orders.

Preprinted order forms for patients must be reviewed, dated and signed by a responsible medical practitioner before becoming effective.

(K) Hospital discharge/readmission orders.

Hospital discharge from standard inpatient units or day care units to outpatient status requires appropriate discharge orders. Readmission to any inpatient unit requires new, rewritten/reentered or renewed orders by the responsible medical practitioner.

(L) Do not resuscitate order.

Do not resuscitate orders must be written or electronically entered in strict compliance with the comprehensive policy guidelines published by the medical executive committee and hospital administration. See hospital procedure manual section 03-24.

(M) Automatic cancellation of orders.

All previous orders, including standing orders, are automatically canceled for patients at the time of surgery.

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~~86-05~~

~~86-06~~ Pharmaceutical.

(A) and (B) unchanged.

~~(C)~~ Telephone and verbal orders.

~~Telephone and verbal orders may be given by the responsible attending physician, dentist, podiatrist, psychologist or member of the limited medical staff only to health care providers who have been approved in writing by title or category by the medical director, the chief executive officer of the hospitals, and each chief of the clinical department where they will exercise clinical privileges, and only where said health care provider is exercising clinical privileges which have been approved and delineated by job description for employees of the hospitals, or by the customary medical staff credentialing process when the provider is not an employee of the hospitals. Lists of the approved titles or categories of providers shall be maintained by the medical director. The job description or delineated privileges for each provider must indicate each provider's authority to receive telephone or verbal orders, including but not limited to the authority to receive orders for medications. The order is to be written and signed by approved health care provider to whom it is dictated or given as "verbal order by DR.", or "V.O. by DR.", giving the practitioner's name and hour, followed by the approved health care provider's signature. All telephone and verbal orders for DEA schedule ii controlled substances, patient seclusion, or patient restraint must be authenticated within one day by signature of a licensed physician, dentist, podiatrist, psychologist, or designated limited staff member. All other inpatient verbal and telephone orders must be authenticated prior to, or at the time of, the next outpatient visit by signature of a licensed physician, dentist, podiatrist, or limited staff member.~~

~~(D)~~(C) Patient medications.

Unless otherwise ordered or authorized by the attending practitioner, no medications shall be allowed in the hospital except those dispensed by the medical center pharmacy.

~~(E)~~(D) Administration of drugs.

Drugs may be administered by practitioners, nurses, pharmacists, respiratory therapists, paramedics, physical therapists and radiology technicians, according to their field and with the order of the practitioner.

~~86-06~~

~~86-07~~ Consultations.

(A) Consultation requirements.

When the attending practitioner identifies in the course of treating the patient, a patient care problem that requires intervention during the hospital stay that is outside the practitioner's area of training and experience, it is the responsibility of the attending practitioner to obtain consultation by the appropriate specialist.

(1) The request for consult will state whether the attending practitioner is requesting an opinion only or opinion plus care within the consultant's specialty.

(2) When a consultant requests ancillary testing, they shall be responsible for follow-up of testing results and opinion for treatment.

(B) Unchanged.

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(C) Consultation contents.

A satisfactory consultation shall be rendered within twenty-four hours of the request and includes examination of the patient and of the medical record; and a written opinion signed by the consultant that is made a part of such record. If operative procedures are involved, the consultation note, except in emergency, shall be recorded prior to the operation.

~~86-07~~

~~86-08~~ Patient care.

(A) Attending assignment.

All patients entering university hospitals east who have not requested the services of a member of the medical staff of university hospitals east to be responsible for their care and treatment while a patient therein shall be considered an unassigned patient and shall be assigned and admitted to an attending member of the university hospital east medical staff according to a call schedule approved by the medical director. This shall also apply to the transfer of patients within the clinical divisions or services of the university hospitals east.

(A)(B) Alternate practitioner coverage.

Each member of the medical staff shall ~~name a member of the~~ designate on his or her medical staff application one or more members of the attending or courtesy medical staff who ~~will~~ have accepted this responsibility and who shall be called to attend his or her patients if the responsible attending ~~practitioner~~ medical staff member is not available. The chief of the practitioner's clinical department, the medical director or his designee shall have authority to call any member of the medical staff should the attending practitioner and the alternate be unavailable. If the chief of the practitioner's department, the medical director or his designee is unavailable, ~~the emergency department physician~~ a hospitalist on duty is shall be contacted and shall be responsible for arranging appropriate medical coverage until the attending practitioner is available to care for the patient.

(B) ~~Tissue and specimen removal.~~

(1) ~~The practitioner performing surgery is responsible for seeing that tissue and specimens removed during the surgical procedures are sent to the pathologist for evaluation and disposition, exclusive of clothes and contents. Exceptions to sending removed tissue and specimens to the pathologist should be made only when the quality of care is not compromised by the exception. The responsible practitioner shall document on the surgical procedure and tissue record form: (a) any tissue or specimen not sent; and (b) the disposition of the exempt tissue or specimen. Exempted tissue and specimens may include, but are not limited to:~~

- (a) ~~Tissues or specimens that by their nature or condition do not permit fruitful examination such as a cataract, orthopedic appliance, foreign body, or portion of rib removed only to enhance operative exposure;~~
- (b) ~~Therapeutic radioactive sources, the removal of which shall be guided by radiation safety monitoring requirements;~~
- (c) ~~Traumatically injured parts of the body that have been amputated and for which examination for either medical or legal reasons is not deemed necessary;~~
- (d) ~~Foreign bodies, (for example, bullets) that for legal reasons are given in the chain of custody directly to law enforcement representatives after~~

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~~such foreign bodies have been properly identified and, acknowledged with a receipt;~~

- ~~(e) Tissue or specimens known to rarely, if ever, show pathological changes, and removal of which is highly visible postoperatively, such as the foreskin from the circumcision of a newborn infant;~~
 - ~~(f) Placentas that are grossly normal and have been removed in the course of operative and non-operative obstetrics; and~~
 - ~~(g) Teeth, provided the number, including fragments, is recorded in the medical record.~~
- (2) ~~The practitioner performing the surgery is responsible to see that tissue and specimens shall be properly labeled, packaged in preservative as designated, and identified as to patient and source in the operating room at the time of removal. Each tissue and specimen must be accompanied by pertinent clinical information and, to the degree known, the preoperative and postoperative diagnosis.~~
 - (3) ~~The pathologist will prepare and sign a report of the examination of the tissue or specimen, and such report will be made a part of the patient's medical record.~~
 - (4) ~~The pathologist will refer the pathologist's report to the appropriate committee in cases wherein it appears, in the pathologist's judgment, that normal organs were unnecessarily removed.~~

(C) Emergency care.

(1) Level of services.

Emergency medical services are provided to any patient requiring appropriate care in the university hospitals east emergency department. No patient shall be arbitrarily transferred to another hospital if university hospitals east has the capability of proceeding with the necessary care.

(2) Organization.

The emergency department shall be directed by a physician member of the attending medical staff, known as the medical director. An alternate shall be designated and authorized to perform the functions of the director when the director is not available. Both shall be board certified or eligible in emergency medicine.

(3) Coverage.

All patient care is the responsibility of attending, courtesy or honorary staff. Medical coverage may be provided by limited staff under supervision by the attending or courtesy staff. When a consultation or arrangement for admission is made, the member of the attending medical staff to whom the consult or admission is directed shall be notified.

(4) Policies.

Written policies concerning operation of emergency services shall be developed by the medical director of the emergency department in consultation with appropriate services. These shall be revised as needed and dated at time of last review.

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(5) Records.

Records shall be maintained on all patients in accordance with university hospitals east medical information management policies and procedures.

~~(C)~~(D) Informed consent.

It is the responsibility of the practitioner performing the procedure to ~~assure~~ ensure that a written, signed and informed consent is obtained prior to any operation except in those situations where the patient's life is in jeopardy and suitable signatures cannot be obtained due to the condition of the patient. For emergencies involving a minor, an unconscious patient or an incompetent patient in which consent for surgery cannot be immediately obtained from parents, guardian, or next of kin, these circumstances should be fully explained in the patient's medical record.

Should a second operation be required during the patient's stay in the hospital, a second informed consent specifically worded shall be obtained. If two or more specific procedures are to be carried out at the same time and this is known in advance, they may all be described and consented to on the same form. It is the practitioner's responsibility to ensure that a written and signed consent is obtained and made a part of the patient's medical record. ~~Limited medical staff and non-physician practitioners shall comply fully with the above provisions when obtaining an informed consent from the patient.~~ Documentation of informed consent shall be in compliance with hospital policy and procedure number 03-27.

~~(D)~~(E) Patient visits by practitioners.

The admitting practitioner or the practitioner's physician designee must visit the patient within twenty-four hours of the patient's admission to the hospital. Thereafter, the admitting practitioner or the practitioner's physician designee must visit the patient at least daily, and more frequently if warranted by the patient's condition. Policies of the ~~sieu and mieu~~ intensive care unit(s) relating to patient visits by practitioners shall supersede the requirements of this rule.

(F) Tissue disposition.

(1) All tissue and foreign bodies removed during a surgical procedure shall be sent to the pathology laboratory for examination except for the following categories. These exceptions may be invoked by the attending surgeon only when the quality of care is not compromised by the exception, when another suitable means of verification of the removal is routinely employed, and when there is an authenticated operative or other official report that documents the removal. The categories of specimens that may be exempted from pathological examination are the following:

- (a) Tissues or specimens that by their nature or condition do not permit fruitful examination such as a cataract, orthopedic appliance, foreign body, or portion of rib removed only to enhance operative exposure;
- (b) Therapeutic radioactive sources, the removal of which shall be guided by radiation safety monitoring requirements;
- (c) Traumatically injured parts of the body that have been amputated and for which examination for either medical or legal reasons is not deemed necessary;
- (d) Foreign bodies (for example, bullets) that for legal reasons are given in the chain of custody directly to law enforcement representatives after such

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foreign bodies have been properly identified and acknowledged with a receipt;

- (e) Tissue or specimens known to rarely, if ever, show pathological changes, and removal of which is highly visible postoperatively, such as the foreskin from the circumcision of a newborn infant;
 - (f) Placentas that are grossly normal and have been removed in the course of operative or non-operative obstetrics; and
 - (g) Teeth, provided the number, including fragments, is recorded in the medical record.
- (2) The practitioner performing the surgery is responsible to see that tissue and specimens shall be properly labeled, packaged in preservative as designated, and identified as to patient and source in the operating room at the time of removal. Each tissue and specimen must be accompanied by pertinent clinical information to the degree known as well as the preoperative and postoperative diagnosis.
 - (3) The pathologist shall prepare and sign a report of the examination of the tissue or specimen, and such report shall be made a part of the patient's medical record.
 - (4) The pathologist shall refer the pathologist's report to the appropriate committee in cases wherein it appears, in the pathologist's judgment, that normal organs were unnecessarily removed.

(E)(G) Autopsies Death and autopsy procedures.

- (1) Every member of the medical staff shall be actively interested in securing autopsies whenever possible. No autopsy shall be performed without written consent, permission, or direction as prescribed by the laws of Ohio.
- (2) The death of a patient in the hospital within twenty-four hours of admission must be reported to the proper legal authorities under the laws of Ohio.
- (3) All deaths shall be reported to the attending or covering physician by the nursing supervisor of the unit where the patient expired, as soon as is reasonably possible. The nursing supervisor shall discuss the permission for the autopsy with the attending or covering practitioner at the time of notification of death, and shall make an entry, timed and dated, in the progress notes concerning that discussion and the approval or disapproval for autopsy.
- (4) It shall be the duty of all practitioners to secure autopsies whenever appropriate. All autopsies shall be performed by an attending pathologist with hospital privileges or other attending practitioner who is qualified to perform autopsies. All deaths shall be reported to the attending or covering physician by the nursing supervisor of the unit where the patient expired, as soon as is reasonably possible. The nursing supervisor shall discuss the permission for the autopsy with the attending or covering practitioner at the time of notification of death, and shall make an entry, timed and dated, in the progress notes concerning that discussion and the approval or disapproval for autopsy. The attending pathologist or his or her designee shall have the responsibility of informing the patient's attending physician or designee that a proper consent for the performance of an autopsy has been obtained. The anticipated time for the autopsy shall also be reported at this time.

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- (5) Criteria for autopsy requests include the following:
- (4) (a) Coroner's cases when the coroner elects not to perform an autopsy. (The county coroner has jurisdiction for performing an autopsy when death is the result of violence, casualty, or suicide, or occurs suddenly in a suspicious or unusual manner. Deaths occurring during surgery or within twenty-four hours of admission to the hospital are also coroner's cases, and the decision whether to perform an autopsy or not is the coroner's responsibility.) When the coroner elects not to perform an autopsy, a request for an autopsy shall be made pursuant to paragraph (G)(1) of this rule.
 - (2) (b) Unexpected or unexplained deaths, where apparently due to natural causes or due to those occurring during or following any surgical, medical, or dental diagnostic procedures or therapies.
 - (3) (c) Undiagnosed infectious disease where results may be of value in treating close contacts.
 - (4) (d) All deaths in which the cause of death is not known with certainty on clinical grounds.
 - (5) (e) Cases where there is question of disease related to occupational exposure.
 - (6) (f) Organ donors (to rule out neoplastic or infectious disease).
 - (7) (g) Cases in which an autopsy may help to allay the concerns of the family or public regarding the death and to provide assurance to them regarding the same.
 - (8) (h) Deaths in which an autopsy may help to explain unknown or unanticipated medical complications to the attending.
 - (9) (i) Deaths of patients who have participated in investigational therapy protocols.
 - (10) (j) Deaths in which there is a need to enhance the education and knowledge of the medical staff and ~~house~~ limited staff. The attending practitioner ~~will~~ shall be notified of the autopsies performed by the pathology department.
- (6) When an autopsy is performed, provisional anatomic diagnosis should be recorded in the medical record within three days and the complete protocol should be made a part of the medical record within sixty days.

86-09 Surgical case review.

Surgical case review shall be performed as part of the hospital's peer review and quality assurance activity on an ongoing basis, at least monthly, by each department/division (as appropriate) regularly doing surgical procedures. The review shall include indications for surgery and all cases in which there is a major discrepancy between preoperative and postoperative (including pathologic) diagnoses. Discrepancies between the clinical impression and tissue removed during a surgical procedure are identified by pathology and then referred to the appropriate department performing surgical procedures for review. A screening mechanism based on predetermined criteria may be established for cases involving no specimens. Written records of the evaluations and any action taken shall be maintained in the quality and operations improvement division, available to the medical director or the director's designee and the clinical department chairperson or their designee.

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86-10 Disaster plan

A civil, military, natural emergency or disaster may be declared by the medical director and the executive director of university hospitals east. The comprehensive planning for triage and treatments of patients presenting for urgent or emergency care shall be the responsibility of the medical director. The department of emergency medicine and the department of surgery shall be charged with the primary responsibility for trauma patient care.

Upon order of the medical director, patients may be discharged, transferred to another hospital or moved to other health care facilities in order to make more room for critically ill or injured patients. The medical director, the executive director of university hospitals east, and the executive director of university hospitals may participate in local or regional emergency or disaster plans as may be appropriate to save lives and provide adequate medical care and treatment.

~~(F)~~

86-11 Committees and policy groups.

~~In addition to the medical staff committees, the medical staff shall participate in the following hospital monitoring functions:~~

- ~~(1) Infection control,~~
- ~~(2) Clinical quality management,~~
- ~~(3) Safety and disaster planning, and~~
- ~~(4) Other leadership council advisory policy groups.~~

~~(G)~~(A) Medical information management committee.

- (1) The medical information management committee shall have representation from medical staff, nursing, medical information management and hospital administration. The committee shall meet at least quarterly and carry out the following duties:

(a) through (d) unchanged.

- (e) Submit recommendations to assure the maintenance of complete, accurate medical information for compliance with applicable policies and regulations of the clinical quality management committee, computerized health system clinical information committee, governmental agencies, accrediting bodies, and purchasers of care.

(f) and (g) unchanged.

- (h) Maintain written records of conclusions, recommendations, actions taken and results of the actions taken, and report regularly to the clinical quality management committee or any other relevant committees that have a role in implementing policies adopted by the medical information management committee.

- (2) Each member of the medical staff shall conform to the policies established by the medical information management committee, including ~~the following:~~ chart contents, history and physical examination, deadlines and sanctions, discharges, confidentiality, ownership of medical records, informed consent documentation, sterilization consent, criteria changes, and electronic signature. (See rule 86-03, medical records).

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- (a) ~~Chart contents. The attending medical staff member shall be responsible for the preparation of a complete medical record of each patient. This record shall include the following:~~
- ~~(i) identification and related data~~
 - ~~(ii) statement of present complaint~~
 - ~~(iii) history of present illness~~
 - ~~(iv) previous personal history~~
 - ~~(v) family history~~
 - ~~(vi) physical examination~~
 - ~~(vii) special reports, such as those from the clinical laboratory including examination of tissues and autopsy findings and, when applicable, the x-ray department, as well as from consultants, as verified by the attending medical staff member's signature~~
 - ~~(viii) provisional diagnosis~~
 - ~~(ix) medical and surgical treatments~~
 - ~~(x) progress notes~~
 - ~~(xi) memorandum copy of the death certificate, when applicable~~
 - ~~(xii) condition of patient at discharge, including instructions given at that time~~
 - ~~(xiii) summary and final diagnosis(es) as verified by the attending medical staff member's signature~~
 - ~~(xiv) documentation of informed consent~~
- (3) ~~History and physical examination~~ Criteria changes.
- (a) ~~A complete history and physical examination shall be documented on all inpatient records. The history and physical examination shall include: The medical information management committee shall define the criteria for record completion with approval of the medical staff.~~
- ~~(i) date of admission~~
 - ~~(ii) chief complaint~~
 - ~~(iii) history of present illness~~
 - ~~(iv) past medical history~~
 - ~~(v) relevant social and family history~~
 - ~~(vi) medications~~
 - ~~(vii) review of systems~~

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of The Ohio State University Hospitals East (contd)

- ~~(viii) physical examination~~
- ~~(ix) test results~~
- ~~(x) impression~~
- ~~(xi) plan of care~~
- ~~(b) A history and physical examination appropriate to the procedure, and the patient, shall be documented in the medical record of all ambulatory surgery patients, and patients undergoing outpatient procedures.~~
- ~~(c) A history and physical examination appropriate to the patient and patient's chief complaint shall be documented in the medical record of all ambulatory patients.~~
- ~~(4) Deadlines and sanctions.~~
 - ~~(a) A history and physical examination may be completed prior to admission, surgery or the patient's initial visit, and accepted as part of the medical record if completed within thirty days preceding the admission, surgery or visit. If the history and physical examination is completed greater than seven days, but within thirty days of admission, a notation shall be made in the history and physical examination to indicate review and any updates.~~
 - ~~(b) The complete history and physical examination shall be dictated or written no later than twenty-four hours after admission of the patient. If the history and physical examination is dictated, a summary of pertinent findings must be recorded in the patient's chart within twenty-four hours after admission.~~

The history and physical examination must be performed by a member of the medical staff or his/her designee, and be signed and dated. In the event the history and physical examination is performed by a physician extender, it shall be countersigned by the responsible medical staff member.
 - ~~(c) When the history and physical examination, including the results of indicated laboratory and x-ray studies, are not recorded in the chart before the time stated for a procedure, the procedure cannot proceed until the results are entered into the chart. In cases where such a delay would likely cause harm to the patient, this condition shall be entered into the chart by the attending medical staff member or designee and the procedure may begin. When there is a disagreement concerning the urgency of the procedure, it will be adjudicated by the medical director or the medical director's designee.~~
 - ~~(d) A procedure note shall be entered in the record by the responsible attending medical staff member or designee immediately upon completion of an invasive procedure. An operative/procedure report must be dictated immediately following the procedure. Procedure notes must be written for any surgical or medical procedure, irrespective of its repetitive nature, which involves material risk to the patient. For any formal operative procedure, a note shall include the preoperative diagnosis, procedure, surgeon(s), anesthesiologist(s), type of anesthesia (general or local), complications, invasive lines either added or deleted, estimated blood loss, any pertinent information not included on operative/anesthesia record, and preliminary surgical findings. Where a formal operative/procedure report must be dictated immediately following the procedure, the transcribed operative/procedure report must be signed by the attending medical staff~~

Amended Medical Staff Rules and Regulations
of The Ohio State University Hospitals East (contd)

~~member. Any operative/procedure report not dictated by 10:00 a.m. the day following the procedure shall be deemed delinquent and the medical staff member responsible will lose operating/procedure and medical staff privileges the following day. Affected medical staff members will receive telephone calls from the medical information management administrator indicating the delinquent operative/procedure report(s).~~

- ~~(e) For all procedures which require submission of a specimen, the pathologist shall make such examination as necessary to arrive at a satisfactory diagnosis(es). The pathologist's report shall then be included in the patient's record.~~
- ~~(f) Progress notes must provide a pertinent chronological report of the patient's course in the hospital, reflect any change in condition and results of treatment. In the event that the patient's condition has not changed and no diagnostic studies have been done, a progress note must be completed by the attending medical staff member at least every three days. Each progress note in the medical record must be signed or countersigned by a member of the attending, courtesy or limited staff.~~

~~(5) Discharges.~~

- ~~(a) Patients shall be discharged only on written order of the responsible medical staff member. At the time of ordering the patient's discharge or at the time of the medical staff member's next visit to the hospital, the attending medical staff member shall see that the record is complete. The attending medical staff member is responsible for verifying the principal diagnosis, secondary diagnosis(es), principal procedure, and other significant procedures in the medical record by the time of discharge. If a principal diagnosis cannot be determined in the absence of outstanding test results, the attending medical staff member must record a "provisional" principal diagnosis by the time of discharge.~~
- ~~(b) The discharge summary for each patient must be dictated by the responsible medical staff member, or the medical staff member's designee, within three days of discharge for any patient stay of more than forty-eight hours. A handwritten or dictated discharge summary must be completed within seven days of discharge for any patient stay of forty-eight hours or less. A dictated summary is required on all patients who expire, regardless of length of stay. The discharge summary must be signed by the responsible attending practitioner.~~
- ~~(c) All medical records must be completed by the attending medical staff member or, when applicable, by the attending medical staff member's designee within twenty-one days of discharge of the patient. The attending medical staff member will be notified of the intent to suspend for all incomplete records that are available. The attending staff member will be suspended from medical staff and operative privileges until all records are completed. A list of delinquent, incomplete records by attending medical staff members will be prepared and distributed by the medical information management administrator once each week. If an attempt is made by the attending medical staff member, or the attending medical staff member's designee, to complete the record and the record is not available, the record is not counted against the attending medical staff member.~~
- ~~(d) Records which are incomplete, greater than twenty-one days from discharge, are defined as delinquent.~~

Amended Medical Staff Rules and Regulations
of The Ohio State University Hospitals East (contd)

(6) ~~Confidentiality.~~

~~Access to medical records is limited to use in the treatment of patients, research and teaching. All medical staff members are required to maintain the confidentiality of medical records. Improper use or disclosure of patient information is subject to disciplinary action.~~

(7) ~~Ownership of medical records.~~

~~Medical records of hospital-sponsored care are the property of the hospital and shall not be removed from the hospital's jurisdiction and safekeeping except in accordance with a court order, subpoena or statute.~~

(8) ~~Informed consent documentation.~~

(a) ~~Where informed consent is required for a special procedure (such as surgical operation), documentation that such consent has been obtained must be made in the hospital record prior to the initiation of the procedure. Such documentation shall be in compliance with the hospital's policy and procedure manual.~~

(b) ~~In the case of limb amputation, a limb disposition form, in duplicate, must be signed prior to the operation.~~

(9) ~~Sterilization consent.~~

~~Prior to the performance of an operative procedure for the expressed purpose of sterilization of a (male or female) patient, the attending medical staff member will be responsible for the completion of the legal forms provided by the hospital and signed by the patient. Patients who are enrolled in the Medicaid program must have their forms signed at least thirty days prior to the procedure. Informed consent must also be obtained from one of the parents or the guardian of an unmarried minor.~~

(10) ~~Criteria changes.~~

~~The medical information management committee shall define the criteria for record completion with approval of the medical staff.~~

(11) ~~Electronic signature.~~

~~The electronic signature of the medical record document requires a signing password. At the time the password is issued, the practitioner is required to sign a statement that he/she will be the only person using the password. This statement will be maintained in the department responsible for the electronic signature system.~~

(H)(B) ~~Operating room committee.~~

- (1) The operating room committee shall have representation from clinical departments using the operating room, including the medical director of the operating room, representatives from all surgical specialties, the chief of the department of surgery, the chief of the department of anesthesiology, the director of nursing (or designee), chief of laboratory services, director of the operating room, and a representative from hospital administration (or designee). The committee is appointed by the medical director in consultation with the executive director of the Ohio state university hospitals east. The committee shall meet at least quarterly and carry out the following duties:

Amended Medical Staff Rules and Regulations
of The Ohio State University Hospitals East (contd)

- (a) Insure that surgical privileges have been delineated for each member of the medical staff who uses the operating rooms, as well as assist with the development of the delineation of privileges for each member of the medical staff ~~that~~ who uses the operating room;

(b) through (f) unchanged.

- ~~(2) The operating room committee shall be a hospital committee and be appointed in accordance with policies and procedures of the hospital.~~

- ~~(3)~~(2) Each member of the medical staff shall conform to the policies established by the operating room committee, including the following:

A member of the attending surgical staff shall be present in person during surgical procedures and a member of the attending anesthesiology staff shall be present in person during anesthetization, and shall be familiar with the progress of the procedure, being available at all times during the procedure.

- ~~(4)~~(C) Pharmacy, therapeutics and drug utilization committee.

The pharmacy, therapeutics and drug utilization committee shall be appointed in conformity with the medical staff bylaws of the Ohio state university hospitals and have representation from the medical staff, nursing, pharmacy department and college, and hospital administration. The majority of members shall be members of the medical staff. University hospitals east shall have two representatives on this Osumc committee. The committee shall meet at least quarterly and carry out the following duties:

(1) through (9) unchanged.

- (D) Transfusion and immunization committee.

- (1) The transfusion and immunization committee shall be appointed pursuant to these bylaws and include representation from physicians of the clinical departments frequently using blood products, nursing, transfusion service, and hospital administration. The majority of members shall be members of the medical staff. University hospitals east shall have two representatives on this Osumc committee. The committee shall meet at least quarterly and carry out the following duties:

- (a) Evaluate the appropriateness of all transfusions, including the use of whole blood and blood components.
- (b) Evaluate all confirmed or suspected transfusion reactions.
- (c) Develop and recommend to the medical staff administrative committee/medical executive committee policies and procedures relating to the distribution, use, handling, and administration of blood and blood components.
- (d) Review the adequacy of transfusion services to meet the needs of patients.
- (e) Review ordering practices for blood and blood products.
- (f) Provide a liaison between the clinical departments, nursing services, hospital administration, and the transfusion service.

Amended Medical Staff Rules and Regulations
of The Ohio State University Hospitals East (contd)

- (g) Use clinically valid criteria for screening and more intensive evaluation of known or suspected problems in blood usage.
 - (h) Keep written records of meetings, conclusions, recommendations, and actions taken, and the results of actions taken, and make these available to each committee member and to the medical executive committee.
 - (2) Each member of the medical staff shall conform to the policies established by the transfusion and immunization committee, including the following:
 - (a) All pregnant patients admitted for delivery or abortion shall be tested for Rh antigen.
 - (b) No medication may be added to blood or blood products.
- (E) In addition to the medical staff committees, the medical staff shall participate in the following hospital monitoring functions:
 - (1) Infection control;
 - (2) Clinical quality management;
 - (3) Safety and disaster planning; and
 - (4) Other leadership council advisory policy groups.

86-12 Mechanism for amending or adopting rules and regulations.

These rules and regulations shall be adopted by the medical executive committee and forwarded for approval in the same fashion as provided in paragraph (B) of rule 3335-45-17 of the Administrative Code.

86-13 Sanctions.

Each member of the medical staff shall abide by policies approved by the medical executive committee and by the Ohio state university hospitals east. Failure to abide may result in suspension of some or all hospital privileges. (B/T 4/7/2000, B/T 7/11/2003)

**THE OHIO STATE UNIVERSITY-
NEWARK BOARD OF TRUSTEES**

BYLAWS

3335-113-01 Composition and administration of the board.

- (A) The Ohio state university board of trustees ("the university board") hereby establishes a body to be known as the Ohio state university - Newark board of trustees ("the Newark board").
- (1) The Newark board shall be composed of ~~eleven~~ ten members appointed by the ~~Ohio state university board of trustees~~ in consultation with the president of the university.
 - (2) ~~One member of the Newark board shall be a member of the university board of trustees (the "trustee member"). Nine members shall be private citizens who have an interest in and knowledge of higher education and who reside within the area served by the institution. No employee of the university and no employee or member of the board of the Newark technical college shall be eligible to serve as a citizen member. The selection process for the Newark board members shall incorporate the affirmative action policies of the university.~~
 - (3) One member of the Newark board shall be a student who is currently enrolled and in good standing at the Newark regional campus. The student member shall have no voting power on the board, ~~shall not be considered as a member of the board in determining whether a quorum is present, and shall not be entitled to attend executive sessions. The student member shall serve a term of one year.~~
 - (4) ~~Initial appointments of three citizen~~ Citizen members shall be appointed for terms of three years. ~~Three citizen members shall initially be appointed for terms of two years. Three citizen members shall initially be appointed for terms of one year. Thereafter, citizen members shall serve three-year terms. Terms will be staggered so that three terms end each year. In case a vacancy develops, the university board may appoint a citizen member to fill the remaining part of the unexpired term. No citizen member shall serve more than three terms, consecutive full terms or otherwise. (In determining eligibility for reappointment, an initial appointment of two years, or more, shall be construed as a term.)~~
 - (4) The student member shall serve a term of one year, and is eligible for reappointment as long as he or she remains a student in good standing at the Newark campus.
 - (5) ~~The trustee member shall serve a term of three years and may be reappointed so long as he or she remains a member of the university board of trustees.~~
 - (6)(5) Terms of the appointed members shall begin on July 4 first.
 - (7)(6) Members of the Newark board shall serve without compensation but may be reimbursed for expenses incurred in the performance of their duties. Members of the Newark board shall be provided immunities or indemnification against any claims or liabilities which may arise from the performance of their duties to the full extent permitted by law.
 - (8)(7) The dean/director of the Newark ~~regional~~ campus shall assist the chair of the Newark board in establishing board agendas and priorities and shall provide such staff services and other assistance as the board may require. The dean/director may attend all meetings of the board.
 - (9)(8) The provost or the provost's designee, in cooperation with the dean/director, shall serve as the liaison between the Newark board and the various colleges and departments of the university, and may attend all meetings of the Newark board.

BYLAWS (contd)

~~(40)~~(9) The chair of the Newark campus faculty assembly shall serve as a resource person for the Newark board and, in order to serve that function, may attend all public meetings of the Newark board.

~~3335-113-02 Powers and duties.~~

(A) ~~The Newark board shall advise and assist the dean/director in the administration of the Newark regional campus. Subject to the authority and periodic review of the university board of trustees, the Newark board shall:~~

- ~~(1) Develop support for the Newark regional campus in its service area;~~
- ~~(2) Advise the dean/director concerning local educational needs, and perceptions of university programs;~~
- ~~(3) Serve as an advocate for the needs of the Newark regional campus in local and state government agencies, and in the legislature;~~
- ~~(4) Participate in the strategic planning process for the regional campus;~~
- ~~(5) Review and provide a recommendation with respect to current funds and capital budgets prior to their submission to the university board of trustees by the president;~~
- ~~(6) Coordinate with the board of the Newark technical college in all areas of common interest.~~

(B) ~~The hiring, evaluation, promotion, tenure status, duties and responsibilities, and compensation of faculty shall be conducted in accordance with established university rules and the Newark board shall have no jurisdiction with respect to these matters.~~

(C) ~~To ensure that the university board of trustees meets its governance obligations under Ohio law, the Newark board will be accountable, through the president, to the university board of trustees.~~

- ~~(1) The appointment of the dean/director will be approved by the university board of trustees in accordance with rule 3335-3-291 of the Administrative Code, following consultation with the Newark board.~~
- ~~(2) Recommendations and reports of the Newark board that require the university board of trustees review or action will be communicated to the university board of trustees through the president.~~
- ~~(3) The university board of trustees will continue to hold the president responsible for the conduct of academic programs and for ensuring that the regional campus is operated in a manner consistent with university policy, as well as state and federal law.~~

3335-113-02 Nominations.

The objective of regional campus board member selection is to continually ensure a strong, independent group of dedicated members with a diverse background, representing a range of professions, experiences, and geographical regions that the campus serves.

(A) All members of the Newark board will be appointed by the Ohio state university board of trustees, in consultation with the president of the university, Newark board, and the campus dean/director.

BYLAWS (contd)

(B) The following criteria shall guide the Newark board in its nominations of community members:

- (1) Resides in the primary service area of the campus and is well acquainted with the region;
- (2) Has a strong interest in the Newark campus and in the Ohio state university;
- (3) Has a record of community service;
- (4) Consideration should be given to nominating individuals from diverse parts of the service district with diverse professional expertise and perspectives; and
- (5) Employees of the university, employees of the central Ohio technical college, and members of the board of the central Ohio technical college are ineligible to serve as citizen members.

(C) The student member should be a student in good standing, with an active interest in improving the campus, and who is willing to inform him or herself about the needs, interests, and concerns of other students. However, in the capacity as a board member, the role is as that of any other board member – to balance the needs and issues of all constituencies in their deliberations – not to represent a single constituency.

(D) Nominations will be communicated to the university board through the president no later than April twentieth of each year so that the university board may make appointments at its May meeting.

~~3335-113-03 Meetings.~~

- ~~(A) Regular meetings of the Newark board shall be held each month, or on such other schedule as may be established by the board, at times which shall be set and publicly announced by the chair.~~
- ~~(B) Special meetings may be called at the direction of the chair, or shall be called by the chair at the request of three members of the Newark board, provided that notice to all board members shall be given not less than five days prior to the meeting.~~
- ~~(C) Except as otherwise specified in these bylaws, all meetings of the Newark board and its committees shall be conducted in accordance with the latest revision of "Robert's Rules of Order."~~
- ~~(D) Meetings of the Newark board shall be open to the public, except for executive sessions called for reasons permissible under Ohio law.~~
- ~~(E) A majority of the current membership of the Newark board shall be necessary for a quorum.~~
- ~~(F) Motions may be passed by a majority of those present.~~

~~3335-113-04~~ 3335-113-03 Vacancies and removal.

- (A) Vacancies shall be filled by the university board of trustees in the same manner and subject to the same qualifications as appointments for full terms.
- (B) Members of the Newark board serve at the pleasure of the university board of trustees.
- (C) ~~Any Newark board member who has three unexcused absences for three successive meetings, or five unexcused absences in any calendar year, shall be automatically removed.~~

BYLAWS (contd)

3335-113-04 Powers and duties.

The Newark board shall serve in an advisory capacity in oversight of the Newark campus. Subject to the authority and periodic review of the university board, the Newark board shall:

- (A) Ensure maintenance of key relationships with external constituencies:
 - (1) Develop support for the Newark regional campus in its service area;
 - (2) Advise the dean/director concerning Newark's local service area educational needs and the perception of university programs;
 - (3) Be knowledgeable about the Ohio state university, in general, and, in particular, at its interface with the Newark campus;
 - (4) Serve as a knowledgeable and effective advocate with the state legislature and state and local agencies for the Newark campus and for the Ohio state university, in general, when appropriate; and
 - (5) Ensure effective coordination with the board of the central Ohio technical college in all areas of common interest.
- (B) Ensure proper direction for the Newark campus, e.g., through input and review, where appropriate, of the strategic plan, master plan, student affairs plan, safety and security plans, etc., and to ensure performance and progress monitoring. The Newark board shall have no jurisdiction with respect to faculty. The hiring, evaluation, promotion, tenure status, duties and responsibilities, and compensation of faculty shall be conducted in accordance with established university rules.
- (C) To ensure that the university board of trustees meets its governance obligations under Ohio law, the Newark board will advise the dean and director on matters relating to annual budgets, capital projects, and tuition and fees proposals.
 - (1) The appointment of the dean/director will be approved by the university board of trustees in accordance with rule 3335-3-291 of the Administrative Code.
 - (2) The university board of trustees will continue to hold the Ohio state university president responsible for the conduct of academic programs and for ensuring that the regional campus is operated in a manner consistent with university policy and procedures, as well as state and federal law.

3335-113-05 Officers.

- ~~(A) The Newark board shall select a chair, a vice chair, and such other officers as the board may deem advisable. The chair and other officers shall be elected annually by the board. No officer may serve more than two consecutive one-year terms.~~
- ~~(B) The chair shall preside at all meetings of the board, shall appoint members of any committees created by the board, shall serve as an ex-officio member of all standing and special committees, shall approve the agenda for all board meetings, and shall make an annual report to~~

~~The university board of trustees and such other reports as the university board of trustees may require.~~

BYLAWS (contd)

- (C) ~~The vice chair shall perform the duties and exercise the powers of the chair during the absence of the chair or in the event of the chair's inability to act.~~

3335-113-05 Meetings.

- (A) Regular meetings of the Newark board shall be held on such schedule as may be established by the board, at times which shall be set and publicly announced.
- (B) Special meetings may be called at the direction of the chair, or shall be called by the chair at the request of three members of the Newark board, provided that notice to all board members shall be given not less than five days prior to the meeting and publicly announced.
- (C) Except as otherwise specified in these bylaws, all meetings of the Newark board and its committees shall be conducted in accordance with the latest revision of "Robert's Rules of Order."
- (D) Meetings of the Newark board shall be open to the public, except for executive sessions called for reasons permissible under Ohio law.
- (E) A majority of the current membership of the Newark board shall be necessary for a quorum.
- (F) Conflict of interest. No trustee shall participate in deliberations on a university contract, action or transaction when the trustee has a financial or personal or fiduciary interest in any person or entity affected by such contract, action or transaction. The trustee having the prohibited interest shall make full disclosure thereof and shall abstain from any deliberations on any such matter. Trustees shall file with the board office on or about August first of each year, on a form prepared by the secretary of the board, a full disclosure of any financial or fiduciary interest the trustee, a member of the trustee's family, or any business associate of the trustee may have in any service provider who may be qualified to do business with the university.
- (G) Motions may be passed by a majority of the voting members present.

3335-113-06 Officers.

- (A) The executive committee shall consist of the chair, vice chair, and a recording secretary of the board. These officers shall be elected annually, by July first, by the Newark board. No officer may serve more than two consecutive, one-year terms in the same office.
- (B) The chair shall preside at all meetings of the board, shall appoint members of any committees created by the board, shall serve as an ex-officio member of all standing and special committees, and shall approve the agenda for all board meetings.
- (C) The vice chair shall perform the duties and exercise the powers of the chair during the absence of the chair or in the event of the chair's inability to act.
- (D) The recording secretary shall be responsible for ensuring minutes of board meetings are produced and maintained; for ensuring trustee members are kept informed about board activities and campus issues; for ensuring correspondence of the board is properly conducted; and for forwarding board minutes to the Ohio state board office in a timely fashion.

BYLAWS (contd)

~~3335-113-06~~ 3335-113-07 Committees.

(A) ~~The Newark board shall establish an executive~~ academic affairs and student life committee, a finance committee, a campus facilities and planning committee, and ~~such other committees as it may from time to time deem advisable~~ an external relations committee. Committees work on behalf of the board. As a result, any action or recommendation of a committee should have full board approval.

(A) (1) ~~The executive committee shall have the power to transact such business of the board between regular meetings of the board as the board may hereinafter authorize. All actions of the executive committee shall be reported to the full board at its next regular meeting.~~

~~The executive committee of the board shall consist of: the chair, who will serve as chair of the committee; the vice chair; and the chairs of all standing committees of the board. Any standing committee chair may designate a member of that committee who is also a board member to represent the chair at any meeting of the executive committee. The academic affairs and student life committee shall consist of three Newark board members, appointed annually by the chair of the board. It will monitor all services provided to students to ensure they are in line with established priorities and strategies and consistent with Ohio state university guidelines in general. This committee shall be responsible for advising the Newark board on student life matters.~~

(B) (2) ~~The finance committee shall consist of three board members appointed annually by the chair of the board. The finance committee shall be responsible for advising the Newark board on campus financial matters.~~

~~The finance committee shall consist of four board members appointed annually by the chair of the board.~~

(C) (3) ~~The campus facilities and planning committee shall consist of three Newark board members, appointed annually by the chair of the board. This committee will monitor the condition of the campus facilities and shall have the responsibility for long-range campus planning, and for recommending additions, alterations, repair, and maintenance progress on the campus master plan to ensure it is in line with established priorities and planned strategies. This committee shall be responsible for advising the Newark board on campus planning and capital projects.~~

~~The committee shall consist of four Newark board members, appointed annually by the chair of the board.~~

(D) The external relations committee shall consist of three Newark board members, appointed annually by the chair of the board. This committee will serve as the main link between the university and the community and will be most actively engaged coordinating the board's duties as outlined in rule 3335-113-04 of the Administrative Code: developing support for the Newark regional campus in this service area, serving as knowledgeable and effective advocates with the state legislature and state and local agencies for the Newark campus, and for the university in general when appropriate, bringing the concerns of the community to the university.

(E) The Newark board may, by majority vote, establish ad hoc committees for particular defined purposes and particular defined durations. (B/T 4/8/94, B/T 7/11/2003)

***PLEASE NOTE:** Identical changes have been made to the Lima, Mansfield, and Marion Board Bylaws.

MODIFICATION OF INVESTMENTS POLICIES

Summary

Endowment Fund Investments Policy

Sections I. (Goal) and II. (Investment Philosophy) have been expanded to include language describing diversification, acceptable return, and prudence of management.

Section III. (Definitions) have been expanded to include a discussion of long-term financial assets, including Quasi-Endowments.

A new Section IV. (Management of Investments) has been added incorporating relevant provisions of the Ohio Revised Code.

Section VI. (Gains/Losses) has been expanded to discuss treatment of gains and losses of Quasi-Endowment funds.

Section XI. (Authorized Investments) contains adjustments for high yield bonds, venture capital, and private equity investments.

Non-Endowment Investments Policy

The Non-Endowment Investments Policy contains only one revision. Section IX. (Authorized Investments) has been revised to allow for the purchase of corporate bonds and notes with terms up to five years.

Office of Business and Finance
Office of the Treasurer
July 3, 2003

ENDOWMENT FUND INVESTMENTS POLICY

I. Goal

It shall be the policy of The Ohio State University to manage the Endowment portfolio for the use and benefit of the University in a diversified portfolio that will produce a return which when compared to the current marketplace, would be described as acceptable by conservative prudent investment managers.

It shall **also** be the policy to manage the Endowment Fund in a manner that will preserve and maintain the real purchasing power of the principal while allowing for an annual distribution of income according to this policy.

II. Investment Philosophy

The Endowment fund shall be managed with the intention of obtaining the highest possible "total return" (i.e., current income plus net realized and unrealized appreciation) of the investment portfolio, balancing reasonable growth with maintenance of prudently acceptable risk. **The portfolio shall be managed with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims.**

III. Definitions

For the purposes of this policy, the Endowment shall include the total of all long-term financial assets of the University, including those held for the benefit of others. It also includes all other assets likely to be converted into financial assets that are intended for long-term support. Quasi-Endowments shall include investments in which the principal can be spent at the discretion of the university's Board of Trustees. Title to these quasi-endowment funds shall be held in trust by the University Board of Trustees and may include a portion of all registration fees, non resident tuition fees, academic fees for the support of off-campus instruction, laboratory and course fees when so assessed and collected, student health fees for the support of a student health service, all other fees, deposits, charges, receipts, and income from all or part of the students, all subsidy or other payments from state appropriations, and all other fees, deposits, charges, receipts, and income received by the University, the Ohio State University hospitals and their ancillary facilities, the Ohio agricultural research and development center, and the Ohio State University cooperative extension service.

IV. Management of Investments

The Investments Committee shall meet at least quarterly. The committee shall review and recommend revisions to this Policy and shall advise the Board of Trustees of the investments made under this policy in an effort to assist it in meeting its obligation as a fiduciary.

Except as provided herein, the Treasurer shall be authorized to implement and administer this Policy on behalf of the Board of Trustees and manage the Endowment Portfolio in accordance with this Policy.

The Investments Committee of the Board of Trustees may retain the services of an investment advisor(s) who meets both of the following qualifications:

A. The advisor(s) is either:

- 1. Licensed by the division of securities under section 1701.141 of the Ohio Revised Code.**
- 2. Registered with the Securities and Exchange Commission.**

B. The advisor(s) either:

- 1. Has experience in the management of investments of public funds, especially in the investment of state-government investment portfolios.**
- 2. Is an eligible institution referenced in section 135.03 of the Revised Code.**

V. Limitations

A. The Endowment Fund will have an asset allocation range and a target allocation as follows:

	<u>RANGE</u>	<u>TARGET ALLOCATION</u>
U.S. LARGE STOCKS	30% TO 50%	40%
U.S. SMALL CAP STOCKS	15% TO 35%	25%
INTERNATIONAL STOCKS	5% TO 10%	7%
ALTERNATIVE INVESTMENTS	5% TO 20%	13%
TOTAL FIXED INCOME	10% TO 25%	15%
CASH	0% TO 10%	0%
TOTAL ENDOWMENT		100%

B. For purposes of these limitations, the amounts recommended to be maintained in short-term Investments by the investment advisors shall be considered as invested in their asset class.

C. New money received by the Endowment may be placed by the Treasurer in Index funds in amounts to maintain the Investment Limitations aforementioned until such time as the Board of Trustees reallocates such funds.

D. The Treasurer may specify terms and conditions that are more restrictive than those of this Policy but shall not permit a less restrictive policy without specific authorization from The Board of Trustees.

E. The quality rating guidelines which are to be used shall be the ratings as of the date of the purchase of the security. If a rating change occurs which disqualifies a security that is already present in the portfolio, the security must be sold within ninety days from the discovery of the rating change.

VI. Gains/Losses

A. It shall be permissible for the Treasurer to realize gains and losses in the portfolio if such an action would be consistent with the University's investment goals.

B. In order to maintain the purchasing power of the endowment as well as maintain an orderly distribution of income under the Endowment Fund's spending policy, a

stabilization reserve account shall be maintained. The stabilization reserve account shall be used to account for any excess (deficit) of income earned versus monies distributed to the endowment funds.

C. Quasi endowment gains and losses shall be charged against current income.

VII. Income Distribution Policy

Income will be distributed to Endowment Funds at the beginning of each fiscal year according to a formula based upon a distribution of 5.0% of the average market value of the portfolio over the most recent three years. New monies placed in the Endowment Fund will receive an income distribution prorated by the month of the fiscal year received.

VIII. Advisors

The University may utilize the services of external investment advisors to assist in the management of the endowment. The responsibilities and types of investment services provided by investment advisors shall be specified in written agreements. The investment advisors appointed by the Board of Trustees will invest the assets in accordance with this policy, their written agreement and their judgements concerning relative investment values. The Board may appoint an advisor with specific authority to invest in assets not otherwise addressed in this policy. The investment advisors are accorded full discretion, within policy limits, to select individual securities, and diversify the assets. The number of advisors and the amount of funds under each advisors aegis shall be determined by the Board of Trustees.

Advisors performance will be measured against an appropriate benchmark. Passive managers are expected to equal the benchmark while active managers are expected to exceed the benchmark net of fees.

IX. Marketability

Securities purchased by the endowment shall be listed on the New York, American or other exchange or be unlisted and traded over-the-counter provided that all securities purchased shall be readily marketable and meet the quality guidelines of this Policy. Real estate purchases will be based on investment merit. Real Estate and Private Equities must undergo periodic appraisals by a qualified third party appraiser. Investments that have no ready market that are gifts to the University shall only be accepted if, as determined by the Treasurer, acceptance is in the best interest of the University.

X. Proxies

The Treasurer has the authority to vote proxies in accordance with the proxy policy approved by the Investments Committee of the Board of Trustees. The Treasurer shall make a written report of proxy votes to the Investments Committee at each regular meeting.

XI. Authorized Investments

The following categories of investments shall be authorized for the endowment:

A. Commercial Paper

1. Rated A-1 (Standard and Poor's) and P-1 (Moody's), not more than \$5,000,000 in any corporation or financial institution.
2. Commercial paper must have a term less than 270 days.

B. Banker's Acceptances

1. An amount not to exceed \$5,000,000 in any bank, or subsidiaries of that bank whose long-term debt is rated A or better.
2. Banker's acceptances must have a term less than 270 days.

C. Eurodollar CD and time deposits

1. An amount not to exceed \$5,000,000 in any bank, or subsidiaries of that bank with a long-term debt rating of BBB or better.
2. Eurodollars shall have a term less than one year.

D. Corporate Bonds and Notes

1. No bond or note shall be purchased in an amount greater than \$5,000,000 par value of any corporation.
2. Bonds and notes shall be rated BBB or better, **except for investment managers in the high yield category.**
3. Corporate bonds or notes shall have a term less than 40 years.
4. Foreign Bonds may be purchased provided they comply with items 1 thru 3 of this section and are denominated in U.S. dollars.

E. Common Stock and Preferred Stock

An amount not to exceed 3% of the outstanding shares of a company may be purchased. All stock shall be of investment grade. **This provision does not apply to venture capital or private equity investments.**

F. Mutual and Com-mingled Funds

Investment in any fund shall not exceed 10% of the total funds of the mutual or commingled fund. All mutual or com-mingled funds shall comply with the Authorized Investment provisions of this policy (see Section IX). Money market mutual funds shall not apply to this section (see Section **M**).

G. Municipal or State Bonds

1. An amount not to exceed \$5,000,000 in any one municipality or state (except that there shall be no limit on Ohio State University or Ohio State University-related bonds).
2. Bonds shall be rated BBB or better (except that there shall be no rating requirement on Ohio State University or Ohio State University-related bonds).
3. No bonds shall be purchased with a term greater than 40 years.

H. Certificates of Deposit

1. Collateralized at market value

Not to exceed \$5,000,000 in any Ohio bank or savings and loan whose long-term debt is rated A or better provided the amount not federally insured is

collateralized as provided in Section 135.18 of the Ohio Revised Code with securities eligible under Section 135.18 (B).

2. Certificate of deposit shall have a term not exceeding one year.

I. U.S. Treasury Bills, Notes and Bonds

No limitations on amount invested or term.

J. U.S. Government Agencies

No limitations on amount invested or term.

K. Repurchase Agreements

Not to exceed \$10,000,000 with any dealer or broker recognized by the Federal Reserve as a primary dealer or any bank whose long-term debt is rated A or better and only provided a written repurchase agreement is in place. The term of any agreement shall not exceed 15 days. Collateral must be U.S. government or agency securities at 102% or more of market value. Collateral must be segregated in an account bearing the University's name, except when there already exists a demand deposit account.

L. Reverse Repurchase Agreements

Not to exceed \$10,000,000 with any dealer or broker recognized by the federal reserve as a primary dealer or any bank whose long term debt is rated A or better or only provided a written agreement is in place. The term of any agreement shall not exceed 15 days.

M. Money Market Mutual Funds

1. Government collateralized

There shall be no limitations on the amount invested provided it is 100% collateralized at market value by U.S. government or agency securities.

2. Other collateral

There shall be no limitations on the amount invested provided it is 100% collateralized by marketable securities that comply with all other provisions set forth in this policy.

N. Guaranteed Investment Contracts (GIC)

1. An amount not to exceed \$3,000,000 can be placed with any insurance company with assets in excess of \$5 billion and a long-term debt rating of A or better.
2. GIC's must be 100% collateralized with U.S. treasuries, agencies or corporate bonds rated A or better.
3. No GIC shall be purchased with an expected life greater than 5 years.

O. Collateralized Mortgage Obligations (CMO)

1. An amount not to exceed \$5,000,000 may be invested in any one issuer.
2. CMO's must be rated AAA, **except for investment managers in the high yield category.**
3. No CMO shall be purchased with an expected life greater than 30 years.

P. Asset Backed Securities (ABS)

1. An amount not to exceed \$3,000,000 may be invested in any one issuer.
2. ABS's must be rated AAA, **except for investment managers in the high yield category.**
3. No ABS shall be purchased with an expected life greater than 5 years.

Q. Securities Lending

Equity and Fixed Income securities not to exceed 30% of the Endowment may be Loaned on condition the loan is secured. Collateral must be cash or U.S. Government Agency securities at 102% or more market value. Lending may be done with any dealer or broker recognized by the Federal Reserve of a primary dealer or any bank whose long term debt rating is A or better and only provided a written agreement is in place.

R. Alternative

Alternative investments may be made only by a professional investment advisor (See Article VI.) unless specifically authorized by the Board of Trustees.

S. Other Investments

Between meetings of the Board of Trustees, if deemed advisable, other investments not specifically authorized by this Policy may be made if approved by the Investments Committee of the Board of Trustees. Any such action shall be taken to the Board of Trustees for approval at its next meeting.

XII. Management of Investments

Except as provided herein, the Treasurer shall be authorized to implement and administer this Policy on behalf of the Board of Trustees and manage the endowment in accordance with this Policy.

XIII. Exceptions

Modifications and exceptions to this Policy shall be authorized only by resolution of the Board of Trustees or as provided herein. The terms and conditions of this Policy as to the endowment portfolio may be waived if specified by the terms of a gift and approved by the Board of Trustees.

XIV. Reporting and Accountability

The Treasurer shall be accountable to the Board of Trustees for implementing this Policy. The Treasurer shall make a written report to the Investments Committee at each regular meeting on the status of the Endowment Fund.

XV. Support Level Required to Establish an Endowment Fund

- A. A *Named Endowed Chair* will be established in the Endowment with support of \$1,500,000 or more and the distributed income will be used to provide supplemental compensation and academic support funds for chair holder.
- B. A *Named Endowed Professorship* will be established in the Endowment with support of \$750,000 or more and the distributed income will be used to provide supplemental compensation and academic support for faculty member.
- C. A *Named Endowed Distinguished Visitor* will be established in the Endowment with support of \$500,000 or more and the distributed income will be used to provide funds to bring a distinguished visitor to the University for a limited time, generally from one week to one year. This category of endowed faculty funds may be used for distinguished scholars, teachers, lecturers, research investigators, artists, writers, executives-in-residence, or others in association with activities that advance the academic, interdisciplinary, diversity, and other educational goals of the University, its colleges and programs.
- D. A *Named Endowed Faculty Award* will be established in the Endowment with Support of \$250,000 or more and the distributed income will be used to provide funds to recruit or retain outstanding faculty and provide them with academic support funds to enhance their work. Also designed to help meet the University's diversity goals.
- E. A *Named Dean's Leadership Fund* will be established in the Endowment with support of \$100,000 or more and the distributed income will be used to provide funds to allow deans to support existing or new faculty endeavors, promote promising initiatives across or among colleges, support lecturer series, and generally promote the goals of the college.
- F. A *Named Scholarship* will be established in the Endowment with support of \$100,000 or more and the distributed income will be used to provide general University scholarships for a diverse group of students according to financial need as determined by federal guidelines, or provides scholarship assistance for students based on performance (i.e., musicians, artists, dancers, athletes, etc.), and for minority students.
- G. A *Named Graduate Fellowship* will be established in the Endowment with support of \$250,000 or more and the distributed income will be used to provide funds for a diverse group of outstanding graduate and professional students including minority students based on criteria established by colleges and departments.
- H. A *University Scholar Merit Scholarship* will be established in the Endowment with support of \$25,000 or more and the distributed income will be used to provide \$1,000 to incoming students who graduated at the top of their high school class and who have high test scores.
- I. A *Medalist Scholar Merit Scholarship* will be established in the Endowment with support of \$75,000 or more and the distributed income will be used to provide in-state tuition awards for 30 incoming students who score among the best in and on campus competition.
- J. A *Distinguished Scholar Merit Scholarship* will be established in the Endowment with support of \$150,000 or more and the distributed income will be used to provide awards of in-state tuition and a stipend toward room and board for qualifying National Merit and National Achievement Scholars.

- K. A *Presidential Scholar Merit Scholarship* will be established in the Endowment with support of \$250,000 or more and the distributed income will be used to provide an in-state full-ride award for ten incoming students who score at the very top in and on-campus competition.
- L. A *Named Endowed Fund* will be established in the Endowment with support of \$25,000 or more and the distributed income will be used to provide an enduring memorial to the donor and bear the donor's name or an appropriate name of the donor's designation. The fund will be invested and the income used to provide support for a program designated by the donor and approved by the University.

NON-ENDOWMENT INVESTMENTS POLICY

I. Goals

It shall be the policy of The Ohio State University to manage the Non-Endowment portfolio for the use and benefit of the University in a diversified portfolio that will produce a return which when compared to the current marketplace, would be described as acceptable by conservative prudent investment managers.

II. Investment Philosophy

The Non-Endowment portfolio shall be managed with the intention of obtaining a reasonable yield, balanced with a component invested for long-term appreciation, while adhering to a prudent level of risk, and retaining sufficient liquidity to meet cash flow requirements. The portfolio shall be managed with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims.

III. Definitions

For the purposes of this Policy, the Non-Endowment portfolio shall include: all registration fees, non resident tuition fees, academic fees for the support of off-campus instruction, laboratory and course fees when so assessed and collected, student health fees for the support of a student health service, all other fees, deposits, charges, receipts, and income from all or part of the students, all subsidy or other payments from state appropriations, and all other fees, deposits, charges, receipts, and income received by the University, the Ohio State University hospitals and their ancillary facilities, the Ohio agricultural research and development center, and the Ohio State University cooperative extension service. Title to these funds shall be held in trust by the Board of Trustees.

IV. Management of Investments

The Investments Committee shall meet at least quarterly. The committee shall review and recommend revisions to this Policy and shall advise the Board of Trustees of the investments made under this policy in an effort to assist it in meeting its obligation as a fiduciary.

Except as provided herein, the Treasurer shall be authorized to implement and administer this Policy on behalf of the Board of Trustees and manage the Non-Endowment Portfolio in accordance with this Policy.

The Investments Committee of the Board of Trustees may retain the services of an investment advisor who meets both of the following qualifications:

A. The advisor is either:

1. Licensed by the division of securities under section 1701.141 of the Ohio Revised Code.
2. Registered with the Securities and Exchange Commission.

- B. The advisor either:
 - 1. Has experience in the management of investments of public funds, especially in the investment of state-government investment portfolios.
 - 2. Is an eligible institution referenced in section 135.03 of the Revised Code.

V. Reporting and Accountability

The Treasurer shall be accountable to the Board of Trustees for implementing this Policy. The Treasurer shall make a quarterly written report to the Investments Committee and the Senior Vice President for Business and Finance on the status of the Non-Endowment Portfolio.

VI. Gains/Losses

It shall be permissible for the Treasurer to realize gains and losses if such an action would be consistent with the University's investment goals. Losses and gains realized on the Non-Endowment portfolio shall be charged against current income.

VII. Limitations

- A. The Portfolio shall maintain a reserve of only publicly traded securities averaging at least 25 percent of the average amount of the non-endowment investment portfolio over the course of the previous fiscal year invested in securities of the United States Government or of its agencies or instrumentalities, the treasurer of state's pooled investment program, obligations of this state or any political subdivision of this state, certificates of deposit of any national bank located in this state, written repurchase agreements with any eligible Ohio financial institution that is a member of the Federal Reserve System or Federal Home Loan Bank, money market funds or bankers acceptances maturing in 270 days or less which are eligible for purchase by the Federal Reserve System.
- B. Within the parameters otherwise allowed by Ohio law, the Treasurer may specify terms and conditions that are more restrictive than those of this Policy but shall not permit a less restrictive policy without specific authorization from the Board of Trustees.
- C. The quality rating guidelines, which are to be used, shall be the ratings as of the date of the purchase of the security. If a rating change occurs which disqualifies a security that is already present in the Non-Endowment Portfolio, the security must be sold within ninety days from the discovery of the rating change.

VIII. Marketability

All securities purchased shall be readily marketable and meet the quality guidelines of this Policy. Investments that have no ready market that are gifts to the University shall only be accepted if it is in the best interest of the University.

IX. Authorized Investments

The following categories of investments shall be authorized for the Non-Endowment portfolio as indicated.

- A. U.S. Treasury Bills, Notes and Bonds.
No limitations on amount invested or term.
- B. U.S. Government Agencies
No limitations on amount invested or term.

C. Repurchase Agreements

Not to exceed \$20,000,000 with any dealer or broker recognized by the Federal Reserve as a primary dealer or any bank with assets in excess of \$5 billion and a long-term debt rating of A or better and only provided a written repo agreement is in place.

The term of any agreement shall not exceed 30 days. Collateral must be U.S. government or agency securities at 102% or more of market value of any agreement. Collateral must be retained in an account bearing the University's name, except when there already exists a demand deposit account.

D. Reverse Repurchase Agreements

Not to exceed \$20,000,000 with a dealer or broker recognized by the Federal Reserve as a primary dealer or any bank whose long-term debt is Rated A or better and only provided a written agreement is in place. The term of any agreement shall not exceed 30 days.

E. Money Market Mutual Funds

1. Government Collateralized

There shall be no limitations on the amount invested provided it is 100% collateralized at market value by U.S. government or agency securities.

2. Other Collateral

There shall be no limitations on the amount invested provided it is 100% collateralized by marketable securities that comply with all other provisions set forth in this policy.

3. Star Ohio

There shall be no limitation on the amount invested in the treasurer of Ohio's pooled investment program.

F. Certificates of Deposit

Not to exceed \$10,000,000 in any national bank located in Ohio with assets in excess of \$5 billion and a long-term debt rating of A or better.

G. Mutual Funds, Index Funds and Separately Invested Accounts

Investment in any fund shall not exceed 10% of the total funds of the mutual or index fund. All mutual funds, index funds, and separately invested accounts shall be of investment grade, shall invest only in publicly traded securities, and shall comply with all other provisions set forth in this policy. Money market mutual funds shall not apply to this section. (See Section E).

H. Municipal or State Bonds

1. An amount not to exceed \$5,000,000 in any one municipality or state (except there shall be no limit on Ohio State University or Ohio State University-related bonds or obligations of the State of Ohio).
2. Bonds shall be rated A or better (except that there shall be no limitation on Ohio State University or Ohio State University-related bonds).

3. Bonds shall be purchased with a term less than 30 years.

I. Securities Lending

Fixed income securities not to exceed 20% of the Non-Endowment Portfolio may be loaned on condition the loan is secured. Collateral must be cash or U.S. Government or agency securities at 102% or more of market value. Lending may be done with any dealer or broker recognized by the Federal Reserve as a primary dealer or any bank whose long-term debt is Rated A or better and only provided a written agreement is in place.

J. Asset Backed Securities (ABS)

1. An amount not to exceed \$5,000,000 may be invested in any one issue unless issued directly by the Government agency where there would be no limit.
2. ABS's must be rated AAA.
3. The underlying assets must comply with all other provisions set forth in this policy.
4. ABS shall be purchased with a maturity less than 10 years and an average life less than 5 years. For maturity measurement purposes, the average life will be used.

K. Collateralized Mortgage Obligations (CMO)

1. An amount not to exceed \$5,000,000 may be invested in any one issuer except that CMO's issued directly by U.S. Government Agencies will have no limitations.
2. CMO's must be rated AAA.
3. CMO's shall be purchased with a term less than 30 years.
4. For maturity measurement purposes, the average life will be used.

L. Commercial Paper

1. Rated A-1 by Standard & Poor's and P-1 by Moody's.
2. An amount not to exceed \$5,000,000 in any corporation.
3. Commercial paper may be purchased with a term less than 270 days.

M. Banker's Acceptances

1. An amount not to exceed \$5,000,000 in any bank, or subsidiaries of that bank whose long-term debt is rated A or better.
2. Banker's acceptances shall be purchased with a term less than 270 days.

N. Corporate Bonds and Notes

1. An amount not to exceed \$5,000,000 in any corporation.
2. Bonds and Notes shall be rated BBB or better
3. Corporate bonds and notes shall have a term less than **five years**.

4. Foreign bonds may be purchased provided they comply with items 1 through 3 of this section and are denominated in U.S. dollars.

O. Other Investments

Between meetings of the Board of Trustees, if deemed advisable, other investments not specifically authorized by this Policy may be made if approved by the Investments Committee. Any such actions shall be taken to the Board of Trustees for approval at its next meeting.

July 11, 2003

AUTHORIZATION FOR CAPITAL PROJECTS

Project	Approved Amount	Funding Source	Requested Action
Byrd Polar Research Center - Cold Room Upgrades	\$0.204M	State: \$0.204M	Enter into design contracts
Graduate and Professional Student Housing	\$32.3M	Dept: \$32.3M	Enter into construction contracts
Graduate and Professional Student Housing - Retail Space	\$2.5M	Dept: \$2.5M	Enter into construction contracts
Laboratory Animal Facility Expansion	\$0.883M	Dept: \$0.883M	Enter into construction contracts
Marion Campus-Student Services Building/Parking Lot Renovation	\$6.108M	State: \$1.568M Dept: \$4.540M	Enter into construction contracts
Meiling Hall Lobby Expansion	\$0.91M	Dept: \$0.91M	Enter into construction contracts
Total by Source:	\$42.905M	State: \$ 1.772M Dept: \$41.133M	
Total		\$42.905M	



Byrd Polar Research Center - Cold Room Upgrades

315-2003-944

Requesting Agency(s): RESEARCH, OFFICE OF

Location(s): SCOTT HALL, WILLIAM H

Gross Sq. Ft.58,109 Age: 1972

Description: This project involves the renovation of the three cold rooms along with the roof over the corridor of Scott Hall; replaces worn compressors, doors and seals that service the cold room, and provide a 5-year maintenance agreement for servicing the new compressors.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Ruth Miller
(miller.2495@osu.edu)

Project Assistant: Is Unassigned

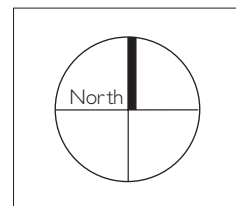
Field Coordinator: Is Unassigned

Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$203,742.00	\$203,742.00	Design	\$16,612.00		\$0.00
Renovation			Contingency	\$18,522.00		\$0.00
Total:	\$203,742.00	\$203,742.00	Construction	\$166,116.00		\$0.00
			Local Administration	\$2,492.00		\$0.00
			Total:	\$203,742.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	07/11/2003		
Bidding Approved B/T	03/05/2004		
Design			
Schematic Design Approval	12/30/2003		
Design Dev Document Approval	12/30/2003		
Construction Document Approval	02/28/2004		
Construction			
Construction Start	07/30/2004	04/30/2004	
Completion	07/30/2004		

Byrd Polar Research Center - Cold Room Upgrades



Office of Business and Finance
Office of Facilities Planning and Development

June 12, 2003



Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: This is the first phase of what is expected to be a multiple phased construction project. The project is all new construction of graduate and professional student apartments to be located in the South Campus area. The buildings will include a mix of one, two and three bedroom apartments. A limited amount of off street parking will be included.

Project Team:

Facility Planner: Cheryl Christie
(christie.2@osu.edu)
Project Captain: Marjory Spangler
(spangler.40@osu.edu)
Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)
Field Coordinator: Marjory Spangler
(spangler.40@osu.edu)

Project Information:

Site - Neil between 9th and 10th Avenue and along 10th Avenue between Neil and Worthington.

Board of Trustees approved construction bidding originally in April, 1999. Authorization to bid at the higher, revised project budget received February, 2001.

Project scope has evolved from housing for graduate and professional students, to include housing for undergraduates and undergraduate scholar students as well as a limited amount of retail space. Retail space is budgeted under a separate project. The total project budget was revised and approved in April, 2002 to \$29,172,099.95 to support the increased project scope, based upon the pro-forma figures developed by the using agency.

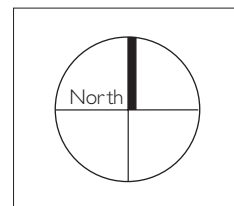
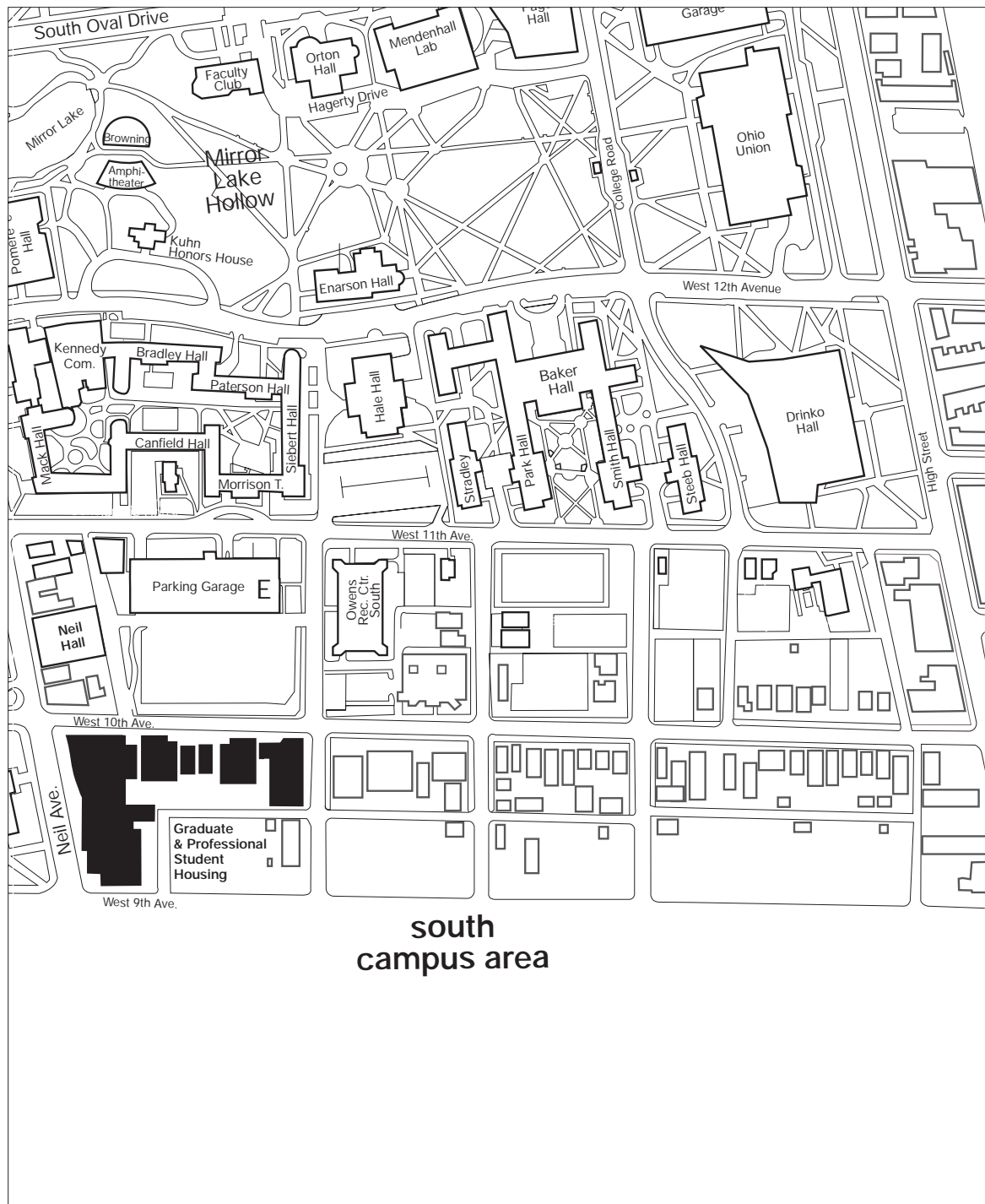
Budget increase requested 7/11/03 (\$3,128,390) reflects an increase in costs to accelerate the schedule so project can be completed by Fall of 2003.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Future Univ. Bond	\$17,421,177.00	\$32,194,343.03	Design	\$1,660,671.35	\$1,660,671.35	\$0.00
Proceeds			Contingency	\$1,215,650.00	\$1,295,265.00	\$0.00
Leaseback	\$0.00	\$0.00	Construction	\$24,313,000.00	\$25,905,300.00	\$0.00
Auxiliaries-UNITS	\$98,558.00	\$106,146.97	Local Administration	\$291,756.00	\$310,863.60	\$0.00
Total:	\$17,519,735.00	\$32,300,490.00	Total:	\$27,481,077.35	\$29,172,099.95	\$0.00



Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$17,421,177 Project)	04/02/1999		04/06/1999
Bidding Approved B/T (\$17,421,177 Project)			04/06/1999
Program Approved by the University	01/03/2000	04/13/2000	04/19/2000
Bidding Approved B/T (\$27,222,480 Project)	04/02/1999		02/02/2001
Design			
Schematic Design Approval	04/03/2000	08/29/2000	08/29/2000
Design Dev Document Approval	08/04/2000	04/16/2001	04/16/2001
Construction Document Approval	11/03/2000	10/15/2001	11/26/2001
Bidding			
Bid Opening	01/05/2001	12/21/2001	01/08/2002
Construction			
Award of Contracts	02/25/2001	02/13/2002	02/20/2002
Construction Start	03/02/2001	02/14/2002	02/20/2002
Report of Award to B/T (\$29,172,100 Project)	04/01/2001	04/05/2002	04/05/2002
Completion	12/14/2001	07/18/2003	

Graduate and Professional Student Housing



Office of Business and Finance
Office of Facilities Planning and Development

March 13, 2002



Graduate and Professional Student Housing - Retail Space
315-1996-976-2

Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: Buildout of first floor retail space. Facility will include coffee bar, hot food servery, cold deli, and grab and go selections. Space is provided for patrons to eat in or carry out.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Marjory Spangler
(spangler.40@osu.edu)

Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

Field Coordinator: Is Unassigned

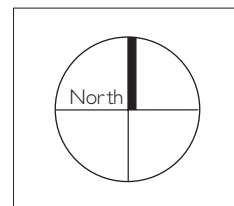
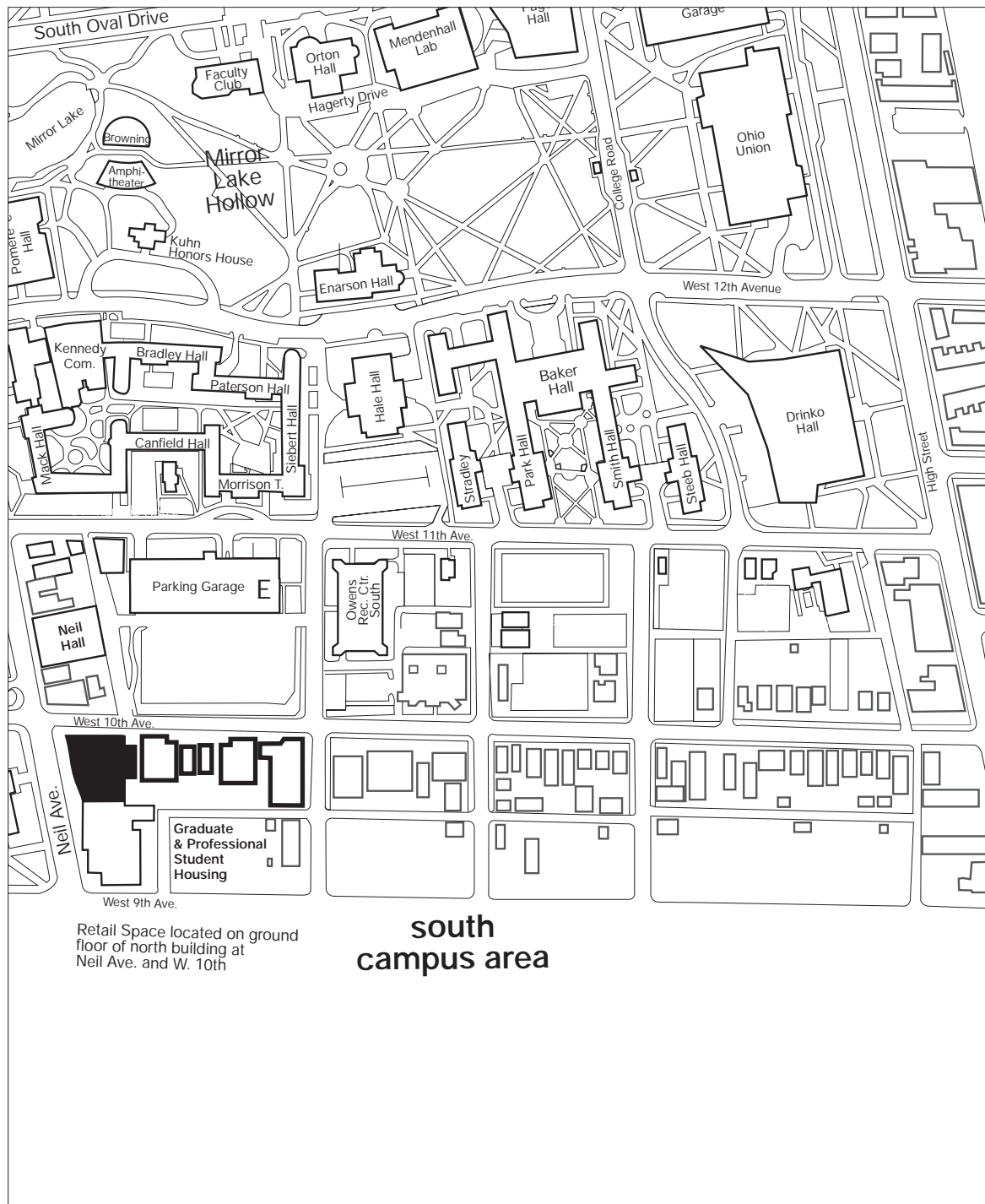
Project Information:

Site - Neil between 9th and 10th Avenue and along 10th Avenue between Neil and Worthington

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Future Univ. Bond	\$2,500,000.00	\$2,500,000.00	Design	\$191,500.00		\$0.00
Proceeds			Equipment	\$105,000.00		\$0.00
Total:	\$2,500,000.00	\$2,500,000.00	Contingency	\$154,878.00		\$0.00
			Construction	\$2,024,330.00		\$0.00
			Local Administration	\$24,292.00		\$0.00
			Total:	\$2,500,000.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	05/02/2003		05/02/2003
Bidding Approved B/T	06/06/2003	07/11/2003	
Bidding			
Bid Opening	07/08/2003		
Construction			
Award of Contracts	08/04/2003		
Construction Start	08/04/2003	08/28/2003	
Completion	12/19/2003	12/30/2003	

Graduate and Professional Student Housing - Retail Space



Office of Business and Finance
Office of Facilities Planning and Development

June 12, 2003



Marion Campus-Student Services Building/Parking Lot Relocation

315-2001-930

Requesting Agency(s): MARION CAMPUS

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: Construct a two story, concrete and masonry, administrative structure of approximately 17,500 square feet that will house the expanded student services of the Marion Campus. These will include admissions, advising, financial aid, registrar services, fee payment, career services, disability services, Master of Social Work Program and Nursing Program. Provide 220 parking spaces in an asphalt paved lot with safety lighting.

Project Team:

Facility Planner: Anne Pensyl
(pensyl.3@osu.edu)

Project Captain: Marty Bricker

Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

Selected site for the new center is on the current parking lot between Alber Student Center and Morrill Hall.

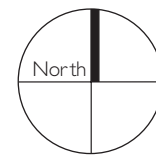
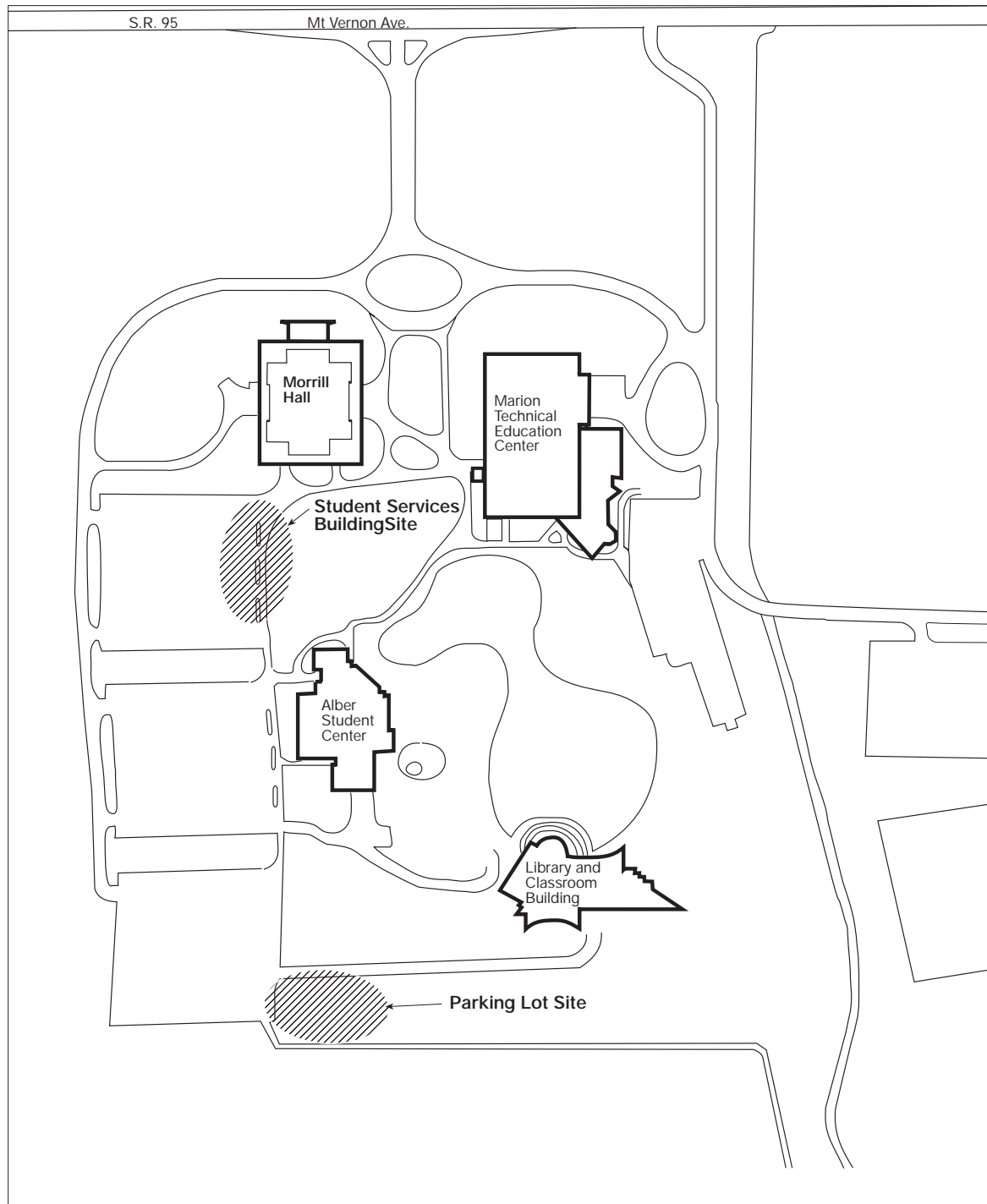
Board of Trustees approved \$3,730,169 on December, 2001 with an increase to \$5,552,056 in February, 2003 for the Student Center project to enter into design contracts. The project budget increase reflected the scope change to approximately 30,000 square feet due to increased enrollment on the Marion Campus and the inclusion of the Alber Center (currently housed in lease space) and security services not currently on the Marion Campus.

In May, 2003, the Board of Trustees approved \$359,310 for the relocation of the related parking lot project. These two project budgets have now been consolidated into this project, for a consolidated approval to date of \$5,911,366.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Marion	\$1,266,119.00	\$3,440,249.50	Design	\$417,500.00		\$0.00
Treasurer's Debt Service	\$1,100,000.00	\$1,100,000.00	Equipment	\$472,556.00		\$0.00
Pool Loan			Contingency	\$381,200.00		\$0.00
HB640 Line Item	\$1,364,050.00	\$1,364,050.00	Construction	\$4,765,000.00		\$0.00
Appropriation			Local Administration	\$71,500.00		\$0.00
HB640 Marion Basic	\$87,185.50	\$87,185.50	Total:	\$6,107,756.00		\$0.00
Renovations						
HB675 Regional Basic	\$116,271.00	\$116,271.00				
Renovation						
Total:	\$3,933,625.50	\$6,107,756.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$3,730,169 Project)	12/06/2001		12/06/2001
Bidding Approved B/T (\$3,730,169 Project)	12/06/2001	03/30/2003	12/06/2001
Arch/Engr Approved by B/T (\$5,552,056 Project)	02/07/2003		02/07/2003
Arch/Engr Approved by B/T (\$359,310 pkg lot project)			05/02/2003
Bidding Approved B/T	06/06/2003	07/11/2003	
Design			
Schematic Design Approval	04/01/2002	07/30/2002	09/19/2002
Arch/Engr Contract	01/30/2002	11/25/2002	11/26/2002
Design Dev Document Approval	10/01/2002	11/25/2002	12/26/2002

Marion Campus - Student Services Building / Parking Lot Renovation



Office of Business and Finance
Office of the University Architect and Physical Planning

June 12, 2003



Requesting Agency(s): HEALTH SCIENCES ADMINISTRATION

Location(s): MEILING HALL, RICHARD LEWIS

Gross Sq. Ft. 76,489 Age: 1970

Description: Renovate lobby area on the first floor of Meiling Hall to create additional offices.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Christine Lawson
(lawson.132@osu.edu)

Project Assistant: Leeanne Chandler
(chandler.63@osu.edu)

Field Coordinator: Is Unassigned

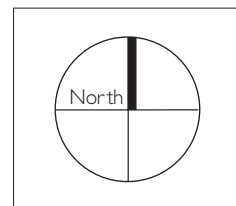
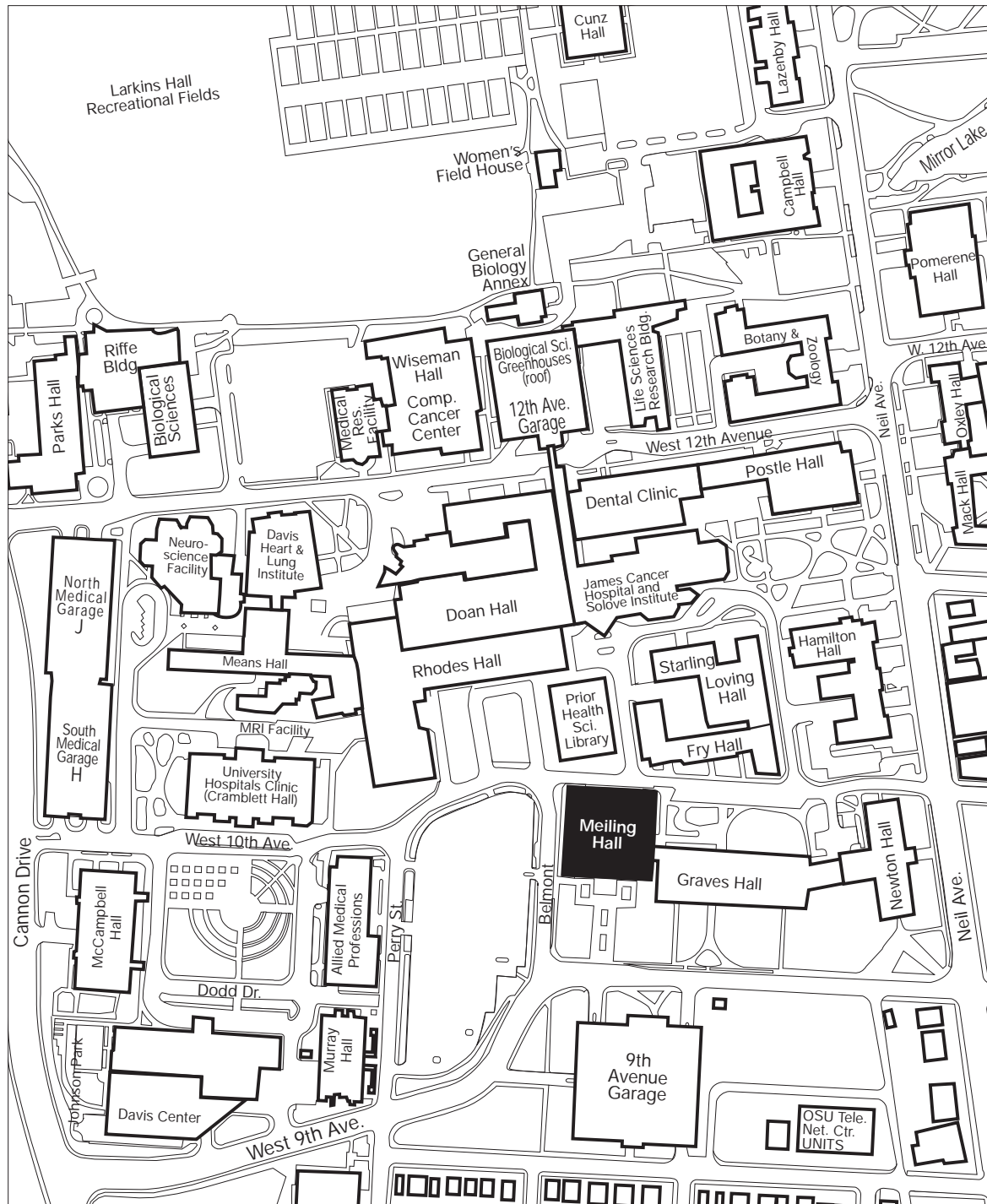
Project Information:

Board of Trustees approved the project for \$750,000 in construction June, 2002. Requested increase due to required fire sprinklers per Building Code throughout the renovation areas as well as additional work in the basement and the second floor.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Medicine	\$750,000.00	\$910,125.00	Design	\$101,750.00		\$0.00
			Contingency	\$72,500.00		\$0.00
Total:	\$750,000.00	\$910,125.00	Construction	\$725,000.00		\$0.00
			Local Administration	\$10,875.00		\$0.00
			Total:	\$910,125.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
6540 Received by OFP			05/17/2002
Request for Estimate Received - OA			05/17/2002
Arch/Engr Approved by B/T (\$750,000 Project)	06/07/2002		06/07/2002
Bidding Approved B/T (\$750,000 Project)	06/07/2002		06/07/2002

Meiling Hall - Lobby Expansion



Office of Business and Finance
Office of Facilities Planning and Development

May 15, 2002



Requesting Agency(s): RESEARCH, OFFICE OF

Location(s): LABORATORY ANIMAL CENTER BUILDING

Gross Sq. Ft.23,823 Age: 1971

1

Description: Building #1 at the Lab Animal Facility, Godown Road was built in 1972. The building is not adequate to house animals requiring closely monitored HVAC and light requirements. The ULAR is proposing that three modular buildings be used to house animals of the LAC. Electric, water, and sewage hookups, a concrete pad, outside lighting, security hookups and a covered pathway to Building.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Steve Middleton
(middleton.52@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Stephen Angelo
(angelo.6@osu.edu)

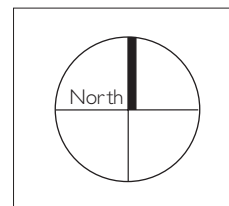
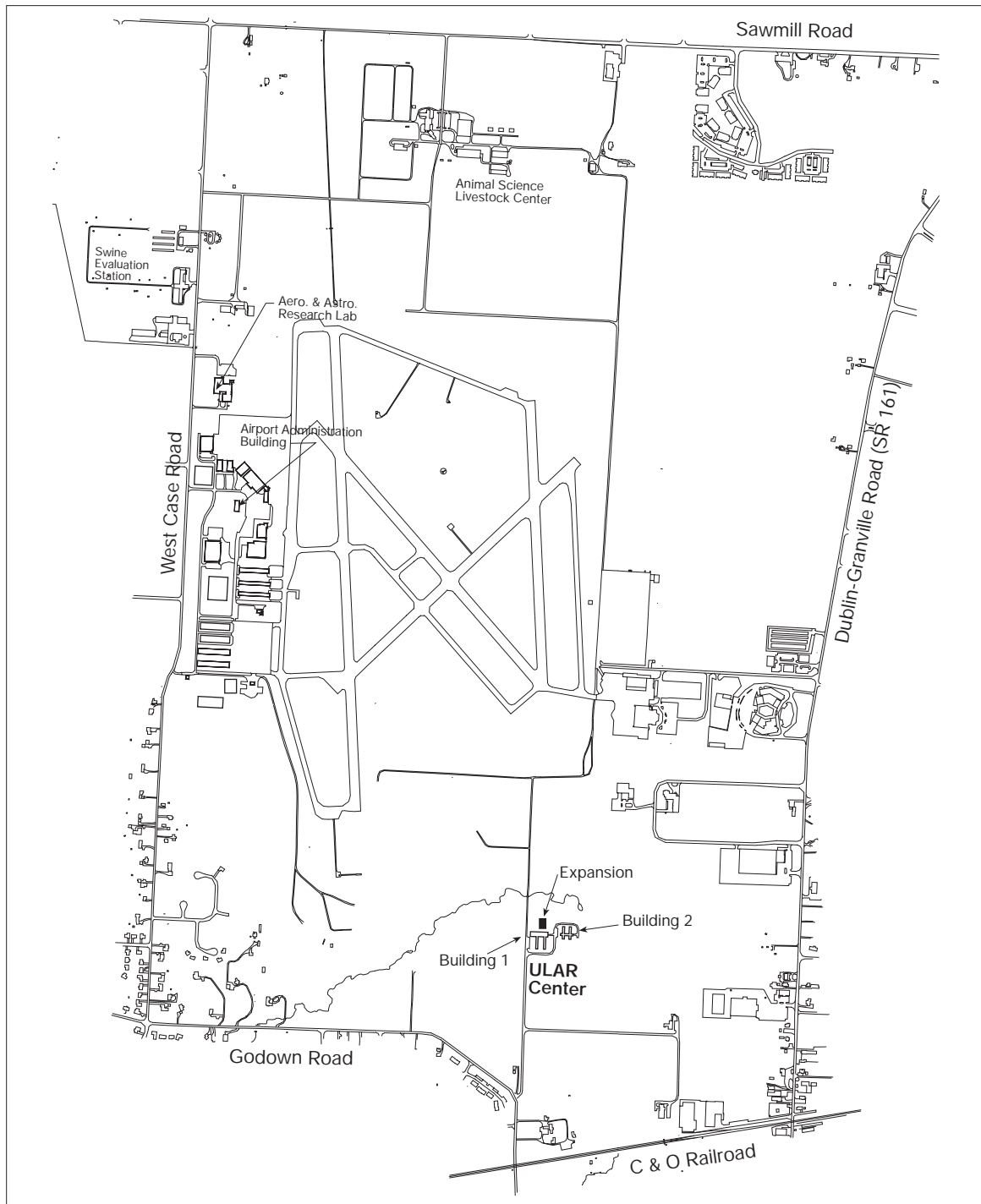
Project Information:

This project was approved by the Board of Trustees in March 2002 for \$500,000. Project budget has increased to \$883,000 due to Heating, Ventilating and Air Conditioning retrofit to include design and new mechanical system upgrade and accelerating the construction completion date.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Social & Behav Sci	\$95,750.00	\$95,750.00	Total:			
General Funds-Research	\$500,000.00	\$595,750.00				
General Funds-Academic Affairs	\$95,750.00	\$95,750.00				
General Funds-Business & Admin	\$95,750.00	\$95,750.00				
Treasurer's Debt Service	\$0.00	\$0.00				
Pool Loan						
Total:	\$787,250.00	\$883,000.00				

Schedule:	Projected	Revised	Actual
Planning			
6540 Received by OFP			08/22/2001
6540 Received by Phys Fac			09/05/2001
Request for Estimate Received - OA			09/07/2001
Request for Estimate Returned			09/12/2001
Bidding Approved B/T (\$500,000 Project)	03/01/2002		03/01/2002
Design			
Construction Document Approval	03/18/2002		03/18/2002
Bidding			
Bid Opening	03/01/2003		04/11/2003
Construction			
Construction Start	03/29/2002		03/29/2002
Completion	04/29/2002	05/22/2002	07/22/2002
Award of Contracts	06/01/2003	06/11/2003	06/11/2003
Construction Start (Phase 2)	09/14/2003		06/16/2003
Completion (Phase 2)	09/09/2003	09/14/2003	

Laboratory Animal Facility Expansion



Office of Business and Finance
Office of Facilities Planning and Development

June 12, 2003

PURCHASE OF REAL PROPERTY

237 EAST SEVENTEENTH AVENUE, COLUMBUS, OHIO

Background

Location and Description

The property to be purchased is located at 237 East Seventeenth Avenue in Columbus, Ohio. It is outside the University's acquisition area. The property, owned by David W. Pettit, consists of a two and one-half story frame house formerly used as a fraternity house, situated on a city lot of 0.22 acre.

Appraisals and Consideration

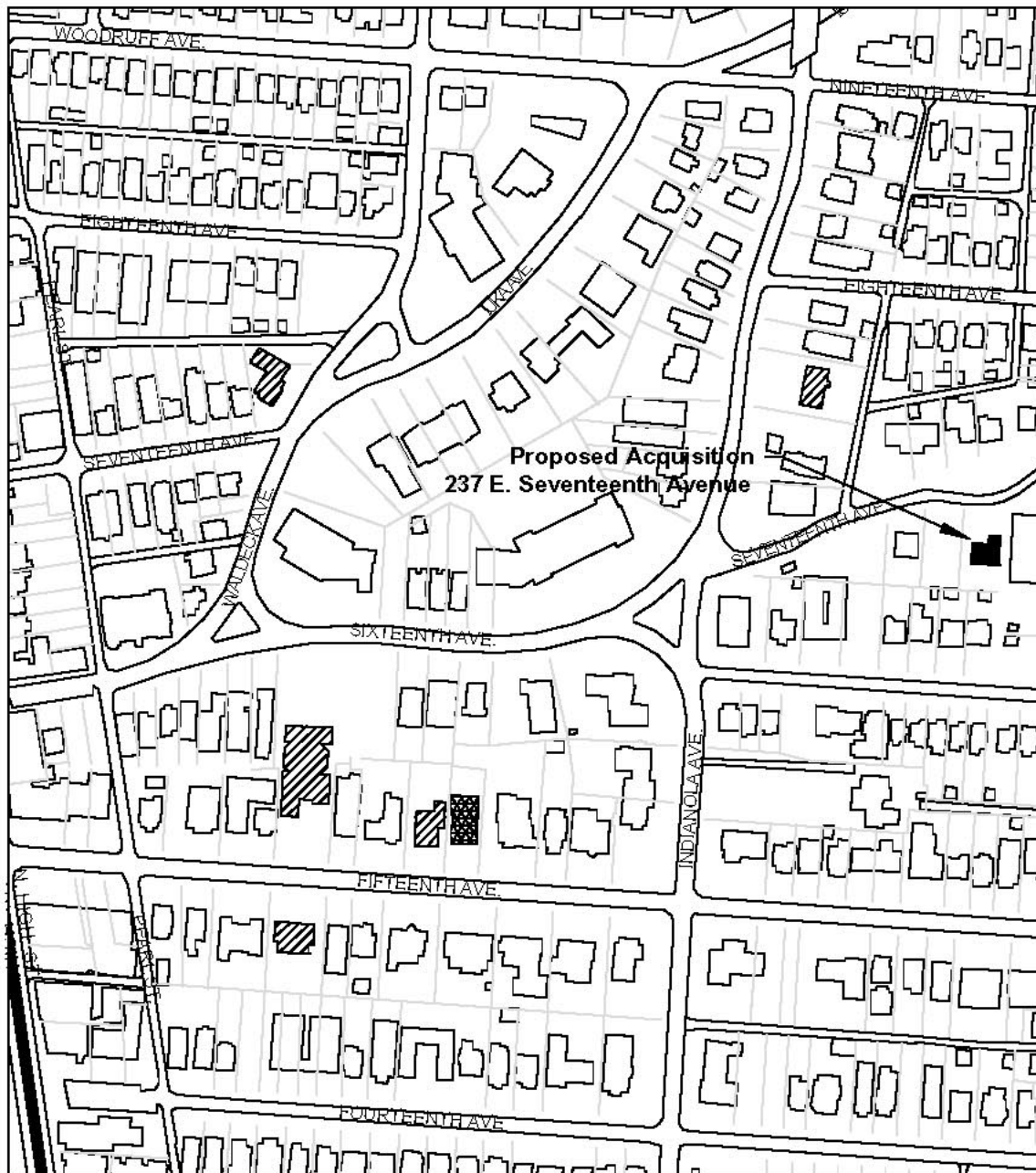
Two MAI appraisals conducted in March 2003 by Reith Real Estate Services and R.F. Berger and Associates valued the property at \$385,000 and \$300,000, respectively. The purchase price is \$360,000.00. All acquisition and operating expenses will be funded by the Office of Student Affairs.

Use of the Property

Title to the property will be taken in the name of the State of Ohio for the use of The Ohio State University. Although the property is outside the University's acquisition area, the acquisition of this property is consistent with Student Affairs' goal to revitalize and preserve properties for use by the Greek community. Preservation of the footprint of the Greek district is consistent with the goals established in the Greek Life Task Force Report and with the planning principles for the area east of High established by Campus Partners. The acquisition of this property is necessary for the preservation of the traditional Greek community east of High Street. Student Affairs will use this property to house an approved fraternity or sorority or for compatible student housing.

Proposed Purchase of Real Estate

237 East Seventeenth Avenue







No True Scale



Map Provided by University Engineer's Office

Office of Business and Finance

Board of Trustees Meeting - July 11, 2003

-  OSU Owned
-  OSU Leased Property
-  Subject Property
-  Acquisition Boundary

PURCHASE OF IMPROVED REAL PROPERTY

960 KINNEAR ROAD
CLINTON TOWNSHIP, OHIO

Background

Location and Description

The property to be purchased is owned by G.O.A.T. Real Estate, LLC, whose partners are James V. Hanson, Gene P. Yosick, and Michael J. Stasko. The property consists of a building containing approximately 42,400 square feet of high-bay warehouse and office space situated on about 2.43 acres located on the north side of Kinnear Road just east of Kenny Road. The building, approximately 50 years old, is in good condition. There is a cell tower on the property that is under long-term lease.

This property is within the West Campus District Plan acquisition area and is surrounded by property owned by the University.

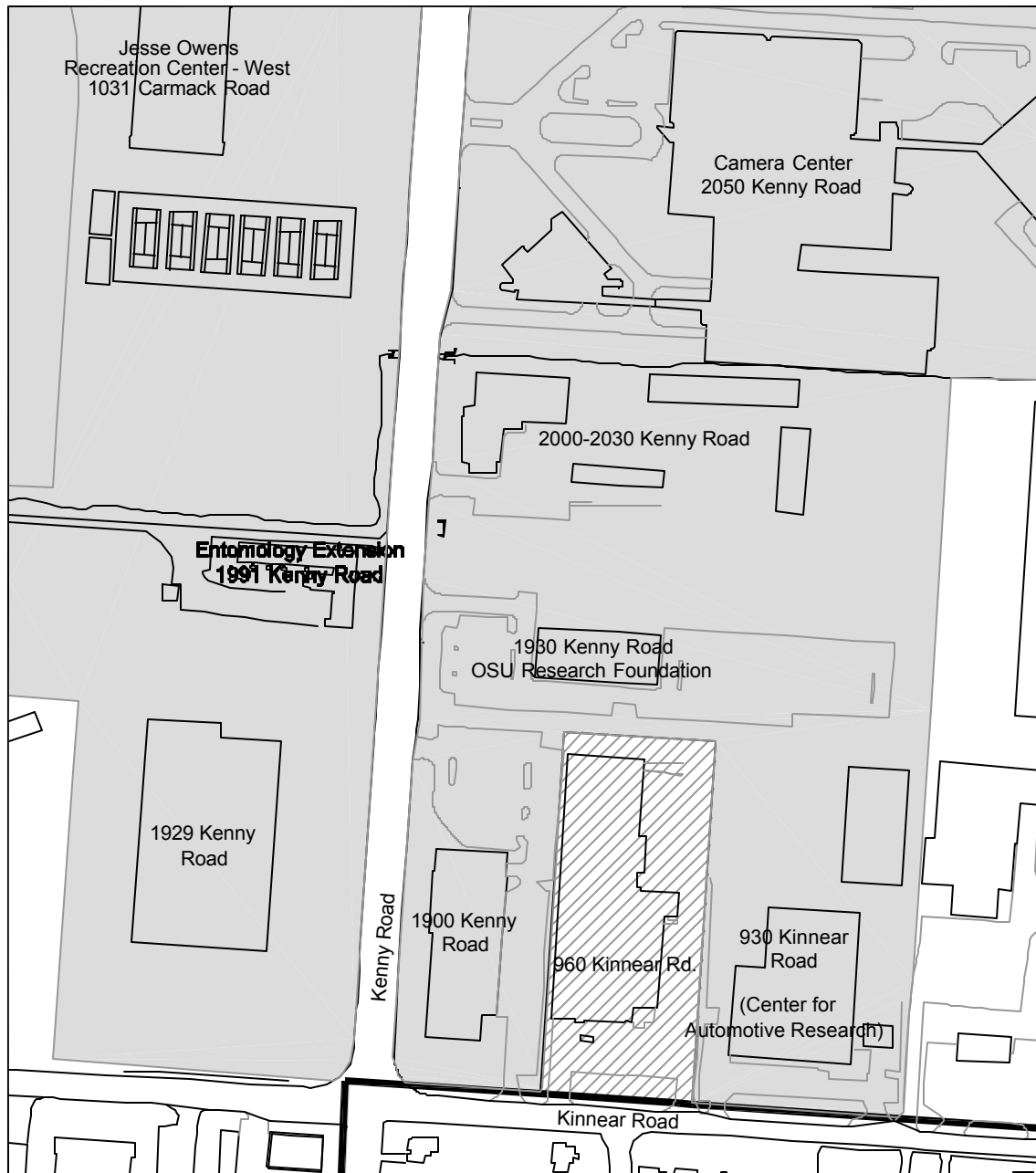
Appraisals and Purchase Price

MAI appraisals completed in April 2003 by Ohio Real Estate Consultants and Integra Realty Resources, Inc., valued the property at \$1,950,000 and \$1,850,000, respectively. The seller has agreed to sell this property to the University for \$1,975,000. Acquisition costs are estimated to be an additional \$70,000.

Use of the Property

Title will be taken in the name of the State of Ohio for the use of The Ohio State University. The property will be assigned to Student Affairs, which will use the property for storage, ultimately consolidating rented space now at two separate locations. Student Affairs will be responsible for all acquisition and operating costs and for current storage costs until the space consolidation can occur. The property is in within Clinton Township. Upon acquisition, the University will seek annexation to Columbus and zoning within the University's zoning classification.

Proposed Purchase of Improved Real Estate 960 Kinnear Road






No True Scale



Map Provided by University Engineer's Office

Office of Business and Finance
Board of Trustees Meeting - July 11, 2003

-  Proposed Purchase of Property
-  Property Owned or Controlled by OSU
-  Acquisition Zone Line